FORM PTO-1595
1-31-92

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Docket No. ROC920040186US1

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):	2. Name and address of receiving party(ies):
(1) Barsness, Eric Lawrence (2) Majd, Mahdad (3) Santosuosso, John Matthew Additional names of conveying party(ies) attached? ☐ yes ☒ no	Name: International Business Machines Corporation Internal Address:
3. Nature of Conveyance ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other _____ Execution Date: 09/20/2004; 09/13/2004; 09/17/2004	Street Address: New Orchard Road City: Armonk State: New York Zip 10504 Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

  TITLE: **APPARATUS AND METHOD FOR INHIBITING NON-CRITICAL ACCESS BASED ON MEASURED PERFORMANCE IN A DATABASE SYSTEM**

If this document is being filed together with a new application, the execution date of the application is _____.

A. Patent Application No(s).	B. Patent No(s).
ROC920040186US1 SERIAL NO. 10/948,774	

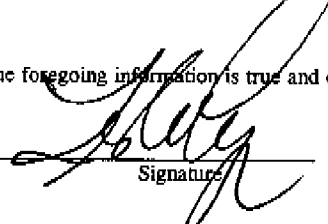Additional numbers attached? ☐ Yes ☒ No

| 5. Name and address of party to whom correspondence concerning document should be mailed:

Leslie J. Payne
IBM Corporation
Intellectual Property Law Dept. 917
3605 Hwy. 52 North
Rochester, MN 55901
507-253-2555 | 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): $40.00

☐ Enclosed

☒ Authorized to be charged to deposit account
   ☐ Total fee due
   ☒ Any deficiencies in the enclosed fees

8. Deposit account number:

09-0465 for IBM Corporation

(Attach duplicate copy of this page if paying by deposit account) |

DO NOT USE THIS SPACE

9. Statement and signature

  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Leslie J. Payne, Reg. #26,378
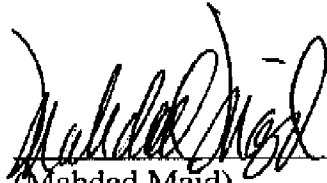Name of Person Signing

Signature

October 6, 2004
Date

Total number of pages comprising cover sheet: 1

PATENT
REEL: 015222 FRAME: 0566

Docket No. ROC920040186US1

ASSIGNMENT

Whereas, we

(1)	Eric Lawrence Barsness	City of Pine Island and State of Minnesota
(2)	Mahdad Majd	City of Rochester and State of Minnesota
(3)	John Matthew Santosuosso	City of Rochester and State of Minnesota

have invented certain improvements in:

APPARATUS AND METHOD FOR INHIBITING NON-CRITICAL ACCESS BASED ON MEASURED PERFORMANCE IN A DATABASE SYSTEM

and executed, respectively, a United States patent application therefor on

(1)   September 20   2004   (2)   September 13   2004
(3)   September 17   2004

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) at   Rochester, MN
on   9/20   2004

_____   Signature
(Eric Lawrence Barsness)   Inventor
First Name Middle   Last Name

and (2) at   Rochester, MN
on   9/13 / 2004

and (3) at   Rochester, MN
on   5/ 12   2004

_____   Signature
(Mahdad Majd)   Inventor
First Name   Last Name

_____   Signature
(John Matthew Santosuosso)   Inventor
First Name   Middle   Last Name

2

PATENT
REEL: 015222 FRAME: 0568