

Date of Deposit: April 7, 2004

Attorney's Docket No. 24334-0

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

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U.S. DEPARTMENT OF  
Patent01-12-2004  
102718785

FINANCE SECTION

To the: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

- a) Andreas Caduff  
b) Etienne Hirt  
c) Thomas W. Schrepfer

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: a) March 8, 2004; b) February 24, 2004; and  
c) March 8, 2004

## 2. Name and address of receiving party(ies)

Name: Pendragon Medical Ltd.

Internal Address:

Street Address: Hagenholzstrasse 81a  
CH-8050 Zürich  
SWITZERLANDAdditional name(s) & addresses(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/656,997

B. Patent No.(s)

Filed: September 5, 2003

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
Concerning document should be mailed:

Name: C. Eric Schulman, Esq.  
Address: MINTZ, LEVIN, COHN, FERRIS  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston, MA 02111

## 6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ The Commissioner is authorized to charge the deposit  
account of the undersigned.

8. Deposit Account No: 50-0311, Ref. No. 24334-003.

DO NOT USE THIS SPACE

## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

C. Eric Schulman, Esq., Reg. No. 43,350



April 7, 2004

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: [ 4 ]

04/09/2004 6TOM11 00000034 10656997

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**ASSIGNMENT**

We, **Andreas Caduff, Etienne Hirt, Thomas W. Schrepfer, all of Switzerland**, the undersigned, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, hereby assign, sell and transfer to **Pendragon Medical Ltd., a corporation of Switzerland, with offices at Hagenholzstrasse 81a, CH-8050 Zürich, Switzerland**, and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE: (1) my entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the application for United States Letters Patent entitled:

**IMPEDANCE SPECTROSCOPY BASED SYSTEMS AND METHODS**

filed with the U.S. Patent and Trademark Office on September 5, 2003 and assigned Serial No. 10/656,997, including any utility applications, renewals, revivals, reissues, reexaminations, extensions, continuations, continuations-in-part and divisions thereof and any substitute applications therefor; and any legal equivalent thereof in a foreign country (2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names at the ASSIGNEE's, or its designee's, election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or any legal equivalent thereof in any country, and any utility applications, renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

We agree, at any time, upon the request of the ASSIGNEE, to execute and to deliver to the ASSIGNEE any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries, or applications and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.


We further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

We hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

We further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may

be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date: Mardi, 08/03/2004



**Andreas Caduff**

State of ZÜRICH  
County of SWITZERLAND

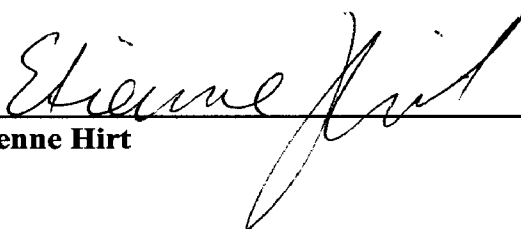
Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, 2004.

SEAL

\_\_\_\_\_  
Notary Public

My commission expires \_\_\_\_\_

Date: 24.2.04



**Etienne Hirt**

State of Zürich  
County of Switzerland

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, 2004.

SEAL

\_\_\_\_\_  
Notary Public

My commission expires \_\_\_\_\_

Official Certification

Seen for authentication of the above signature, affixed in our presence by

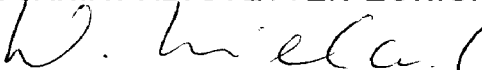
**Mr. Etienne Ramon HIRT**, born 05.10.1970, citizen of Brugg/AG, residing at Röhrliberg 50, 6330 Cham,  
who has identified himself by passport.

Zürich, this 24.02.2004

B No. 466  
Fee: Fr. 20.--



NOTARIAT ALTSTETTEN-ZÜRICH

  
Walter Wieland, certifying officer

Date: March, 8, 2004

125  
Thomas W. Schrepfer

State of ZÜRICH  
County of SWITZERLAND

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, 2004.

SEAL

\_\_\_\_\_  
Notary Public

My commission expires \_\_\_\_\_

TRA 1873204v1

Official Certification

Seen for authentication of the above signature, affixed in our presence by  
Thomas Walter SCHREPFER, born 9 October 1958, Swiss citizen of Obstalden GL,  
residing at Rumermatt 184, CH-5225 Oberbözing AG,  
who has identified himself by driver's license.

CH-8050 Zurich, 8 March 2004 / ra

B No. 370  
Fee: Fr. 20.--



NOTARIAT OERLIKON-ZUERICH

L. Hinder, Deputy Notary