

04-01-2004

Form PTO-1595
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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RECORDAT

PATENT

102709481

DEPARTMENT OF COMMERCE
S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Minh-Tan Ton-That
Lechoslaw Adam Utracki
Kenneth Cole
Johanne DenaultAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 11/17/2003

2. Name and address of receiving party(ies)

Name: National Research Council of Canada

Internal Address: _____

Street Address: Montreal Road

City: Ottawa State: ON Zip: K1A 0R6

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/715,438

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ANISSIMOFF & ASSOCIATES

Internal Address: Richmond North Office Centre
Suite 201

Street Address: 235 North Centre Road

City: LONDON State: ON Zip: N5X 4E7

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

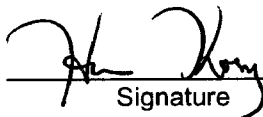
8. Deposit account number:

DO NOT USE THIS SPACE

9. Signature.

HANS KOENIG, 46,474

Name of Person Signing


 Signature

March 24, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

PATENT
 REEL: 015153 FRAME: 0232

NOTARIAL CERTIFICATE

PROVINCE OF ONTARIO)

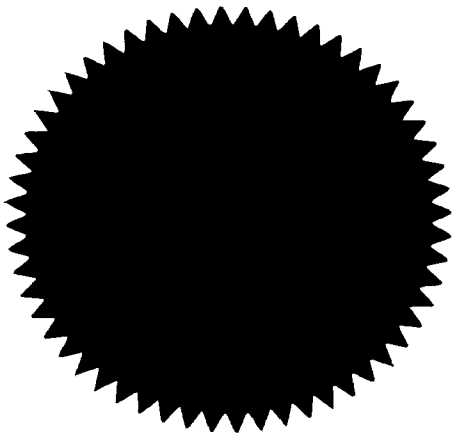
TO WIT)

I, **Serge Anissimoff**, a Notary Public in and for the Province of Ontario, by Royal authority duly appointed, residing at the City of London, in the said province, do certify that the paper writing hereto annexed is a true copy of a document produced and shown to me and purporting to be an original assignment from **Minh-Tan Ton-That, Lechoslaw Adam Utracki, Kenneth Cole and Johanne Denault to National research Council of Canada being executed 17 November 2003, 21 November 2003, 17 November 2003 and 18 November 2003** respectively, the said copy having been compared by me with the said original document, an act whereof being requested I have granted my notarial form and seal of office to serve and avail as occasion shall or may require.

IN TESTIMONY WHEREOF I have hereunto set my hand and affixed my notarial seal this **24th** day of **November 2003**, at the City of London, Canada.



A Notary Public in and for the Province of Ontario



ASSIGNMENT

WHEREAS, we, MINH-TAN TON-THAT, LECHOSLAW ADAM UTRACKI, KENNETH COLE and JOHANNE DENAULT, all citizens of Canada, residing at, respectively, 2315 Cartier Street, Montreal Quebec, H2K 4E9, Canada; 15326 Boischatel, Pierrefonds, Quebec, H9H 1Y6, Canada; 5897 terasse Boisvert, St-Hubert, Quebec, J3Y 6E1, Canada; and, 1258 des Eperviers, Longueuil, Quebec, J4G 1Z5, Canada, have made certain inventions and discoveries disclosed in an application for patent entitled "POLYMERIC NANOCOMPOSITES" and in United States Provisional Patent Application Serial No. 60/428,253, entitled "POLYMERIC NANOCOMPOSITES", filed on November 22, 2002, (hereinafter referred to as the "Applications");

AND WHEREAS, NATIONAL RESEARCH COUNCIL OF CANADA, whose full post office address is Montreal Road, Ottawa, Ontario, K1A 0R6, Canada, (hereinafter referred to as the "Assignee") is desirous of acquiring the entire right, title, and interest in and to said inventions and discoveries, and in and to the Applications inclusive of any and all priority rights derived therefrom for any and all countries in the world, and in and to any and all Letters Patent issuing in any and all countries in the world, to be granted for said inventions and discoveries based on any or all of said Applications or on patent applications filed in any country claiming priority from any or all of said Applications;

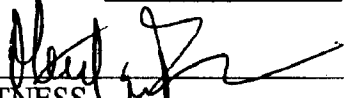
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we the assignors, do hereby sell, assign, transfer, and set over unto the Assignee, its successors and assigns, our entire right, title, and interest in and to the inventions and discoveries disclosed in the Applications, and in and to the Applications and any continuations or divisions thereof and all priority rights derived therefrom, and in and to any and all patent applications and any continuations or divisions thereof filed in any country claiming priority from any of the Applications, and in and to all Letters Patent which may be granted upon the Applications or upon patent applications filed in any and all countries in the world claiming priority from any of the Applications, and in and to any and all reissues, substitutions, or prolongations thereof; the same to be held and enjoyed by the Assignee for its own use and behoof, and for the use and behoof of its successors and assigns, to the full end of the term or terms for which said Letters Patent, or reissues, substitutions, or prolongations thereof may be granted, as fully and entirely as the same would have been held and enjoyed by us had this assignment and sale not been made;


AND we hereby agree that, upon request, and without further consideration, but at the expense of the Assignee, we will communicate to the Assignee or its representatives or nominees any facts known to us respecting said inventions and discoveries and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally aid the Assignee, its successors, assigns, and nominees to obtain and enforce proper patent protect for said inventions and discoveries in all countries, and we further agree to execute any and all further papers which may be necessary or desirable to vest or perfect the title of the Assignee, its successors and assigns, in and to said inventions and discoveries, and in and

to any and all Letters Patent or reissues thereof which may be granted upon applications in any and all countries in the world;


AND we hereby authorize and request any official whose duty it is to issue patents to issue each and every Letters Patent to be granted upon the aforesaid applications in any and all countries, and each and every reissue of said Letters Patent, to the Assignee, its successors and assigns, as the assignee of our entire right, title and interest therein, in accordance with this assignment.

SIGNED at Boucherville, this 17 day of November, 2003.


WITNESS


Minh-Tan Ton-That

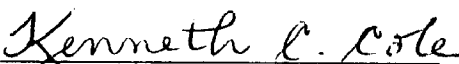
SIGNED at Boucherville this ___ day of 21, Nov, 2003.


WITNESS

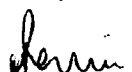

Lechoslaw Adam Utracki

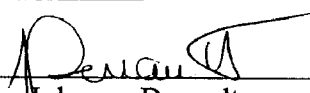
SIGNED at Boucherville, this 17 day of November, 2003.


WITNESS


Kenneth Cole

SIGNED at Boucherville, this 18 day of November, 2003.


WITNESS


Johanne Denault