

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Henry G. Johnson and  
Michael L. PerryAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

3/15/04 (MLP)

Execution Date: 3/16/04 (HGJ)

## 2. Name and address of receiving party(ies)

Name: UTC Fuel Cells, LLC

Internal Address: \_\_\_\_\_

Street Address: 195 Governor's Highway

City: South Windsor State: CT Zip: 06074

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert H. Kelly

Internal Address: UTC Fuel Cells

Intellectual Property Department MS 601-03

Street Address: 195 Governor's Highway

City: South Windsor State: CT Zip: 06074

6. Total number of applications and patents involved: ☒ 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

## 8. Deposit account number:

50-1307 (Order No. C-2960)

DO NOT USE THIS SPACE

## 9. Signature.

M. P. Williams

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: ☒ 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

03/24/2004 6TON11

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PATENT  
REEL: 015122 FRAME: 0445

## ASSIGNMENT

WHEREAS, We, Henry G. Johnson of 82 Depot Hill Road, P.O. Box 301, Cobalt, CT 06414 and Michael L. Perry of 131 Bluff Point Road, S. Glastonbury, CT 06073, both USA, have invented certain improvements in TURBOCOMPRESSOR IMPELLING FUEL RECYCLE IN FUEL CELL POWER PLANT, identified as Attorney Docket No. C-2960, and described in a patent application executed by the undersigned on the dates set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UTC FUEL CELLS, LLC of South Windsor, Connecticut, a limited liability company of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

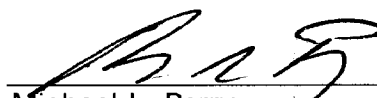
NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.)   
Henry G. Johnson

March 16, 2004

(L.S.)   
Michael L. Perry

March 15, 2004

State of Connecticut) ss: South Windsor  
County of Hartford)

On this 16<sup>th</sup> day of March, 2004 before me personally appeared Henry G. Johnson to me personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

Darlene S. Sutton

Notary Public **DARLENE S. SUTTON**  
My Commission Expires: **NOTARY PUBLIC**  
Commissioner of the State of Connecticut **My Commission Expires May 31, 2007**

SEAL

State of Connecticut) ss: South Windsor  
County of Hartford)

On this 15<sup>th</sup> day of March, 2004 before me personally appeared Michael L. Perry to me personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

Darlene S. Sutton

Notary Public **DARLENE S. SUTTON**  
My Commission Expires: **NOTARY PUBLIC**  
Commissioner of the State of Connecticut **My Commission Expires May 31, 2007**

SEAL