

03-18-2004

HEET



To the Honorable Commissioner

the attached original documents or copy thereof.

102697688

1. Name of conveying party(ies):

- 1. Eric D Isaacs
- 2. Carsten Metz

3-10-04

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address: \_\_\_\_\_

17510 U.S. PTO  
10797399

031004

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Street Address: 600 Mountain Avenue  
P.O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636

Execution Date(s): March 4, 2004; March 9, 2004

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 3/4/2004;  
3/9/2004

A. Patent Application No.(s)

B. Patent No.(s)

10/797399

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket Administrator

Internal Address: Room 3J-219

Street Address: 101 Crawfords Corner Road

City: Holmdel State: NJ ZIP: 07733-3030

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John F. McCabe, Reg. No. 42854  
Name of Person Signing

John McCabe  
Signature

3/10/2004  
Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

03/17/2004 6TOM11 00000187 122325 10797399

01 FC:0021 40.00 DA

Mail Stop Patent Application

Director of the US Patent and Trademark Office

PO Box 1450

Alexandria, VA 22313-1450

Case Name/No.: Isaacs 3-6

## ASSIGNMENT AND AGREEMENT


For value received, we, Eric D Isaacs, of Short Hills in the County of Essex and the State of New Jersey, and Carsten Metz, of the Township of Chatham in the County of Morris and the State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Media With Controllable Refractive Properties** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

  
Eric D Isaacs

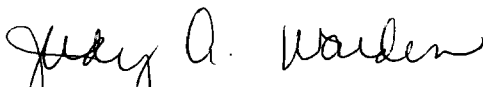
Date: 3/4/04

United States of America )

State of Illinois ) ss.:

County of DuPage )

On this 4th day of March, 2004, before me personally came, Eric D Isaacs to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

*Carsten Metz*

Carsten Metz

Date: 3-9-2004

United States of America )

State of New Jersey ) ss.:

County of Union )

On this 9th day of March, 2004, before me personally came, Carsten Metz to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

*Catherine F. Dugan*

Notary Public

**CATHERINE F. DUGAN**  
**NOTARY PUBLIC OF NEW JERSEY**  
Commission Expires 1/17/2007

Lucent Technologies Inc.  
Docket Administrator (Room 3J-219)  
Lucent Technologies Inc.  
101 Crawfords Corner Road  
Holmdel, NJ 07733-3030