

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>RENE BACHOFNER</td><td>01/23/2004</td></tr><tr><td>PETRA FABIJAN</td><td>01/23/2004</td></tr><tr><td>WOLFGANG KAPPIS</td><td>01/26/2004</td></tr><tr><td>MICHAEL MUELLER</td><td>02/04/2004</td></tr></tbody></table>	Name	Execution Date	RENE BACHOFNER	01/23/2004	PETRA FABIJAN	01/23/2004	WOLFGANG KAPPIS	01/26/2004	MICHAEL MUELLER	02/04/2004	
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WOLFGANG KAPPIS	01/26/2004										
MICHAEL MUELLER	02/04/2004										
RECEIVING PARTY DATA											
Name:	ALSTOM Technology Ltd										
Street Address:	Brown Boveri Strasse 7										
City:	Baden										
State/Country:	SWITZERLAND										
Postal Code:	CH-5400										
PROPERTY NUMBERS Total: 1											
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10792708</td></tr></tbody></table>	Property Type	Number	Application Number:	10792708							
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Application Number:	10792708										
CORRESPONDENCE DATA											
Fax Number:	(703)768-0994										
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone:	7037680994										
Email:	ACermak@Cermak-Kenealy.com										
Correspondent Name:	Adam J. Cermak										
Address Line 1:	P.O. Box 7518										
Address Line 4:	Alexandria, VIRGINIA 22307										
NAME OF SUBMITTER:	Adam J. Cermak										
Total Attachments: 3 source=10792708 Assignment (1)#page1.tif source=10792708 Assignment (2)#page1.tif source=10792708 Assignment (3)#page1.tif											

OP \$40.00 10792708

PATENT

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REEL: 015171 FRAME: 0865

ASSIGNMENT

(JOINT)

THIS ASSIGNMENT, by **René BACHOFNER; Petra FABIJAN; Wolfgang KAPPIß**, and **Michael MUELLER**, residing at **CH-5432 Neuenhof, Switzerland; CH-5506 Tägerig, Switzerland; CH-5442 Fislisbach, Switzerland** and **D-89075 Ulm, Germany** (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in "**ROTOR END PIECE**" set forth in an application for Letters Patent of the United States,

- (1) ☐ which is a provisional application
 - (a) ☐ to be filed herewith; or
 - (b) ☐ bearing Application No. _____, and filed on _____; or
- (2) ☒ which is a non-provisional application
 - (a) ☐ having an oath or declaration executed on even date herewith prior to filing of the application;
 - (b) ☒ bearing Application No. 10792,708, and filed on 5 March 2004; or
 - (c) ☒ to be filed; and

WHEREAS, **ALSTOM TECHNOLOGY LTD**, a corporation duly organized under and pursuant to the laws of **SWITZERLAND** and having a principal place of business at **BROWN BOVERI STRASSE 7, CH-5400 BADEN, SWITZERLAND** hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

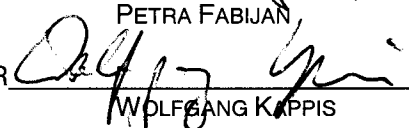
AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of Law Office of Adam J. Cermak, of Alexandria, Virginia, to insert in the spaces provided above the filing date, application number, and attorney's docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

DATE 23.01.04 SIGNATURE OF ASSIGNOR 
RENÉ BACHOFNER

DATE 23.01.04 SIGNATURE OF ASSIGNOR 
PETRA FABIJAN

DATE 26.01.04 SIGNATURE OF ASSIGNOR 
WOLFGANG KOPPIS

DATE _____ SIGNATURE OF ASSIGNOR _____
MICHAEL MUELLER

DATE _____ SIGNATURE OF ASSIGNOR _____

DATE _____ SIGNATURE OF ASSIGNOR _____

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

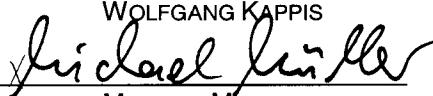
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DATE _____ SIGNATURE OF ASSIGNOR _____
RENÉ BACHOFNER

DATE _____ SIGNATURE OF ASSIGNOR _____
PETRA FABIJAN

DATE _____ SIGNATURE OF ASSIGNOR _____
WOLFGANG KAPPIS

DATE X 4.7.04 SIGNATURE OF ASSIGNOR X 
MICHAEL MUELLER

DATE _____ SIGNATURE OF ASSIGNOR _____

DATE _____ SIGNATURE OF ASSIGNOR _____