

04-06-2004

3 SHEET



Case Docket No. 50T5601.01/1696

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102713891

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<p>1. Name of conveying party: Lei Duan Gustavo Abrego Xavier Menendez-Pidal Lex Olorenshaw</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies): Name: Sony Corporation</p> <p>Internal Address:</p> <p>Street Address: 6-7-35 Kitashinagawa 6-Chome, Shinagawa-Ku</p> <p>City: Tokyo State: ZIP: 141-0001 Country: Japan</p> <p>Additional name(s) & address(es) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>3. Nature of Conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p> <p>Execution Date: March 15, 2004</p>	

22859 U.S. PTO
10/812561



4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is:

10812561
March 15, 2004

<p>A. Patent Application No(s):</p> <p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>B. Patent No(s):</p> <p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: Gregory J. Koerner Simon & Koerner, LLP</p> <p>Street Address: 10052 Pasadena Avenue, Suite B</p> <p>City: Cupertino State: CA ZIP: 95014</p>	<p>6. Number of applications and patents involved: [1]</p> <p>7. Total fee (37 CFR 3.41): \$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: 50-1443 (Attach duplicate copy of this page if paying by deposit account)</p>
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9. Statement and signature:
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gregory J. Koerner, Reg. No. 38,519
Name of Person Signing

Signature

3/22/04
Date

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ATTACHMENT TO (BOX No. 2) NAME AND ADDRESS OF RECEIVING PARTIES

NAME: Sony Electronics Inc.

STREET: 1 Sony Drive

CITY: Park Ridge

STATE: New Jersey

ZIP CODE: 07656

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, We, the undersigned, **Lei Duan, Gustavo Abrego, Xavier Menendez-Pidal and Lex Olorenshaw**, do hereby sell, assign, and transfer to **Sony Corporation**, a Japanese corporation with offices at 6-7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo, 141-0001, Japan, and to **Sony Electronics Inc.**, a Delaware corporation with offices at 1 Sony Drive, Park Ridge, New Jersey 07656 ("Assignees"), their successors, assigns, and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith, and is entitled:

**"System And Method For Effectively Implementing
An Optimized Language Model For Speech Recognition"**

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application; and

Agree that said Assignees may apply for and receive Letters Patent for said improvements in their own names; and that, when requested, without charge to, but at the expense of, said Assignees, their successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignees, their successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignees, their successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignees, their successors, assigns and legal representatives; and

Covenant with said Assignees, their successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 3-15-2004

Name: *Lei Duan*
Lei Duan

Date: 3/15/04

Name: *Gustavo Abrego*
Gustavo Abrego

Date: 3/15/04

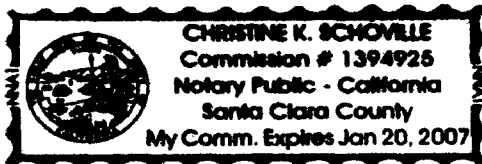
Name: *Xavier Mendez-Pidal*
Xavier Mendez-Pidal

Date: 3/15/04

Name: *Lex Olorenshaw*
Lex Olorenshaw

STATE OF California)
COUNTY OF Santa Clara) ss.

On this 15 day of March, in the year of 2004, before me, Christine K. Schoville, Notary Public, personally appeared the above-named assignors, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name is subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that his/her/their signature(s) on the instrument, the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.



Christine K. Schoville