

D-5

Attorney Docket U 014608-4

HEET

To the Honorable Commissioner of Patents and Trademark
(with signed English text)

and the attached original documents or copy thereof
if needed).

1. Name of conveying party(ies):

- 3-25-04
1. SUDHANAND PRASAD
 2. TUSHAR KANTI CHAKRABORTY
 3. ARCHNA MATHUR

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

2. Name and address of receiving party (ies):

Name: DABUR RESEARCH FOUNDATION

Address: 22 SITE IV, SAHIBABAD GHAZIABAD 201 010
INDIA

3. Nature of conveyance:

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| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
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(old address above) |

Execution Date(s):
1. December 26, 2003
2. December 26, 2003
3. December 26, 2003

Additional name(s) & addresses(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s)

☐ Being filed together with a new application.

☒ Patent Application No.(s): 10/638,107

☐ Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JANET I. CORD

Address: Ladas & Parry
26 West 61st Street
New York, N.Y. 10023

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00 @ \$40.00 each

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Signature

March 23, 2004

Date

Total number of pages including coversheet, attachments, and documents:

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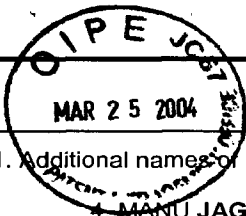
03/29/2004 MGETACHE 00000106 10638107

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(Assignment (Document) Cover Sheet—page 2 of 2) 16-6

PATENT
REEL: 015132 FRAME: 0800



1. Additional names of conveying party(ies):

4. MANU JAGGI

5. AJIT CHAND KUNWAR

6. RAMA MUKHERJEE

7. ANAND C. BURMAN

8.

2. Additional names and addresses of receiving party (ies):

Name:

Address:

Name:

Address

Name:

Address

Name:

Address

Name:

Address

Execution Date(s):

4. December 26, 2003

5. December 26, 2003

6. December 26, 2003

7. December 26, 2003

8.

4. Additional application number(s) or patent number(s)

4.

5.

6.

7.

8.

Director of the United States Patent and Trademark Office
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CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

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Date: March 23, 2004

JANET I. CORD

(type or print name of person certifying)

(Assignment (Document) Cover Sheet—page 1 of 2) 16-6

PATENT
REEL: 015132 FRAME: 0802

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

<u>Sudhanand PRASAD</u> <i>(type or print name of inventors)</i>	<u>c/o Dabur Research Foundation</u> <u>22 Site IV, Sahibabad, Ghaziabad 201 010 India</u> Address Indian Nationality
<u>Tushar Kanti CHAKRABORTY</u>	<u>c/o Indian Institute of Chemical Technology</u> <u>Hyderabad 500 007, India</u> Address Indian Nationality
<u>Archna MATHUR</u>	<u>c/o Dabur Research Foundation</u> <u>22 Site IV, Sahibabad, Ghaziabad 201 010 India</u> Address Indian Nationality
<u>Manu JAGGI</u>	<u>c/o Dabur Research Foundation</u> <u>22 Site IV, Sahibabad, Ghaziabad 201 010 India</u> Address Indian Nationality
<u>Ajit Chand KUNWAR</u>	<u>c/o Indian Institute of Chemical Technology</u> <u>Hyderabad 500 007, India</u> Address Indian Nationality

Added page(s) for signature by subsequent inventors. Number of pages added

1

ADDED PAGE TO ASSIGNMENT FOR SIGNATURE BY SUBSEQUENT INVENTORS

ASSIGNORS (Inventors):

Rama MUKHERJEE

(type or print name of inventors)

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Anand C. BURMAN

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Nationality

(Assignment of Invention—Multiple Inventors—Added Page) 16-3.2a

(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on _____

Reel _____
Frame _____

hereby sells, assigns and transfers to
ASSIGNEE

Dabur Research Foundation

(type or print name of ASSIGNEE)

22 Site IV, Sahibabad

Address

Indian

Nationality

Ghaziabad 201 010 India

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☐ the entire right, title and interest
☐ an undivided _____ percent (_____ %) interest for the United States
and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- ☐ and in all foreign countries, including all rights to claim priority, in and to any and all
improvements which are disclosed in the invention entitled:

NOVEL PEPTIDES COMPRISING FURANOID SUGAR AMINO ACIDS FOR THE
TREATMENT OF CANCER

Name of inventor(s) Sudhanand PRASAD, Tushar Kanti CHAKRABORTY, Archana
MATHUR, Manu JAGGI, Ajit Chand KUNWAR, Rama MUKHERJEE, Anand C. BURMAN

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-
entitled invention
☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an
ASSIGNOR signing below, hereby authorize and request my
attorney to insert below the filing date and application number when
they become known.
(d) ☒ U.S. application no. 10/638,107 filed on August 8, 2003
(e) ☐ International application no. PCT/_____/_____
(f) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding
patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Sudhanand PRASAD

(type name of Assignor)

(X) Sudhanand Prasad
Signature of ASSIGNOR

this (X) 26-12-2003
date of signing

Tushar Kanti CHAKRABORTY

(type name of Assignor)

(X) T.K. Chakraborty
Signature of ASSIGNOR

this (X) 26-12-2003
date of signing

Archna MATHUR

(type name of Assignor)

(X) Archna Mathur
Signature of ASSIGNOR

this (X) 26-12-2003
date of signing

Manu JAGGI

(type name of Assignor)

(X) Manu Jaggi
Signature of ASSIGNOR

this (X) 26-12-2003
date of signing

Ajit Chand KUNWAR

(type name of Assignor)

(X) Ajit Kunwar
Signature of ASSIGNOR

this (X) 26-12-2003
date of signing

Rama MUKHERJEE

(type name of Assignor)

(X) Ram Mukherjee
Signature of ASSIGNOR

this (X) 26-12-2003
date of signing

Anand C. BURMAN

(type name of Assignor)

(X) Anand C. Burman
Signature of ASSIGNOR

this (X) 26-12-2003
date of signing

(if ASSIGNOR is a legal entity, complete the following information)

Anand C. Burman

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

DIRECTOR

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention—Multiple Inventors—page 3 of 3) 16-3.2