

MRD

09-15-2004

Pat No.: 58181 (70904)

FORM PTO-1595 (Modified)  
(Rev. 03-01)  
OMB No. 0651-0077 (exp. 5/31/2007)  
P08A/REV03

U.S. DEPARTMENT OF COMMERCE



Tab settings → → → ▼

102771338

To the Honorable Commissioner of Patents and Trademarks, please return the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Masashi KAWASAKI  
Hideo OHNO  
Kazuki KOBAYASHI  
Ikuro SAKONO

JAN 13 2003

PATENT &amp; TRADEMARKS

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: [1] Sharp Kabushiki Kaisha

Address: 22-22 Nagaike-cho Abeno-ku,

Osaka Japan 545-8522

[2] Masashi KAWASAKI

35-1-101 Kawauchimotohasekura Aoba-ku Sendai-shi

City: Miyagi

State/Prov.: \_\_\_\_\_

Country: Japan

ZIP: 980-0861

Additional name(s) &amp; address(es)

☒ Yes☐ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: August 6, 2002

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.

Filing date

B. Patent No.(s)

10/224,879

August 21, 2002

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David G. Conlin

Registration No. 27,026

Address: Edwards &amp; Angell, LLP

P.O. Box 9169

City: Boston

State/Prov.: MA

Country: USA

ZIP: 02009

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41): \$ 40.00

pd.

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

## 8. Deposit account number:

04-1105

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John B. Alexander, Ph.D.

Name of Person Signing

Signature

January 6, 2003

Date

Total number of pages including cover sheet, attachments, and

4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT

REEL: 013661 FRAME: 0166

PATENT

REEL: 015138 FRAME: 0291

JPM PTO-1565 (Modified)  
v. 03-01)  
US No. 0651-0027 (exp. 5/31/2002)  
18A/REV03

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

## PATENTS ONLY

Tab settings → → → ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Additional names(s) of conveying party(ies)

☐ Yes ☐ No

1. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: \_\_\_\_\_

2. Name and address of receiving party(ies):

Name: [3] Hideo OHNOAddress: 3-33-10, Katsura Izumi-ku Sendai-shiCity: Miyagi

State/Prov.: \_\_\_\_\_

Country: JapanZIP: 981-3134

Additional name(s) &amp; address(es)

☐ Yes ☒ No

1. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers

☐ Yes ☐ No

i. Name and address of party to whom correspondence concerning document should be mailed:

Name: \_\_\_\_\_

Registration No. \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State/Prov.: \_\_\_\_\_

Country: \_\_\_\_\_ ZIP: \_\_\_\_\_

6. Total number of applications and patents involved: 

7. Total fee (37 CFR 3.41):.....\$ \_\_\_\_\_

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and

### ASSIGNMENT

WHEREAS, the following inventor(s): Masashi KAWASAKI, Hideo OHNO, Kazuki KOBAYASHI and Ikuo SAKONO, hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in THIN FILM TRANSISTOR AND MATRIX DISPLAY DEVICE, for which application for Letters Patent of the United States has been filed on \_\_\_\_\_, Serial No. \_\_\_\_\_; and

WHEREAS, Masashi KAWASAKI, Hideo OHNO and SHARP KABUSHIKI KAISHA, a Japanese corporation, having its principal place of business at OSAKA JAPAN, its heirs, successors, legal representatives (hereinafter designated as the Assignees) are desirous of acquiring the entire right, title and interest in and to said invention and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration to the undersigned, the receipt of which is hereby acknowledged, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to furnish all pertinent facts and documents relating to said application, said invention and said patent(s) as may be known and accessible to the undersigned, and will testify as to the same in any interference or litigation related thereto, and will promptly execute and deliver to Assignee any and all papers, instruments or affidavits necessary or desirable to apply for, obtain, maintain or enforce said application or said patent(s) in connection with any interference which may be declared, and any litigation concerning this application or any continuation, division or reissue thereof or Patent(s) or reissue patent(s) issued thereon, and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference or litigation.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

PATENT  
REEL: 013661 FRAME: 0167

PATENT  
REEL: 015138 FRAME: 0293

The undersigned hereby grant(s) the law firm of Edwards & Angell, LLP, of P.O. Box 9169, Boston, Massachusetts 02209, U.S.A. the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he or she has the full right to convey the entire interest herein assigned, and that he or she has not executed, and will not execute, any agreement in conflict herewith.

In witness whereof, signed by the undersigned on the date(s) opposite the undersigned names.

Date: August 6, 2002

Signature of Inventor: Masashi Kawasaki

Name: Masashi KAWASAKI

Date: August 6, 2002

Signature of Inventor: Hideo Ohno

Name: Hideo OHNO

Date: August 6, 2002

Signature of Inventor: Kazuki Kobayashi

Name: Kazuki KOBAYASHI

Date: August 6, 2002

Signature of Inventor: Ikuo Sakono

Name: Ikuo SAKONO

Date: \_\_\_\_\_

Signature of Inventor: \_\_\_\_\_

Name: \_\_\_\_\_

Date: \_\_\_\_\_

Signature of Inventor: \_\_\_\_\_

Name: \_\_\_\_\_

BOS2\_173599.1

RECORDED: 01/13/2003

PATENT  
REEL: 013661 FRAME: 0168

RECORDED: 01/13/2003

PATENT  
REEL: 015138 FRAME: 0294