SEP. 8. 2004 1:49PM EDWARDS IRV DEPT 949 250 6850

NO. 3958 P. 1

Docket No.: ECV-5785

| FORM_PTQ-1595 (Modified) (Rev. 03-01) | RECORDATION FORM COVER SHEET | | | U.S. DEPARTMENT OF COMMERCE | | | |
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| OMB Na, 0651-0027 (exp.5/31/2002) P08/REV03 | PATEN | TS ONL | Y | Patent and Trademark Office | | | |
| Tab settings → → ▼ | • | ▼ | ▼ | ▼ ▼ | | | |
| To the Director of the United States P | atent and Trademark Off | fice: Please recor | d the attached o | riginal documents or copy thereof. | | | |
| Name of conveying party(ies): Patrick M. McCarthy | | Name and address of receiving party(ies): Name: EDWARDS LIFESCIENCES CORPORATION | | | | | |
| Additional names(s) of conveying party(les) | ☐ Yes ☒ No | internal A | | | | | |
| 3. Nature of conveyance: | | | | | | | |
| | Merger | Street Add | dress: One Ed | wards Way | | | |
| ☐ Security Agreement ☐ | Change of Name | | | | | | |
| □ Other | | City: Irvin | ie | State: CA ZIP: 92614 | | | |
| Execution Date: <u>5/24/04</u> | | Additional name(s) & address(es) attached? Yes No | | | | | |
| If this document is being filed together A. Patent Application No.(s) 10/834,556 | | B. Pa | atent No.(s) | | | | |
| 5. Name and address of party to whom o | Additional numbers atta | ched? 🗋 Yes | s ⊠ No | , | | | |
| concerning document should be mailed: | | 6. Total number of applications and patents involved: | | | | | |
| Name: | | 7. Total fee (37 CFR 3.41):\$ 40.00 | | | | | |
| Internal Address: EDWARDS LIFESCIENCES LLC Legal Department | | ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account ☐ Authorized to be charged to deposit account 8. Deposit account number: 50-1225 | | | | | |
| | | | | | Street Address: One Edwards Way | | |
| City Irvina | | | | | | | |
| City: Irvine State: | | | | | | ate copy of this pa | ege if paying by deposit account) |
| Statement and signature. To the best of my knowledge and belie of the original document. Richard B. Cates, 36,100 | | ation is true and | correct and ar | ny attached copy is a true copy September 8, 2004 | | | |
| Name of Person Signing | | Signature | | Date | | | |
| Total number of | pages including cover sh | eet, attachments | , and document: | | | | |
| | all documents to be recorded with Mail Stop Assignment ed States Patent and Trademark | | | PATENT 15114 FRAME: 0974 | | | |

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ASSIGNMENT (Sole Inventor) Page 1 of 2

Whereas, I have invented certain new and useful improvements entitled ANNULOPLASTY RING FOR MITRAL VALVE PROLAPSE

- <u>X</u> for which an application for a United States Letters Patent was filed on April 29, 2004 Application Number 10/834,556
- for which an application for a United States Letters Patent is filed herewith.

Now therefore, in consideration of one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, I hereby convey, transfer and assign to EDWARDS LIFESCIENCES CORPORATION (hereinafter referred to as "assignee"), a corporation of Delaware, having a principal place of business at One Edwards Way, Irvine, California, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in the above-mentioned invention or improvements, in the above-mentioned application for United States Letters Patent, and any and all other applications for United States Letters Patent and applications in any other countries which I may file, either solely or jointly with others, on said invention or improvements, including any continuation, divisional and continuation-in-part applications, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of said applications, and in any reissue, reexam or extension thereof.

I hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said EDWARDS LIFESCIENCES CORPORATION

I hereby authorize and request the attorneys of record in said application to insert in this Assignment the date and serial number of said application when officially known.

I warrant myself to be the owner of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration I hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and C-I-P applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue, reexam or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient. For said consideration I further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division, continuation, or C-I-P thereof, or Letters Patent issued thereon, or any reexam, reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of my ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. I further agree to perform, upon such request, any and all affirmative acts to obtain Letters Patent, and vest all rights therein hereby conveyed in said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made, including the right to enforce said patent and to sue for and recover damages and all other remedies arising out of any and all past, present and future infringement or violation of said Letters Patent, and for said considerations I hereby also assign to said assignee, its successors, legal representatives and assigns the entire rights, title and interest in said invention or improvements for any and all foreign countries

> **PATENT REEL: 015114 FRAME: 0975**

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and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and I further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

Witness my hand:

Date 5-24-04

Signature: X_

Typed Name: Patrick M. McCarthy

RECORDED: 09/08/2004

Witness Signature

Date

PATENT REEL: 015114 FRAME: 0976