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| OMB No. 0651-0027 (exp. 6/30/2005) 10272                      | 20033  |
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|   | Please record the attached original documents or copy thereof. |
| Name of conveying party(ies):                                 | 2. Name and address of receiving party(ies)                    |
| Invensys Building Systems, Inc.                               | Name: Deutsche Bank AG, London                                 |
| minority's Landing Cyclonic, mor                              |  |
|   | Internal Address: Winchester House                             |
|   | 1 Great Winchester Street                                      |
| Additional name(s) of conveying party(ies) attached? Yes V No | Internal Address: Winchester House  1 Great Winchester Street  |
| 3. Nature of conveyance:                                      | London, EC2 2EQ, UK Attn: Sean Malone (7)                      |
| Assignment Merger   |  |
|   | Street Address: (same)   |
| Security Agreement Change of Name                             | 5  |
| Other   |  |
|   | City:State:Zip:  |
| 4/1/2004  | OityStateZip   |
| 4/1/2004<br>Execution Date:                                   | Additional name(s) & address(es) attached? Yes V No            |
| 4. Application number(s) or patent number(s):                 |  |
| If this document is being filed together with a new appl      | ication, the execution date of the application is:             |
| A. Patent Application No.(s)                                  | B. Patent No.(s)   |
|   | See Attached Schedule A  |
| none<br>  |  |
| Additional numbers at   | tached? 🗸 Yes No   |
| 5. Name and address of party to whom correspondence           | 6. Total number of applications and patents involved: 17       |
| concerning document should be mailed:                         | 680 00   |
| Name:Adam M. Grandy, Legal Assistant                          | 7. Total fee (37 CFR 3.41)\$ 680.00                            |
| c/o Palmer & Dodge LLP  | <b>✓</b> Enclosed  |
| Internal Address:   |  |
|   | Authorized to be charged to deposit account                    |
|   |  |
|   | 8. Deposit account number:                                     |
| Street Address: 111 Huntington Avenue                         | ·  |
| at Prudential Center  | 16/0085  |
|   |  |
| City: Boston State: MA Zip: 02199                             |  |
| 5 kg5 kd.c2 p   |  |
| DO NOT USE  | THIS SPACE   |
| 9. Signature.   |  |
|   |  |
| Adam M Oracl  | 04/00/04   |
| Adam M. Grandy  | 04/02/04   |
| Name of Person Signing  | Signature \ Date   |

04/12/2004 LMUELLER 00000105 6394135

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Total number of pages including cover sheet, attachments, and documents: Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

## INVENSYS BUILDING SYSTEMS, INC.

## SCHEDULE A

## 4.A. Patent Application Numbers:

| PATENT   | Application /Serial No. | Application Date |  |
|--|-------------------------|------------------|--|
| Manual Override And Locking Mechanism And Actuator Including | 101681                  | 20 March 02      |  |
| Precision Modulating Globe Valve                             | 184245                  | 28 June 02       |  |

## 4.B. Patent Numbers:

| PATENT  | Patent No. | Application Date |
|---|------------|------------------|
| Balanced Plug Valve With Contour Wall   | 6394135    | 18 May 99        |
| Event Driven Remote Graphical Reporting Of Building Automation System Parameters                | 5061916    | 29 May 90        |
| Floating Fluid-Operated Actuator  | 5107678    | 17 May 91        |
| Method And Apparatus For Automatic Offset Compensation In Parameter- Sensing Transducer Systems | 4817022    | 30 July 86       |
| Motor-Driven, Spring-Returned Rotary<br>Actuator  | 5310021    | 18 February 93   |
| Network Management System Having An<br>Embedded Network Database                                | 6185566    | 5 May 98         |
| Non-Bridge Type Electronic Actuator<br>Control  | 5153493    | 4 February 91    |
| PC Card Test And Configuration Connector  | 5880936    | 14 August 97     |
| Stem Sealing System For Broad Temperature Ranges  | 6062570    | 21 March 96      |
| Systems And Methods For Actuator Power Failure Response   | 5872434    | 28 August 97     |
| Systems And Methods For Braking Of Actuator And Brushless DC Motor Therein                      | 5838124    | 28 August 97     |

PATENT REEL: 015190 FRAME: 0298

| PATENT .   | Patent No. | Application Date |  |
|--|------------|------------------|--|
| Versatile Interface Means For Computer-<br>Based Control Systems | 4872213    | 31 March 88      |  |
| Voltage Follower With Pull-Apart<br>Connector                    | 4945316    | 16 June 89       |  |
| Network Management System Having A<br>Network Database           | 6654750    | 24 October 00    |  |
| Two-Position Rotary Actuator<br>Incorporating Dc Solenoid        | 6651952    | 12 November 99   |  |

