

PATENT

CI

04-12-2004



102719108

Attorney Docket No. M61.12-0624

To the Honorable Director, United States Patent and Trademark Office: Please record the attached original document and one copy thereof.

4-6-04

1. Name of conveying party(ies):  
(1) Peter K.L. Mau  
(2) Dong Yu

Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No

10819023

2. Name and address of receiving party(ies):

Name: Microsoft Corporation

Internal Address:

Street Address: One Microsoft Way

City Redmond State WA ZIP 98052

Additional name(s) & address(es) attached? [ ] Yes [X] No

22857 U.S. PTO 10/819023

040604

3. Nature of Conveyance:

- Assignment [ ] Merger
- Security Agreement
- Change of Name [ ] Other \_\_\_\_\_

Execution Date: 4/5/04; 4/5/04

4A. Application No.(s)

---

If this document is being filed together with a new application, the execution date(s) of the application is: 4/5/04; 4/5/04

Additional numbers attached? [ ] Yes [X] No

4B. Patent No.(s)

Additional numbers attached? [ ] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Theodore M. Magee  
 Street Address: Westman, Champlin & Kelly, P.A.  
 Suite 1600  
 International Centre  
 900 Second Avenue South  
 City: Minneapolis State: MN ZIP 55402

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41): .....\$ 40.00

8. Method of Payment

- Enclosed
- The Director is authorized to charge payment of any additional recording fees or credit any overpayment to deposit account No. 23-1123.

04/09/2004 ECDOPER 00000083 10819023

01 FC:8021

40.00 UP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Theodore M. Magee  
Name of Person Signing

*Theodore M. Magee*  
Signature

4-6-04  
Date

Total number of pages submitted: [5]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Filing Date ..... Executed Concurrently Herewith  
Inventorship ..... Peter K.L. Mau et al.  
Applicant ..... Microsoft Corporation  
Attorney's Docket No. .... M61.12-0624  
MS Docket No. .... 303604.01  
Title:.....EFFICIENT CAPITALIZATION THROUGH USER MODELING

PATENT ASSIGNMENT

PARTIES TO THE ASSIGNMENT

Assignor(s):

Peter K.L. Mau  
6305 151 Avenue SE  
Bellevue, WA 98006

Dong Yu  
12429 107<sup>th</sup> Place NE  
Kirkland, WA 98034

Assignee:

Microsoft Corporation  
Corporation in the State of Washington  
One Microsoft Way  
Redmond, WA 98052

AGREEMENT

WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of an invention entitled "EFFICIENT CAPITALIZATION THROUGH USER MODELING," as described and claimed in the specification forming part of an application for United States letters patent referenced above;

WHEREAS, Microsoft Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Washington having a place of business at One Microsoft Way, Redmond, WA 98052, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefore in the United States and in any and all foreign countries;

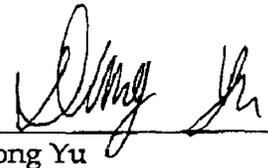
NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sell, assign and transfer unto ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR(S) had this assignment, transfer and sale not been made. ASSIGNOR(S) hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNOR(S) agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefore.

4/5/2004  
Date

  
Peter K.L. Mau

4/5/2004

Date



Dong Yu