


Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005) Tab settings → → → ▼ ▼	RECORD P	03-26-2004 	S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
To the Director of the United States Patent and Trademark Office		for documents or copy thereof.	
1. Name of conveying party(ies): <u>3.19.04</u> Douglas H. Gesswein; David K. Wrolstad; David H. Burkett; Robert C. Esselstein; Kathern J. Lind; Pablito Buan; Robert C. Hayzelden; Thomas Tokarchik III; Ryan Grandfield; Aaron Baldwin; Wayne E. Cornish; Philip S. Yip. Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies) Name: <u>Advanced Cardiovascular Systems, Inc.</u> Internal Address: _____ Street Address: <u>3200 Lakeside Drive</u> City: <u>Santa Clara</u> State: <u>CA</u> Zip: <u>95054</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____		17497 U.S. PTO 10/805455 031904	
Execution Date: <u>March 9, 2004</u>			
4. Application number(s) or patent number(s): <u>16865455</u> If this document is being filed together with a new application, the execution date of the application is: <u>03/09/04</u> A. Patent Application No.(s) _____ B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Paul Y. Feng, Reg. No. 35,510</u> Internal Address: <u>FULWIDER PATTON LEE & UTECHT, LLP</u> <u>Howard Hughes Center</u> Street Address: <u>6060 Center Drive, Tenth Floor</u> City: <u>Los Angeles</u> State: <u>CA</u> Zip: <u>90045</u>		6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41).....\$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>06-2425</u> (Attach duplicate copy of this page if paying by deposit account)	
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9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <u>Paul Y. Feng</u> <u>Paul Y. Feng</u> <u>March 19, 2004</u> Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and document: <u>5</u>			

Mail documents to be recorded with required cover sheet information to: Mail Stop Recordation Services, Director of the United States Patent & Trademark Office P.O. Box 1450, Alexandria, VA 22313-1450

03/25/2004 NGETACHE 0000058 10805455 01 FC:8021 40.00 DP

ASSIGNMENT

This Assignment made by Douglas H. Gesswein of Temecula, California; David K. Wrolstad of Temecula, California; David H. Burkett of Temecula, California; Robert C. Esselstein of Fallbrook, California; Kathern J. Lind of Temecula, California; Pablito Buan of Temecula, California; Robert C. Hazelden of Temecula, California; Thomas Tokarchik III of Murrieta, California; Ryan Grandfield of Murrieta, California; Aaron Baldwin of Temecula, California; Wayne E. Cornish of Fallbrook, California, and Philip S. Yip of San Jose, California, Assignors, to Advanced Cardiovascular Systems, Inc. a California corporation, Assignee, having a place of business at 3200 Lakeside Drive, Santa Clara, California 95054-2807.

WHEREAS, Assignors have invented a new and useful LOCKING COMPONENT FOR AN EMBOLIC FILTER ASSEMBLY for which an application for United States Letters Patent has been executed by them this day; and

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such

foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date written herein below.

Assignor:

Date: 3-9-04


DOUGLAS H. GESSWEIN

Date: 3-9-04


DAVID K. WROLSTAD

Date: 03/09/2004

David H. Burkett
DAVID H. BURKETT

Date: 3/9/04

Robert C. Eszelstein
ROBERT C. ESSELSTEIN

Date: 3-9-04

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Date: 3/9/04

Pablito Buan
PABLITO BUAN

Date: 03/09/04

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ROBERT C. HAYZELDEN

Date: 3/9/04

Thomas Tokarchik III
THOMAS TOKARCHIK III

Date: 3/9/04

Ryan Grandfield
RYAN GRANDFIELD

Date: 3/9/04

Aaron Baldwin
AARON BALDWIN

Date: 03/09/04

Wayne E. Cornish
WAYNE E. CORNISH

Date: _____

PHILIP S. YIP

44258.1

Date: _____

DAVID H. BURKETT

Date: _____

ROBERT C. ESSELSTEIN

Date: _____

KATHERYN J. LIND

Date: _____

PABLITO BUAN

Date: _____

ROBERT C. HAYZELDEN

Date: _____

THOMAS TOKARCHIK III

Date: _____

RYAN GRANDFIELD

Date: _____

AARON BALDWIN

Date: _____

WAYNE E. CORNISH

Date: 3-17-2004



PHILIP S. YIP

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