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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David A. D'Alessandro
Mehmet C. Oz

2. Name and address of receiving party(ies)

Name: The Trustees of Columbia University

In The City Of New York

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: West 116th Street & Broadway

City: New York State: NY Zip: 10027

Execution Date: April 15, 2004

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/966,237

PCT/US02/31034

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William H. Dippert

Internal Address: Reed Smith LLP

29th Floor

Street Address: 599 Lexington Avenue

City: New York State: NY Zip: 10022-7650

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 80.00



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William H. Dippert

William H. Dippert

April 13, 2004

Registration No. 26,723

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Date

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04/19/2004 MGETACHE 00000057 PCT/US02/31034

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ASSIGNMENT

In consideration for One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, the undersigned

David A. D'Alessandro and Mehmet C. Oz

Hereby sell, assign and transfer to The Trustees of Columbia University in the City of New York, a corporation of the State of New York, having a place of business at West 116th Street & Broadway, New York, in the County of New York and State of New York 10027, its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to my invention entitled

EPICARDIAL COOLED STABILIZER FOR BEATING HEART SURGERY

and in and to any and all corresponding inventions which are disclosed and claimed, or disclosed but not claimed, in U.S. Patent Application Serial No. 09/966,237, filed September 28, 2001, and PCT/US02/31034, filed September 30, 2002, and any patent application claiming priority therefrom, and any patent to be granted thereon, and all divisional, continuing, substitute, renewal, reissue, reexamination, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

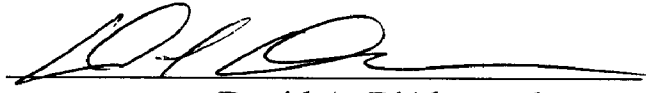
Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;


Agree that said Assignee may apply for and receive foreign letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent and other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, reexamination, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

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IN WITNESS WHEREOF, I have hereunto set my hand and seal:


Date: Apr 15, 2004


David A. D'Alessandro

Witness: 
Signature

Date: Apr 15, 2004


Mehmet C. Oz

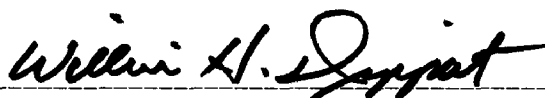
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Applicant: David D'Alessandro et al.
Serial Nos: 09/966,237; PCT/US02/31034
Filing Date: September 28, 2001; September 30, 2002
For: EPICARDIAL COOLED STABILIZER FOR BEATING HEART SURGERY
Enclosures: (1) Recordation Form Cover Sheet (1 page); (2) Executed Assignment (3 pages);
(3) Fee Check in the amount of \$80.00; (4) Acknowledgement Postcard

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