

ASSIGNMENT RECORDATION FORM COVER SHEET *Patents Only*

To: Commissioner for Patents:

Date: September 27, 2004
Attorney Docket No. 5405-240

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

[1.] **Rose-Mary N. Boustany**

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):

Duke University
Erwin Road
Durham, North Carolina

3. Nature of conveyance:

☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other

Execution Date:

[1.] September 24, 2004

Additional name(s) & address(es) attached? Yes ☒ No4. Application Serial No. 10/148,859 Patent No. _____

If this document is being filed together with a new application, the execution date of the application is: _____

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Kenneth D. Sibley
Myers Bigel Sibley & Sajovec
P. O. Box 37428
Raleigh NC 276276. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40.00
☐ Enclosed
☒ Authorized to be charged to deposit account

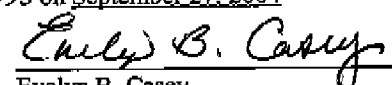
8. Deposit account number: 50-0220

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Kenneth D. Sibley

Date

9/27/04Total number of pages including cover sheet, attachments and document: 3CERTIFICATION OF FACSIMILE TRANSMISSION
UNDER 37 CFR § 1.8I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office via facsimile number **703-306-5995** on September 27, 2004
Evelyn B. Casey

CH \$40.00 500220 10148859

Attorney Docket No. 5405-240

ASSIGNMENT

THIS ASSIGNMENT, made by me, **Rose-Mary N. Boustany**, a citizen of the United States of America, residing at 103 Pinecrest Road, Durham, North Carolina 27705;

WITNESSETH: That,

WHEREAS, I am the sole inventor of certain new and useful improvements in **METHODS OF TREATING BATTEN DISEASE**, for which an application has been filed in the United States Patent and Trademark Office under Application No. 10/148,859, filed October 7, 2002; and

WHEREAS, **Duke University**, an educational institution having a principal place of business at Erwin Road, City of Durham, County of Durham, State of North Carolina, hereinafter referred to as assignee, is desirous of acquiring the entire right, title and interest in and to said invention as described in said provisional application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and provisional application, and in and to any and all subsequent patent applications which claim the benefit of priority from said provisional application including regular utility, continuations, and continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues or other forms of protection thereof which may be granted for the invention in or on applications claiming the benefit of priority from said provisional application, for the full end of the term for which said Letters Patent may be granted along with any term extensions thereof or therefor, together with the right to claim the benefit of priority from said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

I further covenant and agree that, at the time of the execution and delivery of these presents, I possess full title to the invention and provisional application above-mentioned, and that I have the unencumbered right and authority to make this assignment.

Attorney Docket No. 5405-240

I further covenant and agree to communicate to said assignee or its representatives any facts known to me relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives; and I hereby instruct, and further covenant and agree to bind my heirs, legal representatives, and assigns, to do same, without further compensation, but at the expense of said assignee or its representatives.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 24 day of September, 2004.

 (SEAL)
Rose-Mary N. Boustany

STATE OF NORTH CAROLINA

COUNTY OF Durham) ss:

Before me personally appeared the person described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same for the purposes therein stated, this 24 day of September, 2004.


Notary Public

 My Commission Expires: May 15, 2007