

03-19-2004



To the Honorable Commissioner of Patents and Trade

ents or copy thereof.

102699559

1. Name of conveying party(ies):

Shannon Mitchell  
Jennifer Koh  
Vikas Prabhaker  
Laura Niklason

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Duke University

Internal Address: 230 North Building  
Research Drive

District: Durham, NC 27708

Country: USA

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Dates: November 28, 2001, November 28, 2001,  
December 5, 2001, December 12, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/931,506, filed August 16, 2001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Monica R. Gerber, M.D., Ph.D.  
Reg. No.: 46,724

Internal Address: Choate, Hall & Stewart

Street Address: Exchange Place  
53 State Street

City: Boston State: MA ZIP: 02109

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 03-1721

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Monica R. Gerber Reg. No. 46,724

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 4

Monica R. Gerber

Signature

March 10, 2004

Date

Mail documents to be recorded with required cover sheet information to:  
Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Atty Docket No.: 2002906-0002  
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I hereby certify that this correspondence is being deposited  
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P.O. Box 1450, Alexandria, VA 22313  
on 3-10-04

Dan Saccoccia

REEL: 015094 FRAME: 0223

**A S S I G N M E N T**

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned **Shannon Mitchell** of Durham, NC, **Jennifer Koh** of Irvine, CA, **Vikas Prabhaker** of Durham, NC and **Laura Niklason** of Hillsborough, NC; hereby

Sell, assign and transfer to **Duke University**, having a place of business at **230 North Building, Research Drive, Durham, NC 27708**, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, their entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent entitled "**DECELLULARIZED TISSUE ENGINEERED CONSTRUCTS AND TISSUES**" filed **August 16, 2001**, and given serial number **09/931,506** in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenant with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us, and that full right to convey the same as herein expressed is possessed by us.

IN WITNESS WHEREOF, I hereto set my hand and seal at Duke University,  
Durham, NC this 28<sup>th</sup> day of November, 2001.

Shannon Mitchell  
Shannon Mitchell

STATE OF North Carolina SS.  
COUNTY OF Durham

Before me this 28<sup>th</sup> day of November, 2001, personally appeared  
**Shannon Mitchell** known to me to be the person whose name of subscribed to the foregoing  
Assignment and acknowledged that he executed the same as his free act and deed for the  
purposes therein contained.

(Notary's Seal Here)

NOTARY PUBLIC

My Commission Expires: April 4, 2006

Cynthia S. Fears

IN WITNESS WHEREOF, I hereto set my hand and seal at Irvine,  
CA this 5<sup>th</sup> day of December, 2001.

Jennifer Koh  
Jennifer Koh

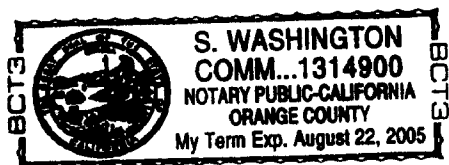
STATE OF California SS.  
COUNTY OF Orange

Before me this 5<sup>th</sup> day of December, 2001, personally appeared **Jennifer**  
**Koh** known to me to be the person whose name of subscribed to the foregoing Assignment and  
acknowledged that ~~he~~ she executed the same as ~~his~~ her free act and deed for the purposes therein  
contained.

[Notary's Seal Here]

J. Washington  
NOTARY PUBLIC

My Commission Expires: 8/20/05



IN WITNESS WHEREOF, I hereto set my hand and seal at Cambridge,  
Massachusetts this 12<sup>th</sup> day of December, 2001.

Vikas Prabhaker  
Vikas Prabhaker  
PRABHAKAR VP

STATE OF Massachusetts  
COUNTY OF Middlesex SS.

Before me this 12<sup>th</sup> day of December, 2001, personally appeared  
**Vikas Prabhaker** known to me to be the person whose name of subscribed to the foregoing  
Assignment and acknowledged that he executed the same as his free act and deed for the  
purposes therein contained.

(Notary's Seal Here)

Denise M. Bradford  
NOTARY PUBLIC

My Comm. **DENISE M. BRADFORD**  
NOTARY PUBLIC

Commonwealth of Massachusetts  
My Commission Expires Feb 2, 2007

IN WITNESS WHEREOF, I hereto set my hand and seal at Durham,  
NC this 2<sup>nd</sup> day of November, 2001.

Laura Niklason  
Laura Niklason

STATE OF North Carolina  
COUNTY OF Durham SS.

Before me this 28<sup>th</sup> day of November, 2001, personally appeared **Laura**  
**Niklason** known to me to be the person whose name of subscribed to the foregoing Assignment  
and acknowledged that he executed the same as his free act and deed for the purposes therein  
contained.

Cynthia S. Sears  
NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires: April 4, 2006

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