

LA0086 NP

FORM PTO-1595
(Rev. 6-93)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

PATENTS ONLY

To the Honorable Director of the US Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David R. Magnin, Lawrence G. Hamann

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: 10/27/04; 10/29/04

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

Street Address: Lawrenceville-Princeton RoadCity: Princeton State: NJ ZIP: 08543-4000Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/690,173

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen B. DavisInternal Address: Bristol-Myers Squibb CompanyPatent DepartmentStreet Address: P.O. Box 4000City: Princeton State: NJ ZIP: 08543-40006. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Maureen P. O'Brien, Ph.D.Name of Person Signing
Reg. No. 42,043Maureen P. O'Brien

Signature

September 2, 2004

Date

☐ Certificate of mailing on reverse sideTotal number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services, Director of the US Patent and Trademark Office
PO Box 1450, Alexandria, VA 22313-1450

PATENT

700111896

REEL: 015103 FRAME: 0265

CH \$40.00 193880 10690173

ASSIGNMENT

We,

David R. Magnin residing at 40 Cottage Court
Hamilton, New Jersey 08690
United States of America

Lawrence G. Hamann residing at 24 East Riding Drive
Cherry Hill, New Jersey 08003
United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

Glycinenitrile-Based Inhibitors of Dipeptidyl Peptidase IV and Methods

invented by us and described in the non-provisional United States patent application

Application No. 10/690,173, filed October 21, 2003,

including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

Worldwide
Case LA0086 NP

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 27 day of October, 2003 by

David R. Magnin
David R. Magnin

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

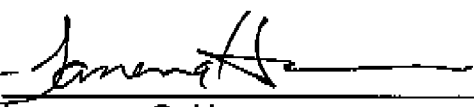
On the 27th day of October 2003, before me came David R. Magnin, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa Swidra
Notary Public

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

[SEAL]

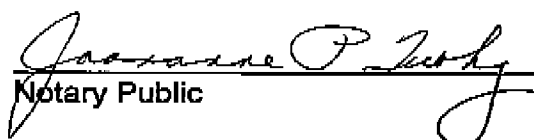
Worldwide
Case LA0086 NP

Signed this 29th day of Oct., 2003 by 
Lawrence G. Hamann

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 29 day of October 2003, before me came Lawrence G. Hamann, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

[SEAL]


Notary Public

JOANANNE P. TUOHY
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 8, 2004