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03-29-2004

Tab settings



10/476573

DT06 Rec'd PCT/PTO 31 OCT 2003

To the Honorable Commissioner: 102706916

Attached original documents or copy thereof.

1. Name of conveying party(ies): Shinsuke SUGATA Tadashi MAKIYAMA

10-31-03

2. Name and address of receiving party(ies)

Name: HORKOS CORP.

Internal Address:

Additional name of conveying party(ies) attached? [] Yes [] No

3. Nature of conveyance:

- [x] Assignment [] Merger [] Security Agreement [] Change of Name [] Other

Street Address: 24-20, Kusado-cho 2-chome, Fukuyama-shi

City: Hiroshima Country: JAPAN

Zip: 720-0831

Execution Date: August 21, 2003.

Additional Name(s) & address(es) attached? [] Yes [x] No

4. Application number(s) or patent number(s):

10476573

If this document is being filed together with a new application, the execution date of the application by the first named inventor is: August 21, 2003.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? [] Yes [x] No

5. Name and address of party to whom correspondence concerning this document should be mailed:

26694

PATENT TRADEMARK OFFICE

Name: VENABLE

Address: P.O. Box 34385

City: Washington State: D.C. Zip: 20043-9998

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- [x] Enclosed [] Authorized to be charged to deposit account

8. Deposit account number:

22-0261

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John P. Shannon, Reg. No. 29,276

Name of Person Signing

Signature

10-29-03

Date

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

VENABLE ATTORNEYS AT LAW

PC Docs No. ::ODMA\PCDOCS\DC2DOCS\1487334\1\JPS/svt

ASSIGNMENT OF APPLICATION FOR UNITED STATES PATENT

WHEREAS, WE, **Shinsuke SUGATA** and **Tadashi MAKIYAMA**, residing respectively, at **Fukuyama-shi, Hiroshima, Japan** and **Onomichi-shi, Hiroshima, Japan** hereinafter (collectively) referred to as the assignor, have invented a certain improvement relating to **MAIN SHAFT DEVICE FOR MACHINE TOOLS**, for which said assignor has caused an application for a United States Patent to be prepared,

- [X] the inventor's declaration for said application being executed concurrently with the execution of this instrument; said application to be filed in the U.S. Patent and Trademark Office;
- [] said application having been filed in the U.S. Patent and Trademark Office on _____ and given No. _____;
- [X] said application having been filed under the Patent Cooperation Treaty on May 27, 2002 and given Application No. PCT/JP02/05127, the United States of America having been designated;

AND WHEREAS **HORKOS CORP.**, having a principal place of business at **24-20, Kusado-cho 2-chome, Fukuyama-shi, Hiroshima 720-0831, JAPAN**, hereinafter referred to as the assignee, is desirous of acquiring the entire right, title and interest in and to said application, including any divisions and continuations thereof, and in and to said invention and any patents which may be granted therefor, including any renewals, reissues and prolongations thereof;

NOW THIS WITNESSETH, that for and in consideration of One Dollar (\$1.00), and other good and valuable consideration paid by said assignee to said assignor, the receipt of which is hereby acknowledged, said assignor hereby assigns, sells and transfers to said assignee, and said assignee's successors and assigns, the entire and exclusive right, title and interest in and to said invention and to said application, including any divisions and continuations thereof, and in any patents which may be granted therefor, including any renewals, reissues and prolongations thereof; said assignee, and said assignee's successors and assigns, to have, hold, exercise and enjoy the said invention and said application, including any divisions and continuations thereof, and any patents which may be granted therefor, including any renewals, reissues and prolongations thereof, with all the rights, powers, privileges and advantages in anywise arising from or appertaining thereto, for and during the term or terms of any such patents when granted, including any renewals, reissues and prolongations thereof, for the use and benefit of said assignee, and said assignee's successors and assigns, in as ample and beneficial a manner as the said assignor might or could have held and enjoyed the same, if this assignment had not been made.

AND said assignor hereby agrees to perform, upon the request of said assignee, or said assignee's successors or assigns, any acts relating to the obtaining or to the asserting of said patents, including any renewals, reissues and prolongations thereof.

AND said assignor authorizes and requests the Commissioner of Patents and Trademarks to issue a Patent on said application, and on any divisions and continuations thereof, to said assignee, and said assignee's successors and assigns, in accordance herewith.

EXECUTED this 21 day of August, 2003.

ASSIGNOR:
 Signature: Shinsuke Sugata
Shinsuke SUGATA
 Address: 12-23, Kusado-cho 3-chome
 Fukuyama-shi, Hiroshima 720-0831
 JAPAN

WITNESS:
 Signature: _____
 Name: _____
 Address: _____

EXECUTED this 21 day of August, 2003.

ASSIGNOR:
 Signature: T. Makiyama
Tadashi MAKIYAMA
 Address: 11007, Kurihara-cho
 Onomichi-shi, Hiroshima 722-0022
 JAPAN

WITNESS:
 Signature: _____
 Name: _____
 Address: _____

Rev. 7/99,