

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

Docket No.: 043001/0024
U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Graziano MARUSI
Federico MENTA
Paolo BAIOCCHI

Execution Date(s) September 30, 2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: INTERCAST USA, INC.

Internal Address: _____

Street Address: 488 State Road, Unit #5

City: Plymouth

State: MA

Country: USA Zip: 02360

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

10/810,049 filed March 26, 2004

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Steven B. Pokotilow

Internal Address: Stroock & Stroock & Lavan LLP

Street Address: 180 Maiden Lane

City: New York

State: New York Zip: 10038

Phone Number: 212-806-5400

Fax Number: 212-806-6006

Email Address: _____

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 19-4709

Authorized User Name _____

9. Signature:

Steven B. Pokotilow 43,531 (e)

Signature

October 6, 2004

Date

Steven B. Pokotilow
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

SSL-DOCS1502141v1
700120984

PATENT
REEL: 015226 FRAME: 0167

CH \$40.00 194709 10810049

Docket No.
143001/0024
BP:CEC

ASSIGNMENT

WHEREAS, WE, GRAZIANO MARUSI, a citizen of Italy, residing at Strada Eia, 26/1, 43010 Fontana-Eia, Parma, Italy; FEDERICO MENTA, a citizen of Italy, residing at 9, Via Giuseppe Verdi, 43100 Parma, Italy; and PAOLO BAIOCCHI, a citizen of Italy, residing at Strada Farini 43, 43100 Parma, Italy, respectively, hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements in MULTILAYER INTERFERENCE FILTER FOR PHOTOCHROMIC LENSES for which we have respectively executed an application for Letters Patents of the United States which was filed with the United States Patent and Trademark Office on March 26, 2004, and which has been accorded Application Serial No. 10/810,049.

WHEREAS, INTERCAST USA, INC., having offices at 488 State Road, Unit #5, Plymouth, MA 02360, hereinafter referred to as ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the improvements and the application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the improvements, and the application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for the improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for the improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for the improvements to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY grant to James J. DeCarlo, Lawrence Rosenthal, Steven B. Pokotilow, Matthew W. Siegal, David L. Schaeffer and Charles E. Cantine, attorneys with the firm of Stroock & Stroock & Lavan LLP, the right and full power of attorney to enter all further identifying information of the improvements and the application as may be deemed necessary or desirable for the recordation or perfection of this ASSIGNMENT.

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting the improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the improvements in all countries.

IN TESTIMONY WHEREOF, we have set our hands and seals to this Assignment.

Date: 30/09/2004

Graziano Marusi
GRAZIANO MARUSI

Date: 30/09/2004

Federico Menta
FEDERICO MENTA

Date: 30/09/2004

Paolo Baiocchi
PAOLO BAIOCCHI

WITNESS