

Form PTO-1595 (Rev. 06/04)  
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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)/Execution Date(s):

Patrick M. McCarthy

Execution Date(s) November 4, 2003

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: The Cleveland Clinic Foundation

Internal Address: \_\_\_\_\_

Street Address: 9500 Euclid Avenue

City: Cleveland

State: Ohio

Country: United States of America Zip: 44195

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)  
10/418,677

☐ This document is being filed together with a new application.  
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Michelle C. Kim

Internal Address: JONES DAY

Street Address: 555 W. Fifth St., Suite 4600

City: Los Angeles

State: California Zip: 90013

Phone Number: (213) 489-3939

Fax Number: (213) 243-2539

Email Address: mcklm@jonesday.com

### 6. Total number of applications and patents involved:

1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

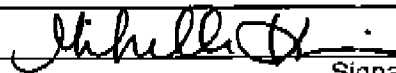
### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-2468

Authorized User Name Michelle C. Kim

### 9. Signature:



Signature

September 16, 2004

Date

Michelle C. Kim

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

Assignment

Patrick M. McCarthy, M.D. ("Assignor"), an individual residing at 45002 Falls Road, Hunting Valley, Ohio 44022, for good and valuable consideration, receipt and sufficiency of which is hereby acknowledged, has assigned and hereby does assign, to The Cleveland Clinic Foundation, an Ohio nonprofit corporation having a place of business at 9500 Euclid Avenue, Cleveland, Ohio 44195, its successors, assigns, and legal representatives the following:

(a) the entire, right, title, and interest in and to all subject matter disclosed in United States Patent Application Number 10/418,667 filed on April 17<sup>th</sup>, 2003 and entitled "Device for Reduction of Pressure Effects of Cardiac Tricuspid Valve Regurgitation," and in United States Patent Application Number 10/418,663 filed on April 17<sup>th</sup>, 2003 and entitled "Methods for Reduction of Pressure Effects of Cardiac Tricuspid Valve Regurgitation," as well as the inventions and improvements described therein, and divisionals, continuations, continuations-in-part thereof, patent applications claiming priority therefrom, patents that may be granted thereon, any rights of priority, and any reissues, reexaminations and extensions thereof; and

(b) the entire right, title, and interest in the aforementioned inventions and improvements in any and all foreign countries, and to all Letters Patent and all convention and Treaty rights of all kinds, in all countries throughout the world, for such subject matter.

Assignor agrees to sign all documents reasonably necessary to secure all said Letters Patent and rights, and request issuance of all said Letters Patent to the above Assignee in accordance with this Assignment.

Assignor has executed this Assignment this 31<sup>st</sup> day of October, 2003.

By: [Signature]  
Name: Patrick M. McCarthy, M.D.

Date: 11-4, 2003

STATE OF OHIO )  
COUNTY OF CUYAHOGA ) SS

On this 4<sup>th</sup> day of November, 2003, before me appeared the person mentioned in and who signed this Assignment, who acknowledged that he executed the same as a free act for the use and purposes therein mentioned.

SEAL

[Signature]  
Notary Public

DAVID JAMES STEIN, ATTY.  
NOTARY PUBLIC - STATE OF OHIO  
My commission has no expiration date  
Section 147.03 O.R.C. **PATENT**