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Substitute Form PTO-1595 Attorney Docket No.: 07039-520001 Client's Ref. No.: MMV-03-032

RECORDATION FORM COVER SHEET PATENTS ONLY

| A second second the attached original desume | ant/e) or conv(ies) | | |
|--|--|--|--|
| Commissioner for Patents: Please record the attached original docume | | | |
| 1. Name of conveying party(ies): | 2. Name and address of receiving party(ies): | | |
| (1) Suresh Radhakrishnan, (2) Larry R. Pease, (3) Koji lijima and (4) Hirohito Kita | Mayo Foundation for Medical Education and Research | | |
| Additional name(s) attached? Yes No | 200 First Street S.W. | | |
| 3. Nature of conveyance: | Rochester, MN 55905 | | |
| ⊠ Assignment □ Merger □ Security Agreement □ Change of Name □ Other: | | | |
| Execution Date: (1) 06/24/04 (2) 06/24/04 (3) 07/06/04 (4) 06/24/04 | Additional names/addresses attached? Yes No | | |
| 4. Application number(s) or patent number(s): | | | |
| If this document is being filed with a new application, the execution | n date of the application is: | | |
| A. Patent Application No(s).: | B: Patent No(s).: | | |
| 10/881,661 | | | |
| Additional numbers attached? □ Yes ⊠ No | | | |
| Name/address of party to whom correspondence concerning document should be mailed: | 6. Total number of applications/patents involved: 1 | | |
| ELIZABETH N. KAYTOR, PH.D. Fish & Richardson P.C., P.A. 60 South Sixth Street | 7. Total fee (37 CFR §3.41): \$40 □ Enclosed ☑ Authorized to charge Deposit Account. | | |
| Suite 3300 | 8. Deposit Account No.: 06-1050 | | |
| Minneapolis, MN 55402 | Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050. | | |
| DO NOT USE THIS SPACE | | | |
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| 9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. | | | |
| Elizabeth N. Kaytor, Ph.D. <u>Reg. No. 53,103</u> Name of Person Signing Signature | Kuyta Auptimber 23, 2004 | | |
| Tota | al number of pages including coversheet, attachments and document: 6 | | |
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CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

Annymer Signature

Typed Name of Person Signing Certificate

Date of Transmission

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September 23, 2004

REEL: 015206 FRAME: 0340

Attorney Docket No: 07039-520001

ASSIGNMENT

For valuable consideration, we, SURESH RADHAKRISHNAN of 2105 49-1/2 Lane NE. Rochester, MN 55906, LARRY R. PEASE of 2426 Colleen Lane NE, Rochester, MN 55906, HIROHITO KITA of 1714 Kings Run Drive NW, Rochester, Minnesota 55901, and KOJI IIJIMA of 626 East Center Street, Rochester, MN 55904, hereby assign to Mayo Foundation for Medical Education and Research, a corporation of Minnesota having a place of business at 200 First Street S.W., Rochester, MN 55905; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled METHODS AND MOLECULES FOR MODULATING AN IMMUNE RESPONSE, filed June 30, 2004, and assigned U.S. Serial Number 10/881,661 . and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

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| | Attorney Docket No | o: 07039-520001 |
|--|---------------------|-----------------|
| IN WITNESS WHEREOF, I hereto set my hand and seal at _ | Majo (lini | Ĺ, |
| this 06. 24.04 day of JUNE, 20.04 | | |
| R. W | | L.S. |
| SURESH RADHAKRISHNAN | . • • | |
| · · · | | |
| State of Minnesota: | | |
| : ss. | • • | |
| County of Olmsted: | | |
| Before me this <u>24th</u> day of <u>June</u> | | |
| appeared SURESH RADHAKRISHNAN known to me to be th | e person whose nar | ne is |
| subscribed to the foregoing Assignment and acknowledged that | he executed the sar | ne as his free |
| act and deed for the purposes therein contained. | : | |
| Hion | 1 Sim | |
| - Mile II | province - | |

