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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Alcon Universal Ltd.

Bosch 69

Hunenberg, Switzerland CH-6331

3.36.04

2. Name and address of receiving party(ies)

Name: Alcon, Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐

Assignment

☐

Merger

☐

Security Agreement

☒

Change of Name

☐

Other _____

Street Address: Bosch 69

City: Hunenberg State: Switzerland Zip: CH-6331

Execution Date: 02/11/02

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/186,960

B. Patent No.(s) 6,413,540

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Alcon Research, Ltd.

Internal Address: Mail Code Q-148

Street Address: 6201 South Freeway

City: Fort Worth State: TX Zip: 76134

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 3.41).....\$ 80.00

☐

Enclosed

☒

Authorized to be charged to deposit account

8. Deposit account number:

501051

OPR/TH/ANDE

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9. Signature.

W. David Lee, Reg. No. 39,743

Name of Person Signing

W. David Lee

Signature

3/30/04

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

04/01/2004 ECDOPER 00000027 501051 10186960

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PATENT
REEL: 015156 FRAME: 0086



**EXTRACT
OF THE REGISTER OF COMMERCE
OF THE CANTON OF ZUG**

Name: Alcon, Inc.
(formerly Alcon Universal Ltd (AG/SA))

Domicile, Address: HÜNENBERG, Bösch 69

Purpose: Purchase, hold, exploit and transfer of patents, trademarks, technical and industrial knowhow, render technical and administrative consulting services as well as hold industrial and commercial participation in other industrial and business establishments

Nature of Company: Company limited by shares
Registered: 13.03.1992
Latest articles: 20.12.2001

Company Capital: Share capital: CHF 60'000'000.00; divided in 300'000'000 registered shares at CHF 0.20 each; fully paid in

Instrument for publication: STJL (Swiss Trade Journal)

Special Notes: In-kind: Investment in subsidiaries and intangible rights according to the list on the appendix to the In-kind contract dated 21.12.1998 at the rate of CHF 298'022'970.00, for which 50'000 registered shares at CHF 1'000.00 have been issued

In-kind: Three claims in the amount of CHF 360'225'400.00 according to the In-kind contract dated 15.07.1999 at the rate of CHF 360'225'400.00, for which 6'000 registered shares at CHF 1'000.00 have been issued

Board of Directors: Sear Timothy, citizen of Australia, in Fort Worth (USA), Chairman of the Board of Directors, with single signature
Rossier Claude, citizen of Lovens, in Belmont-sur-Lausanne, Vice-Chairman of the Board of Directors, with single signature
Dupont Odette, citizen of Bex, in Bex, Secretary of the Board of Directors, with single signature

Further members of the Board of Directors, with single signature:
Baechler Gaston, citizen of Praroman, in Blonay
Castaner Francisco, citizen of Spain, in Pully
Pedersen Alain, citizen of Schaffhausen, in St-Légier

Further persons authorized to sign: Koller Guido, citizen of Oppikon, in Menzingen, Manager. He signs jointly at two

Basler Stefan, citizen of Uerkheim, in Edlibach
Schneider Martin, citizen of Doerflingen, in Sins
They have joint procuration by two

Auditors:

KPMG Fides Peat, in Zug

Last publication:

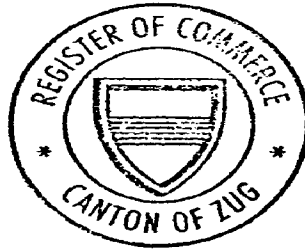
Swiss Trade Journal

Nr. 24 dated February 5, 2002; page 18, Id. 326160

Zug, February 11, 2002

REGISTER OF COMMERCE
OF THE CANTON OF ZUG

CH-170.3.017.372-9



M. Z. L.