

FORM PTO-1595 (Rev. 10/02)		RECORDATION FORM COVER SHEET		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
Docket No.: 066671-0130		PATENTS ONLY			
To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereto:					
1. Name of Conveying Party(ies): Tomoko Kuwabara and Fred H. Gage Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			2. Name and address of receiving party(ies): Name: Salk Institute for Biological Studies Address: 10010 North Torrey Pines Road La Jolla, CA 92037-1099 Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date: August 18, 2004			4. Application number(s) or patent number(s): If the document is being filed together with a new application, the execution date of the application is: A. Patent Application No(s). 10/857,784 B. Patent No(s). Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: McDERMOTT WILL & EMERY LLP Internal Address: Street Address: 4370 La Jolla Village Drive, Suite 700 City: San Diego State: CA Zip: 92122			6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41) \$40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: 502624		
DO NOT USE THIS SPACE					
9. Statement and signature. <p style="text-align: center;"><i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></p> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="width: 40%;"> Astrid R. Spain, Reg. No. 47.956 </div> <div style="width: 30%; text-align: center;"> </div> <div style="width: 20%; text-align: right;"> September 23, 2004 </div> </div>					
Name and Registration No. of Person Signing		Signature		Date	
Total number of pages including cover sheet:				3	
OMB No. 0651-0027 (exp. 6/30/2005)					

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on Sept. 23, 2004.

 Cris Johnson

CH \$40.00 502624 10857784

Docket No.: 066671-0130

ASSIGNMENT

WHEREAS WE, Tomoko Kuwabara of Ibaraki, Japan and Fred H. Gage of La Jolla, California, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled TRANSCRIPTIONAL REGULATOR OF GENE EXPRESSION BY SMALL DOUBLE-STRANDED RNA, executed by me on the date of execution of this document, as shown below, and filed concurrently herewith;

OR

for which an application for United States Letters Patent was filed on May 28, 2004, and identified by United States Serial No. 10/857,784;

AND WHEREAS, SALK INSTITUTE FOR BIOLOGICAL STUDIES, a corporation of the State of California and having an address of 10010 North Torrey Pines Road, La Jolla, California is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto SALK INSTITUTE FOR BIOLOGICAL STUDIES, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner for Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said SALK INSTITUTE FOR BIOLOGICAL STUDIES, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Date

08/18/04

Date

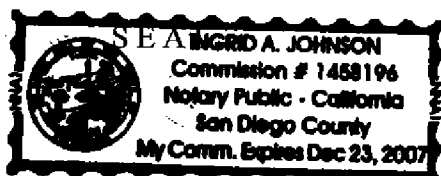
08/18/04

Tomoko Kuwabara

Fred H. Gage

STATE OF CALIFORNIA)
COUNTY OF SAN DIEGO) SS:

On this 18TH day of AUGUST, 2004, before me personally appeared Tomoko Kuwabara, to me known to be the person named in and who executed the above instrument, and acknowledged to me that ~~he~~/she executed the same for the uses and purposes therein set forth.

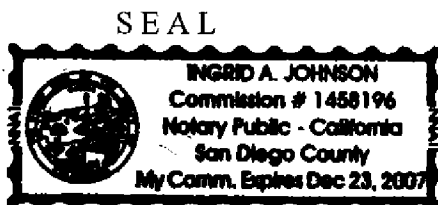


Ingrid A. Johnson
Notary Public

My commission expires December 23, 2007

STATE OF CALIFORNIA)
COUNTY OF SAN DIEGO) SS:

On this 18TH day of AUGUST, 2004, before me personally appeared Fred H. Gage, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/~~she~~ executed the same for the uses and purposes therein set forth.



Ingrid A. Johnson
Notary Public

My commission expires DECEMBER 23, 2007