#### PATENT SECURITY AGREEMENT

#### WHEREAS:

- (A) Invensys Building Systems Inc. (the **Grantor**), a Delaware corporation whose principal place of business is located at 1354 Clifford Avenue, Rockford, IL 61111, is the owner and user of the patents issued by and/or the patent applications filed with the United States Patent and Trademark Office listed on the attached Schedule A (collectively, the **Patents**).
- (B) The Grantor has entered into:
  - a Pledge and Security Agreement dated March 5, 2004 (as amended, modified and supplemented from time to time, the Pledge and Security Agreement) between the Grantor and Deutsche Bank AG London, as security agent (the Security Agent) for and on behalf of the Secured Creditors (as defined in the Pledge and Security Agreement), pursuant to which the Grantor has granted to the Security Agent for the benefit of the Secured Creditors a security interest in, among other things, the Patents;
  - (2) the Senior Credit Facilities Agreement dated as of March 5, 2004 (as amended, modified and supplemented from time to time, the Senior Credit Facilities Agreement) among the Grantor, the Security Agent and the other Senior Finance Parties (as defined in the Pledge and Security Agreement); and
  - (3) the Second Lien Credit Agreement dated as of March 5, 2004 (as amended, modified and supplemented from time to time, the Second Lien Credit Agreement, and together with the Senior Credit Facilities Agreement, collectively, the Facilities Agreements) among the Grantor, the Security Agent and the other Second Lien Finance Parties (as defined in the Pledge and Security Agreement).
- (C) The parties to the Pledge and Security Agreement and the Facilities Agreements contemplate and intend that, if an Event of Default (as defined in the Pledge and Security Agreement) shall occur and be continuing, the Security Agent, for the benefit of the Secured Creditors, shall have all rights of a secured party in and to the Patents and any proceeds thereof, including, without limitation, the right to exercise its remedies under the Pledge and Security Agreement and the Facilities Agreements in connection with all of the Grantor's right, title and interest in the Patents;

**NOW**, therefore, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Grantor hereby agrees as follows:

1. The Grantor hereby reconfirms the terms of the Pledge and Security Agreement and the Facilities Agreements. The Grantor further hereby pledges and mortgages to the Security Agent, and grants to the Security Agent, for the benefit of the Secured Creditors, a

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PATENT REEL: 015190 FRAME: 0300 security interest in, all of the Grantor's right, title and interest in and to the Patents and all of the Grantor's rights in and to any and all causes of action heretofore or hereafter accrued or accruing for infringement or threatened or alleged infringement of the Patents (collectively, the **Patent Collateral**).

- 2. The pledge and mortgage of, and grant of security interest in, the Patent Collateral by the Grantor pursuant hereto secures the payment of all Secured Liabilities (as defined in the Pledge and Security Agreement) now or hereafter existing under or in respect of the Pledge and Security Agreement and the Facilities Agreements.
- 3. The Grantor authorizes and requests that the Commissioner of Patents and Trademarks and any other applicable United States government officer record this Pledge and Security Agreement.
- 4. This Patent Security Agreement has been entered into in connection with the Pledge and Security Agreement and the Facilities Agreements, and the Grantor and the Security Agent each hereby acknowledges and agrees that the pledge, mortgage and grant of security interest hereunder to, and the rights and remedies of the Security Agent with respect to the Patent Collateral are more fully set forth in the Pledge and Security Agreement and the Facilities Agreements, the terms and provisions of which are incorporated herein by reference.
- 5. This Patent Security Agreement shall be governed by, and construed in accordance with, the laws of the State of New York.
- 6. This Patent Security Agreement may be executed in counterparts (and by different parties hereto on different counterparts), each of which shall constitute an original, but all of which when taken together shall constitute a single agreement.

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IN WITNESS WHEREOF, the Grantor and the Security Agent have caused this Patent Security Agreement to be duly executed as a sealed instrument and delivered by its officer thereunto duly authorized as of the | day of April, 2004.

#### Grantor

INVENSYS BUILDING SYSTEMS INC.

By:

Name: Jules Jay Morris

Title: Wice President and Chief Intellectual

Property Counsel

## **Security Agent**

DEUTSCHE BANK AG LONDON, as Security Agent for and on behalf of the Secured Creditors

By:

Name:

Title:

Commonwealth of Massachusetts

County of Suffolk

On this 1<sup>st</sup> day of April, 2004, before me personally appeared Jules Jay Morris, the person who signed this instrument and who acknowledged that he signed it as a free act on behalf of Invensys Building Systems Inc., with authority to do so.

