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Stanislaus S. Wong  
Sarbjit Banerjee

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Yes  No

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4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/701,402

November 3, 2003

B. Patent No.(s)

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5. Name and address of party to whom correspondence concerning document should be mailed:

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6. Total number of applications and patents involved: **1**

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In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Stanislaus S. Wong  
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The Research Foundation of State University  
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Post Office Box 9  
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Albany, New York 12209-0009

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Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- the entire right, title and interest  
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in and to any and all improvements which are disclosed in the invention entitled:

**SIDEWALL-FUNCTIONALIZED CARBON NANOTUBES, AND METHODS FOR MAKING THE SAME**

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- (a)  U.S. patent application executed on even date herewith  
(b)  U.S. patent application executed on \_\_\_\_\_  
(c)  U.S. provisional application naming the above inventor(s) for the above-identified invention.  
 Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
 To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d)  U.S. application Serial No. 10/701,402 filed on November 3, 2003.  
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IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

above. **WARNING:** Date of signing must be the same as the date of execution of the application if item (a) was checked

Date: X 3/16/04  
Date of signing

X [Signature]  
Stanislaus S. Wong

Date: X 03/16/04  
Date of signing

X [Signature]  
Sarbjit Banerjee

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

Notarization or Legalization Page Added.

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