


<b>RECORDATION FORM COVER SHEET</b> <b>PATENTS ONLY</b>		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005) Tab settings ⇌ ⇌ ⇌		
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.		
<b>1. Name of conveying party(ies):</b> George R. Pettit Noleen Melody  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>2. Name and address of receiving party(ies)</b> Name: Arizona Board of Regents acting for and on behalf of Arizona State University Internal Address: Arizona State University Arizona Technology Enterprises, LLC  Street Address: Brickyard Suite 601, Room 691AA, 699 S. Mill Avenue  City: Tempe State: AZ Zip: 85281  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>3. Nature of conveyance:</b> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other	<b>4. Application number(s) or patent number(s):</b> If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) PCT/US04/19725 B. Patent No.(s)  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b> Name: Susan Stone Rosenfield  Internal Address: _____  Street Address: Fennemore Craig, P.C. 3003 North Central Ave., Suite 2600  City: Phoenix State: Arizona Zip: 85012	<b>6. Total number of applications and patents involved:</b> <u>1</u>  <b>7. Total fee (37 CFR 3.41).....\$ 40</b> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account  <b>8. Deposit account number:</b> 060590  (Attach duplicate copy of this page if paying by deposit account)	
<b>DO NOT USE THIS SPACE</b>		
<b>9. Statement and signature.</b> <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Susan Stone Rosenfield Name of Person Signing  Signature <u>Sept. 20, 2004</u> Date  Total number of pages including cover sheet, attachments, and documents: <u>4</u>		

CH \$40.00 060690 US0419726

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

M2-054

**ASSIGNMENT**

WHEREAS, We, George R. Pettit of 6232 Bret Hills Drive, Paradise Valley, Arizona 85253 and Noleen Melody of 7032 E. Indigo Street, Mesa, Arizona 85207 (herein referred to as "ASSIGNORS") have invented certain new and useful improvements described in Patent Cooperation Treaty (PCT) Application No. PCT/us04/19725 entitled "Pancratistatin Cyclic Phosphate Prodrugs and Phenpanstatin Cyclic Phosphate Prodrugs" filed on June 18, 2004, which claims the priority of U.S. Provisional Application No. 60/480,291;

AND WHEREAS, the ARIZONA BOARD OF REGENTS, a body corporate of the State of Arizona, acting for and on behalf of the ARIZONA STATE UNIVERSITY, Tempe, Arizona 85287, (herein referred to as "ASSIGNEE"), is desirous of acquiring the entire right, title and interest in and to said invention, said application above-identified, and in, to and under any Letters Patent which may be obtained for said invention.

**NOW THEREFORE TO WHOM IT MAY CONCERN:**

BE IT KNOWN, that for and in consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration received from ASSIGNEE, as set forth in ASSIGNEE'S current stated patent policy, the receipt of which is hereby acknowledged, we, the said ASSIGNORS have sold, assigned, transferred and set over to the said ASSIGNEE, its successors and assigns, the entire right, title and interest for the United States and all foreign countries, including the right to claim priority under the provisions of the International Convention from the filing date of the above-named application(s), in and to said invention, and in and to any and all applications filed thereon, specifically the application(s) hereinabove identified and any listed in Appendix A hereto, and in, to and under any and all Letters Patent that may be granted on said application(s) or for said invention;

AND each of the ASSIGNORS hereby covenant and agree for ourselves and our legal representatives, that we will each assist ASSIGNEE without expense to ourselves or our legal representatives, in the prosecution of the application(s) hereinabove identified, in the making and prosecution of any other applications, divisions, substitutions, renewals or reissues that the said

ASSIGNMENT

PCT Application No. PCT/4504/19725  
Pancratistatin Cyclic Phosphate Prodrugs and  
Phenpanstatin Cyclic Phosphate Prodrugs

ASSIGNEE may elect to make covering said invention, and in any interference, litigation or other proceeding that may arise involving said invention or application(s), or patents issued thereon, and that we will execute and deliver to ASSIGNEE any and all additional documents and papers and perform any further acts necessary to vest in ASSIGNEE the rights hereby conveyed;

AND the U.S. Commissioner of Patents and Trademarks and/or any other corresponding foreign agency or office is hereby authorized and required to issue all Letters Patent which may be granted upon said invention, applications, divisions, continuations, renewals and reissues, thereof, to the said ASSIGNEE, its successors and assigns.

AND each of the ASSIGNORS hereby authorizes any member of the firm of Fennemore Craig, P.C. to insert or complete any information, including but not limited to the patent application serial number and filing date, needed to effect this document's recordation in the United States Patent and Trademark Office and to confirm the rights granted to ASSIGNEE hereunder.

IN TESTIMONY WHEREOF I have hereunto set my hand and seal.

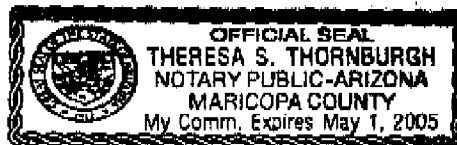
George R. Pettit  
George R. Pettit

On this 22 day of July, 2004, personally appeared before me George R. Pettit, to me known and known to me to be the same person who executed the foregoing instrument and acknowledged that he executed and delivered the same for the purposes therein stated.

Theresa S. Thornburgh  
Notary Public

My commission expires:

May 1, 2005



ASSIGNMENT

PCT Application No. PCT/US04/19725  
Pancreatistatin Cyclic Phosphate Prodrugs and  
Phenpanstatin Cyclic Phosphate Prodrugs

IN TESTIMONY WHEREOF I have hereunto set my hand and seal.

*Noeleen Melody*  
Noeleen Melody

On this 22<sup>nd</sup> day of July, 2004, personally appeared before me Noeleen Melody, to me known and known to me to be the same person who executed the foregoing instrument and acknowledged that he executed and delivered the same for the purposes therein stated.

*Theresa S. Thornburgh*  
Notary Public

My commission expires:

May 1, 2005

