

04-06-2004



COVER SHEET
-Y

U.S. Department of Commerce
Patent and Trademark Office
Attorney Docket No. 08350.3167
Attorney Customer Number: 22,852

4.104

ATTN. BOX ASSIGNMENTS

To the Honorable Com
Please record the attac

1. Name of conveying party(ies):
1. Stuart J. Walker
2. David B. Mason, Jr.
3. David L. Dickrell

102213374



Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: 1. March 29, 2004
2. March 29, 2004
3. March 29, 2004

2. Name and address of receiving party(ies):

Name: Caterpillar Inc.
100 N.E. Adams Street
Peoria, IL 61629-6490

Additional name(s) & Address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:

A. Patent Application Number(s):

10/697,797

B. Patent Number(s):

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christopher P. Isaac

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Street Address: 1300 I Street, N.W.

City: Washington, D.C.

State: Zip: 20005-3315

6. Total number of applications and registrations involved:
1

7. Total fee (37 CFR 3.41): \$40

- ☒ Enclosed (Please charge deficiency to deposit account)
☐ Authorized to be charged to deposit account

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

04/05/2004 DBYRME 00000081 10697797

01 FC:0021

40.00 OP

Dustin T. Johnson
Reg. No. 47,684

Signature

April 1, 2004
Date

Total number of pages including cover sheet, attachments and documents: 10

SOLE/JOINT INVENTION
(Worldwide Rights)
Attorney Docket No. 08350.3167
Client Ref. No. 03-167

ASSIGNMENT

WHEREAS We, the below named inventors, [hereinafter referred to as Assignors], have made an invention entitled:

AUTOMATED MANUAL TRANSMISSION AND SHIFT METHOD

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on October 31, 2003 (Serial No. 10/697,797); and

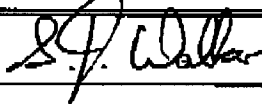
WHEREAS, Caterpillar Inc., a corporation of Delaware, whose post office address is 100 N.E. Adams Street, Peoria, IL 61629-6490 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, We, as Assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention, provisional application Serial No. _____, filed _____ (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;


AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.


IN TESTIMONY WHEREOF, We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR Stuart J. Walker	ASSIGNOR'S SIGNATURE 	DATE 29 MAR 2004
ADDRESS 24 Silvermere Park, Shifnal, Shropshire, TF11 9BN, United Kingdom		CITIZENSHIP United Kingdom

Listing of Inventors Continued on Page 2 hereof ☒ Yes ☐ No

SOLE/JOINT INVENTION
(Worldwide Rights)
Attorney Docket No. 08350.3167
Client Ref. No. 03-167

1. WITNESS NAME CRAIG HUDSON	WITNESS SIGNATURE 	DATE 29/03/04
ADDRESS THE HAYLOFT, ASTWOOD LANE, STAKE FRIER, CROMSLOVE, WORKS. B60 4EB.		CITIZENSHIP UNITED KINGDOM

2. WITNESS NAME JOHN BROADMEADOW	WITNESS SIGNATURE 	DATE 29/3/04
ADDRESS 119 HELMING DRIVE, WOLVERHAMPTON WEST MIDLANDS WV1 2AH		CITIZENSHIP U.K.

2. FULL NAME OF SECOND ASSIGNOR, IF ANY David B. Mason, Jr.	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 120 Bradford Circle, Clayton, North Carolina 27520		CITIZENSHIP USA

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
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3. FULL NAME OF THIRD ASSIGNOR, IF ANY David L. Dickrell	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 136 Glenview Avenue, East Peoria, IL 61611		CITIZENSHIP USA

1. WITNESS NAME	WITNESS SIGNATURE	DATE
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NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, We, as Assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention, provisional application Serial No. _____, filed _____ (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

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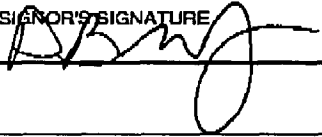
1. FULL NAME OF SOLE OR FIRST ASSIGNOR Stuart J. Walker	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 24 Silvermere Park, Shifnal, Shropshire, TF11 9BN, United Kingdom		CITIZENSHIP United Kingdom


Listing of Inventors Continued on Page 2 hereof ☒ Yes ☐ No

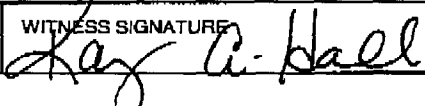
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2. FULL NAME OF SECOND ASSIGNOR, IF ANY David B. Mason, Jr.	ASSIGNOR'S SIGNATURE 	DATE 3/29/04
ADDRESS 120 Bradford Circle, Clayton, North Carolina 27520		CITIZENSHIP USA

1. WITNESS NAME Chris A. DeVecchio	WITNESS SIGNATURE 	DATE 3/29/04
ADDRESS 104 Tenbury Wells Dr, Cary NC, 27511		CITIZENSHIP US

2. WITNESS NAME Kay A. Hall	WITNESS SIGNATURE 	DATE 3/29/04
ADDRESS 801 Autumn Ct. Raleigh, NC 27609		CITIZENSHIP US

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ADDRESS 136 Glenview Avenue, East Peoria, IL 61611		CITIZENSHIP USA

1. WITNESS NAME J. David Weaver, Jr.	WITNESS SIGNATURE <i>J. David Weaver, Jr.</i>	DATE 3-29-2004
ADDRESS 503 N. Santa Fe Peoria, IL 61659		CITIZENSHIP USA

2. WITNESS NAME JOSEPH A WILLENBORG	WITNESS SIGNATURE <i>Joseph A. Willenborg</i>	DATE 3/29/04
ADDRESS 6912 N. CAMELOT RD PEORIA, IL 61615		CITIZENSHIP USA