

In Place of Form PTO-1595

Docket No. AUS920040386US1

# RECORDATION FORM COVER SHEET PATENTS

To the Assistant Commissioner of Patents:  
Please record the attached original documents or copy thereof.

**1. Name of conveying party(ies)**

**Alexandre E. Eichenberger**  
**John K. O'Brien**  
**Peng Wu**

**Execution Date:**

June 4, 2004  
June 4, 2004  
June 4, 2004

Additional names of conveying party(ies) attached? ☐ Yes ☒ No

**2. Name and address of receiving party(ies):**

International Business Machines Corporation  
New Orchard Road  
Armonk, New York 10504

**3. Nature of conveyance:**

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

**4. Application number(s) or patent number(s):**

If this document is being filed together with a new application, the execution date of the application is

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

A. Patent Application No.(s) 10/562,483

<sup>File date</sup>  
B. Patent No.(s) June 7, 2004

**5. Name and address of party to whom correspondence concerning document should be mailed:**

Robert M. Carwell  
IBM Corporation  
Intellectual Property Law Dept.  
11400 Burnet Road  
Austin, Texas 78758  
(512) 823-1017

**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 3.41) \$ 40.00**

Enclosed  
☒ Authorized to be charged to deposit account

**8. Deposit account number:**

09-0447

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**6. Statement and signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathryn L Seeman  
Name of Person Signing

Kathryn L Seeman  
Signature

August 31, 2004  
Date

Total number of pages including cover sheet: 3

IBM DOCKET NO. AUS920040386US1

**ASSIGNMENT**

Whereas we,

(1) Alexandre E. Eichenberger of Chappaqua  
County of Westchester and State of New York

and

(2) John K. O'Brien of South Salem  
County of Westchester and State of New York

and

(3) Peng Wu of Mt. Kisco  
County of Westchester and State of New York

have invented certain improvement in:

**System and Method for Efficient Data Reorganization to Satisfy Data Alignment Constraints**

and executed a United States patent application therefore on

(Date Inventor Signed Declaration) (1) 6/4, 2004; and  
(2) 6/4, 2004; and  
(3) 6/4, 2004

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

IBM DOCKET NO. AUS920040386US1

(1) Signed at Yorktown Heights, NY on June 4<sup>th</sup>, 2004.

Alexandre E. Eichenberger  
Alexandre E. Eichenberger

(2) Signed at Yorktown Heights, NY on June 4<sup>th</sup>, 2004.

John K. O'Brien  
John K. O'Brien

(3) Signed at Yorktown Heights, NY on June 4<sup>th</sup>, 2004.

Peng Wu  
Peng Wu