

4.9.04

04-13-2004

Attorney Docket No. 40-001210US

FORM PTO-1595  
(Rev. 6-93)

102720007

U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Asst. Commissioner for

Unrecorded original documents or copy thereof

1. Name of conveying party(ies):

Larry Bock

Additional name(s) of conveying parties attached? **YES**

2. Name and address of receiving party(ies)

Name: NANOSYS, Inc.

Internal Address:

Street Address:

2625 Hanover Street  
Palo Alto, CA 94304

Additional names and addresses attached? No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: February 11, 2004

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 10/683,583

B. Patent No(s):

Additional numbers attached? No

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name:

QUINE INTELLECTUAL PROPERTY  
LAW GROUP, P.C.  
P.O. Box 458  
Alameda, CA 94501  
(510) 337-7871

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit AccountX Charge any additional fees associated with this paper or during  
the pendency of this application, or credit any overpayment, to  
deposit account.

8. Deposit account number: 50-0893

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the  
original document.*Jonathan A. Quine  
Name of Person Signing  
SignatureApril 7, 2004  
Date

Atty Reg. No. 41,261

Total number of pages including cover sheet, attachments and documents

10. Change Correspondence Address to that of Part 5?

☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover to:

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FINANCE SECTION

04/12/2004 LMUELLER 00000124 500893 10683583

01 FC:8021 40.00 DA

PATENT  
REEL: 015189 FRAME: 0601

1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

|                    |                                   |
|--------------------|-----------------------------------|
| R. Hugh Daniels    | Execution Date: February 11, 2004 |
| Stephen Empedocles | Execution Date: February 16, 2004 |
| Chumming Niu       | Execution Date: February 11, 2004 |
| John C. Owicki     | Execution Date: April 4, 2004     |
| Vijendra Sahi      | Execution Date: February 11, 2004 |
| Calvin Chow        | Execution Date: February 11, 2004 |
| George Pontis      | Execution Date: March 29, 2004    |

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

3. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

## ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Larry Bock, of 3663 Lone Dove Lane, Olivenhain, California, R. Hugh Daniels of 1144 Katie Court, Mountain View, California, Stephen Empedocles of 2507 Mardell Way, Mountain View, California, Chumming Niu, 81 Fottler Avenue, Lexington, Massachusetts, John C. Owicki of 956 North California Ave, Palo Alto, California, Vijendra Sahi of 32 E. Springfield Street, Apt. 2, Boston, Massachusetts, Calvin Chow of 455 Minoca Road, Portola Valley, California, and George Pontis, of 25 Hermosa Road, Redwood City, California, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: NANO-CHEM-FET BASED  
BIOSENSORS

Filing Date: October 9, 2003

Application No.: 10/683,583; and


WHEREAS, NANOSYS, Inc., a Delaware corporation, located at 2625 Hanover Street, Palo Alto, CA 94304, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

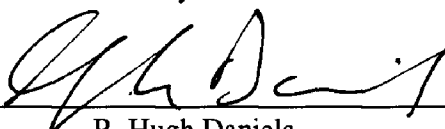
Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: 2/11/04

  
Larry Bock

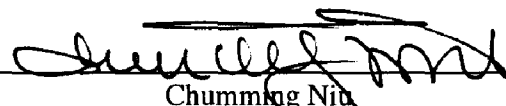
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R. Hugh Daniels

Dated: 2/16/04

  
Stephen Empedocles

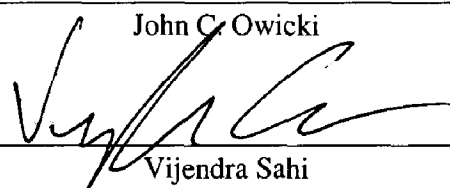
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Chumming Niu


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John C. Owicki

Dated: 2/11/04

  
Vijendra Sahi

Dated: 2/11/04

  
Calvin Chow

Dated: \_\_\_\_\_

George Pontis

Assignment  
Application No.: 10/683,583  
Page 2

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

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R. Hugh Daniels

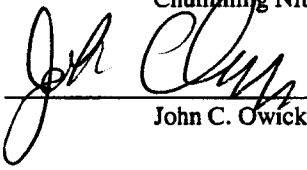
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Chumming Niu

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John C. Owicki

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Vijendra Sahi

Dated: \_\_\_\_\_

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Calvin Chow

Dated: \_\_\_\_\_

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George Pontis

Assignment  
Application No.: 10/683,583  
Page 2

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Dated: \_\_\_\_\_

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Vijendra Sahi

Dated: \_\_\_\_\_

\_\_\_\_\_  
Calvin Chow

Dated: Mar. 29, 2004

George Pontis  
George Pontis