(Signature of notary public)

My commission expires: 4/4/2008

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IN WITNESS WHEREOF, the Grantor and the Security Agent have caused this Patent Security Agreement to be duly executed as a sealed instrument and delivered by its officer thereunto duly authorized as of the \_\_\_\_ day of April, 2004.

#### Grantor

INVENSYS BUILDING SYSTEMS INC.

| Ву: |      |      |      |  |
|-----|------|------|------|--|
|     | <br> | <br> | <br> |  |

Name: Jules Jay Morris

Title: Vice President and Chief Intellectual

**Property Counsel** 

### Security Agent

DEUTSCHE BANK AG LONDON, as Security Agent for and on behalf of the Secured Creditors

By:

Name: J. Bronc.

Signatority

3



#### **NOTARIES PUBLIC**

10 Philpot Lane London EC3M 8BR Telephone: 020 7623 9477 (or) 07000 NOTARIES

Facsimile: 020 7623 5428
E-mail: notary@cheeswrights.co.uk
www.cheeswrights.co.uk
DX 627/London City EC3

KINGDOM OF ENGLAND)

) s.s.

CITY OF LONDON

On this second day of April in the year two thousand and four before me JEREMY BROOKER BURGESS of the City of London NOTARY PUBLIC by royal authority duly admitted and sworn personally came and appeared JASON BRUHL and SEAN MALONE who, being by me duly sworn, did depose and say that they reside at London, England; that they are authorized signatories of DEUTSCHE BANK AG LONDON, the corporation described in and which executed the annexed instrument; that they were duly authorized to sign the said instrument and so signed it; and that the said corporation delivered the same as its act and deed.







N P Ready
Ruth M Campbell J B Burgess E Gardiner
A J Claudet I A Rogers

and at: 29th Floor One Canada Square Canary Wharf London El4 SDY Telephone: 020 7712 1565 Facsimile: 020 7712 1501

PATENT

**REEL: 015190 FRAME: 0305** 

## SCHEDULE A

# Patents INVENSYS BUILDING SYSTEMS, INC.

| Patent Description  | Application<br>Number | Patent Registration<br>Number | Application<br>Date |
|---|-----------------------|-------------------------------|---------------------|
| Balanced Plug Valve With Contour Wall   | 314257                | 6394135                       | 18 May 99           |
| Event Driven Remote Graphical<br>Reporting Of Building Automation<br>System Parameters          | 529945                | 5061916                       | 29 May 90           |
| Floating Fluid-Operated Actuator  | 702640                | 5107678                       | 17 May 91           |
| Method And Apparatus For Automatic Offset Compensation In Parameter- Sensing Transducer Systems | 892131                | 4817022                       | 30 July 86          |
| Motor-Driven, Spring-Returned Rotary<br>Actuator  | 018813                | 5310021                       | 18 February 93      |
| Network Management System Having<br>An Embedded Network Database                                | 072794                | 6185566                       | 5 May 98            |
| Non-Bridge Type Electronic Actuator<br>Control  | 650074                | 5153493                       | 4 February 91       |
| PC Card Test And Configuration<br>Connector   | 916909                | 5880936                       | 14 August 97        |
| Stem Sealing System For Broad<br>Temperature Ranges   | 620695                | 6062570                       | 21 March 96         |
| Systems And Methods For Actuator<br>Power Failure Response                                      | 920051                | 5872434                       | 28 August 97        |
| Systems And Methods For Braking Of<br>Actuator And Brushless DC Motor<br>Therein                | 920053                | 5838124                       | 28 August 97        |
| Versatile Interface Means For Computer-<br>Based Control Systems                                | 175865                | 4872213                       | 31 March 88         |
| Voltage Follower With Pull-Apart<br>Connector   | 367339                | 4945316                       | 16 June 89          |
| Network Management System Having A<br>Network Database  | 09/695349             | 6654750                       | 24 October 00       |

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PATENT REEL: 015190 FRAME: 0306

| Patent Description   | Application<br>Number | Patent Registration<br>Number | Application<br>Date |
|--|-----------------------|-------------------------------|---------------------|
| Two-Position Rotary Actuator<br>Incorporating Dc Solenoid    | 09/438909             | 6651952                       | 12 November 99      |
| Manual Override And Locking Mechanism And Actuator Including | 101681                | Pending                       | 20 March 02         |
| Precision Modulating Globe Valve                             | 184245                | Pending                       | 28 June 02          |

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**RECORDED: 04/06/2004**