FORM PTO-1595	RECORDA Paten	U1 - 2 1 - 2007 J.S. DEPARTMENT OF COMMERCE
OMB No. 065-0011 (exp. 4/94)	PAT	102727048
To the Honourable Commissioner of Patents and Frademarks: Please record the attached original documents or copy thereof.		
1. Name of conveying party(ies): Henry KOZLOWSKI H	2. Name and address of receiving party(ies): Name: Photoscience Japan Corporation Internal Address:	
3. Nature of conveyance: ☐ Assignment ☐ Mer ☐ Security Agreement ☐ Cha X Other License Agreement Execution Date: December 12, 1	inge of Name	Hachioji-Shi City: Tokyo State: Country: Japan Postal Code: 193-0832 Additional name(s) & address(es) attached? □ Yes X No
 Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: 		
The Title of Invention is: APPARATUS FOR ULTRAVIOLET LIGHT TREATMENT OF FLUIDS		
A. Patent No.(s) 6,193,939 B. Patent No.(s)		
Name and address of party to whom concerning document should be ma		Total number of applications and patents involved: one
Name: <u>FASKEN MARTINEAU DU</u> Internal Address: <u>Attention: Neil He</u> Street Address: <u>Toronto Dominion I</u> P.O. Box 20	nderson	7. Total fee (37 CFR 3.41)\$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
Toronto-Dominion (Centre	Deposit account number:
City: <u>Toronto</u> State: <u>Ontario</u> ZIF	P: <u>M5K 1N6</u>	
Country: Canada Attorney Dock	et: <u>1630800.0011</u>	(Attached duplicate copy of this page if paying by deposit account)
DO NOT USE THIS SPACE		
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Neil Henderson Name of Person Signing Reg. No. 47,359 Total number of pages including cover sheet, attachments, and document: 12		
FC:0021 40.00 0P		
Mail documents to be recorded with required cover sheet information to:		

Mail documents to be recorded with required cover sheet information to Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

THIS AGREEMENT entered into and effective as of November 17, 1998.

BY AND BETWEEN;

HENRY KOZLOWSKI an individual, having his principal residence in Toronto, Ontario, Canada hereinafter referred to as KOZLOWSKI.

AND

PHOTOSCIENCE JAPAN CORPORATION, a company organized and existing under the laws of Japan, hereinafter referred to as LICENSEE.

WITNESSETH THAT:

WHEREAS, KOZLOWSKI possesses certain inventions, patent applications, technical information and know-how relating to processes, equipment and products that pertain to the Ultraviolet Light Treatment of Water, and

WHEREAS, LICENSEE wishes to obtain a licence under said inventions, patent applications, and technical information and know-how to the extent that they pertain to the Ultraviolet Light Treatment of Water.

NOW, THEREFORE, the parties hereto agree as follows:

ARTICLE I

<u>Definitions</u>

(a) The term "Patent Rights" as used herein shall mean any and all inventions conceived solely by KOZLOWSKI prioratisthe effective date of this Agreement covering the ultraviolet light treatment of liquids for which patent applications have been or will be filed. Such Patent Rights include the inventions as fully set out and described in a U.S. patent application 60/107915 dated November 10, 1998 antitled Apparatus for UV Treatment of Water, and include any equivalents, divisional applications, continuations, continuations-in-part or reissues thereof which may be filed, and any patentable inventions relating to the Licensed

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Products which were conceived by KOZLOWSKI prior to KOZLOWSKI's employment by LICENSEE.

- (b) The term "Technical Information and Know-how" as used herein means that technical and other information and know-how in the possession of KOZLOWSKI which pertains to the ultraviolet treatment of liquids.
- (c) The term "Licensed Products" as employed herein means an ultraviolet light treatment system and coroponents as disclosed in patent applications under the Patent Rights and includes ballasts, connectors and associated electronics, power laminates, signal laminates or combined power and signal laminates for insertion in submersible racks, either individually or in combinations.
- (d) The term "Affiliates" as used herein shall have the same meaning as the definition in the Canada Business Corporations Act. Under that Act, one corporation is deemed to be affiliated with another corporation if one of them is the subsidiary of the other or both are subsidiaries of the same corporation or each of them is controlled by the same person
 - (6) The term "Effective Date" as used herein shall mean November 17, 1998.

------ARTICLE II

Licence Grant

(a) KOZLOWSKI hereby grants to LICENSEE and its Affiliates a worldwide exclusive, non-transferrable licence to make, have made, use or sell Licensed Products.

Additionally, KOZLOWSKI grants to customers of LICENSEE and LICENSEE's Affiliates a royalty-free non-exclusive right to use Licensed Products purchased or otherwise transferred from LICENSEE.

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ARTICLE III

Consideration

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ARTICLE IV

Transfer of Technology and Confidentiality

(a) Promptly after execution of this Agreement, KOZLOWSKI shall forward to LICENSEE any Technical Information and Know-how in his possession which is pertinent to the

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ultraviolet treatment of liquids.

(b) Each party agrees to treat as confidential, and will not divulge to any third party without the prior written consent of the other party, any Technical Information and Knowhow provided under paragraph (a) of this ARTICLE during the life of this Agreement and, in the case of LICENSEE, for a period of two (2) years from the date of termination of this Agreement, provided, however, that any Technical Information and Know-how which lawfully is or shall become part of the public knowledge or literature, or which otherwise lawfully is or shall become available to LICENSEE from a source other than KOZLOWSKI shall not be subject to this provision.

ARTICLE V

Liability and Warranty

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ARTICLE VI

Termination

- (a) Subject to other provisions in the ARTICLE, this Agreement shall terminate on the date of expiry of the last-to-expire patent under the Patent Rights.
- (b) LICENSEE may at any time terminate this Agreement by giving KOZLOWSKI at least sixty (60) days' prior notice in writing of his intention so to terminate.

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ARTICLE VII

Patent Maintenance and Infringement

ARTICLE VIII

Assignment

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ARTICLE IX

Arbitration

ARTICLE X

Applicable Law

RTICLE XI

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Further Assurances

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IN WITNESS WHEREOF, the parties have caused this Agreement to be executed by its duly authorized representatives as of the days and the year shown below.

HENRY KOZLOWSKI

PHOTOSCIENCE JAPAN CORPORATION

Signature
Date:

December 1998

Signature

Date: 12. DEC 98

KOJI NAKANO

Printed name of representative

Title of representative

Witness

RECORDED: 04/16/2004

Witness

JZM/PR.