Notary Public

My Commission Expires: 6//31/05

[Notary's Seal Here]



| | Attorney Docket I | No: 07039-520001 |
|--|--------------------|------------------|
| IN WITNESS WHEREOF, I hereto set my hand and seal at | Mayo Chim. | _ 3 |
| this B. 24_ day of <u>June</u> , 2004 <u>Family Rease</u> | U . | : |
| Farry Rheave | : | L.S. |
| LARRY R. PEASE | ÷ | |
| | ÷ | |
| State of Minnesota: | <u>.</u> | |
| : ss. County of <u>O/msted</u> : | | |
| Before me this <u>24th</u> day of <u>June</u> | _, 20_ 04 _ | _, personally |
| appeared LARRY R. PEASE known to me to be the person who | se name is subsc | ribed to the |
| foregoing Assignment and acknowledged that he executed the sar | me as his free act | and deed for |
| the purposes therein contained. | : | |
| y and | 2. | |

Motary Public My Commission Expires: 01/31/05

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[Notary's Seal Here]



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| | Attomey Docket No: 07039-520001 |
|--|-----------------------------------|
| IN WITNESS WHEREOF, I hereto set my hand and seal at | Mayo Climic_, |
| this <u>6</u> day of <u>Jaly</u> , 20.04 | L.S. |
| NOT ITIMA | |
| State of <u>Mimesota</u> : County of <u>O/msted</u> : | |
| Before me this 16^{the} day of $5uly$ | 20 SOL personally |
| appeared <u>KOJI IIJIMA</u> known to me to be the person whose nat Assignment and acknowledged that he executed the same as his | me is subscribed to the foregoing |
| purposes therein contained. | 2 |
| Notary Public | M. Sim |
| My Commission Exp | ires: 01/31/05 |
| [Notary's Seal Here] | |
| 60225430,doc Winnesota My Commission Expline Jan. 31, 200 | |
| | |

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| | | Attorney Docket | No: 07039-520001 |
|---------------------------------------|--------------------------------|-------------------|------------------|
| IN WITNESS WHEREOF, I hereto se | t my hand and seal at _ | May. | Climin, |
| this day of | ,20 <u>04</u> | | L.S. |
| HIROHITO KITA | | | |
| State of Minnesota: | | : : | |
| County of <u>O/msted</u> : | | : | |
| Before me this 24th day o | f_June_ | 20 <u>0 4</u> | , personally |
| appeared HIROHITO KITA known to m | e to be the person whos | se name is subscr | ribed to the |
| foregoing Assignment and acknowledged | that he executed the sa | me as his free ac | t and deed for |
| the purposes therein contained. | | | |
| | <u>Jail N</u> Notary Public | 1. Sim | , |
| [Notary's Seal Here] | My Commission Expi | res: 01/31/02 | 5 |

GAIL M. SIM Notary Public Minnesota My Commission Expires Jan. 31, 33

> PATENT REEL: 015206 FRAME: 0345

-4-

Attorney's Docket No.: 07039-520001 / MMV-03-032

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :Suresh Radhakrishnan et al.Art Unit :1644Serial No. :10/881,661Examiner :UnknownFiled :June 30, 2004Image: Second secon

U.S. Patent and Trademark Office Assignment Division Box Assignments, CG-4 1213 Jefferson Davis Highway, Suite 320 Washington, DC 20231

REQUEST TO CORRECT NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

Applicants request that the inventor's name be corrected on the Notice of Recordation of Assignment Document for the above case. The mistake was made by the Patent Office as evidenced by the attached Assignment and Recordation Form Cover Sheet.

Please correct the inventor's name to read as follows: **KOJI IIJIMA**.

No fee is believed to be due. Please apply any charges or credits to our Deposit Account No. 06-1050.

Respectfully submitted,

September 30, 2004 Date:

Fish & Richardson P.C., P.A. 60 South Sixth Street, Suite 3300 Minneapolis, MN 55402 (612) 335-5070 telephone (612) 288-9696 facsimile

60248483.doc

Elizabeth N. Kaytor, Ph.D. Reg. No. 53,103

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| | | September 30, 2004 |
|----------------------|---|--------------------|
| Date of Transmission | h | $\sim \rho$ |

Signature

Theresa M, Popehn Typed or Printed Name of Person Signing Cert

REEL: 015206 FRAME: 0346

RECORDED: 09/30/2004