

01-14-2004

FORM PTO-1595

RECO

(REV. 6-93)

OMB No. 0651-0011 (exp. 4/94)



DEPARTMENT OF COMMERCE

Patent and Trademark Office

No. EV260286615US

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Eric Glicksman; Spyros Papadakis; Yidong Ding; and Omar Gzouli

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

2. Name and address of receiving party(ies):

Name: Lehman Brothers Holdings, Inc.

Internal Address: _____

Street Address: 745 Seventh AvenueCity: New York State: NY ZIP: 10019

Additional name(s) & address(es) attached? [] Yes [X] No

3. Nature of conveyance:

[X] Assignment [] Merger

[] Security Agreement [] Change of Name

[] Other _____

Execution Date(s): 8/1/03

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s). 10/465,462

B. Patent No(s).

Additional numbers attached [] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DANIEL H. GOLUB

Internal Address: _____

Street Address: 1701 Market StreetCity: Philadelphia State: PA ZIP: 19103-2921

6. Total number of applications and patents involved: [1]

Total fee (37 C.F.R. § 3.41) \$ 40.00

[] check enclosed as part of the payment

[X] is authorized to be charged to deposit account

8. Deposit account number:

50-0310

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Alison B. Weisberg

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: [3]

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

04/13/2004 GT0N11 00000036 500310 10465462

01 FC:8021

40.00 DA

PATENT
REEL: 015194 FRAME: 0601

JOINT

ASSIGNMENT

FOR VALUE RECEIVED, WE, ERIC GLICKSMAN, SPYROS PAPADAKIS, YIDONG DING and OMAR GZOULI, hereby sell, assign and transfer to LEHMAN BROTHERS, having its principal place of business at 399 Park Avenue, New York, New York 10022, as assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

FINANCIAL DATA PROCESSOR SYSTEM AND METHOD FOR IMPLEMENTING EQUITY-CREDIT LINKED INVESTMENT VEHICLES

described in an application for Letters Patent in the United States, Application No. 10/465462, filed on June 18, 2003, and all the rights and privileges under any and all Letters Patent that may be granted therefor.

We request that any and all patents for said inventions be issued to said assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

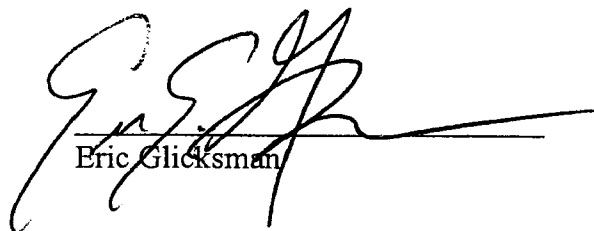
We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers and take all rightful oaths for vesting title to and in said certain inventions in said assignee, its successors, assigns and legal representatives or nominees, and, for reasonable compensation from said assignee, its successors, assigns and legal representatives or nominees, and, for reasonable compensation from said assignee if not currently employed by said assignee, do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries.

We authorize and empower the said assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, Patent Cooperation Treaty, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and, more particularly, as proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, Patent Cooperation Treaty, or by any convention which may henceforth be substituted for it.

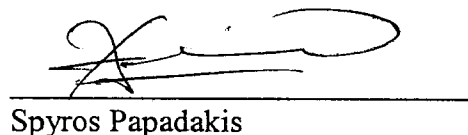
We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

Dated: 8/1/03


Eric Glicksman

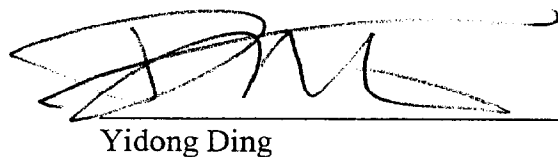
Witness: _____

Dated: 8/1/03


Spyros Papadakis

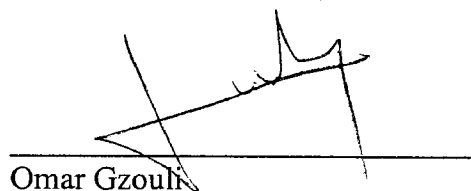
Witness: _____

Dated: 8/1/03


Yidong Ding

Witness: _____

Dated: 8/1/03


Omar Gzouli

Witness: _____