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To The Honorable Commissioner of Patents and Trademarks: Please re	Attornov Ref. No. 03-390-US
Name of conveying parties:	Name and address of receiving party(ies)
Per-Olof berggren	Name: BioStratum, Inc.
Additional name(s) of conveying party(ies) attached? Yes No	Internal Addross: <u>Suite 200</u>
3. Nature of conveyance:	Street Address: 4620 Creekstone Drive
iXI Assignment ☐ Merger	City: <u>Durham</u> Country: <u>USA</u> Zip: <u>27703</u>
☐ Security Agreement ☐ Change of Name	Additional name(s) & address(es) attached? □ Yes ⊠ No
□ Other	Auditorias manie(s) os audress(es) amached: 🗀 108 🖾 140
Execution Date: July 19, 2004	
4. Application number(s) or patent number(s): 10/834,525	
If this document is being filed together with a new application, the execution date of the application is:	
A. Patent Application No.(s)	B. Patent No.(s)
Additional numbers attached? □ Yes ☒ No	
 Name and address of party to whom correspondence concerning document should be mailed: 	6. Total number of applications and patents involved: 1
Name: <u>David S. Harper</u>	
Company Name: McDonnell Boehnen Hulbert & Berghoff LLP	7. Total Fee (37 CFR 3.41)\$40.00
	□ Enclosed
Street Address: 300 South Wacker Drive, Suite 3100	Authorized to be charged to deposit account
- 11.	8. Deposit account number: 13-2490
City: Chicago State: IL ZIP: 60606	
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9. Statement and signature. To the best of my knowledge and belief, the foregoing information document.	is true and correct and any attached copy is a true copy of the original
David S. Harper Printed Name of Person Signing	Date: August 12, 2004 Signature
Total number of pages including cover sheet, attachments, and document:	

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PATENT REEL: 015095 FRAME: 0966

<u>ASSIGNMENT</u>

Case No.: 03-390-US Serial No.: 10/834,525

Inventors: Per-Olof Berggren

Filing Date: 4/29/04

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

BioStratum, a corporation of the State of Delaware

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

ApoCIII and the Treatment and Diagnosis of Diabetes

and identified as:

Case No. 03-390-US

in the offices of McDonnell Boehnen Hulbert & Berghoff LLP and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all utility applications claiming priority to the above-referenced provisional application, and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 19 day of 12004

Per,Ølof Berggren

State of

County of

The foregoing instrument was acknowledged before me this 30 day of

July , 2004 by Per Olof

NOTARY PUBLIC

Magnus Wallander Attorney at Law

Partner at

Mannheimer Swartling

Advokatbyrå AB

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MCDOHNELL BOEHNEN HULBERT & BERGHOFF

PATENT REEL: 015095 FRAME: 0968