

Form PTO-1595 (Rev. 06/04)  
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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**  
Yechiam Yemini  
Shaula Yemini  
Shmuel Kliger

Execution Date(s) May 3, 1994

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance:**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**  
Name: System Management Arts, Inc.

Internal Address: \_\_\_\_\_

Street Address: 44 South Broadway

City: White Plains

State: NY

Country: USA Zip: 10601

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

A. Patent Application No.(s)

☐ This document is being filed together with a new application:

B. Patent No.(s)

5,661,668

6,249,755

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Wilmer Cutler Pickering Hale and Dorr

Internal Address: Rajesh Vallabh

Street Address: 60 State Street

City: Boston

State: MA Zip: 02109

Phone Number: 617-526-6000

Fax Number: 617-526-5000

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:** 2

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 80.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 08-0219

Authorized User Name Rajesh Vallabh

**9. Signature:**

Rajesh Vallabh

Signature

10-8-04

Date

Rajesh Vallabh, Reg. No. 35,761

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$80.00 080219 5661668

**ASSIGNMENT**

**IN CONSIDERATION** of employment by, and/or retention in the employ of System Management Arts, Inc., a corporation organized under the laws of the state of Delaware, having a principal place of business at 199 Main Street, Suite 900, White Plains, New York, 10601, employment by which is hereby acknowledged, we, Dr. Yechiam Yemini, Dr. Shaula Yemini, and Dr. Shmuel Kliger, residing at 29 Central Drive West, Briarcliff Manor, New York, 10510, 29 Central Drive West, Briarcliff Manor, New York, 10510, and 60 Morningside Drive, Ossining, New York, 10562, respectively, hereby sell and assign to said System Management Arts, Inc., and their successors and assigns, all our right, title and interest granted by the United States of America and its territorial possessions and by any and all foreign countries, in and to the invention of **APPARATUS AND METHOD FOR EVENT CORRELATION AND PROBLEM REPORTING**, invented by us and described in the application for United States Letters Patent, attorney docket number 0494.44928, filed herewith, and all Patents which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the aforementioned patents when granted to be held and enjoyed by said System Management Arts, Inc., their successors, assigns or other legal representatives, to the full end of the term for which the aforementioned patents may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

**AND WE** hereby agree to sign and execute any further documents or instruments which may be necessary, lawful and proper in the prosecution of said above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal or reissue application or in any amendment, extension or interference proceeding, or otherwise to secure the title thereto to said assignee in the United States of America and its foreign territorial possessions and in any and all foreign countries.

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AND WE hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to System Management Arts, Inc.

IN WITNESS WHEREOF we, individually, have hereunto set our hand and seal this

3<sup>rd</sup> day of May, 1994.

by

x Yechiam Yemini  
Dr. Yechiam Yemini

by

x Shaula Yemini  
Dr. Shaula Yemini

by

x Shmuel Kliger  
Dr. Shmuel Kliger

COUNTY/CITY OF Westchester )  
STATE OF NEW YORK )

On this 3<sup>rd</sup> day of May, 1994, before me personally came the above named individuals to me personally known and know to me to be the same individuals who executed the same of their own free will for the use and purposes therein set forth.

Joseph R. Mule  
Notary Public

My Commission Expires: 7/31/96

JOSEPH R. MULE  
Notary Public, State of New York  
No. 4737546  
Qualified in Suffolk County  
Commission Expires 7/31/96

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