

Form PTO-1595

(Rev. 10/02)

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RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

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INF-125

Tab settings ⇌⇌⇌

To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Bernhard Kowalski Juergen Lindolf
Andreas Felber
Valentin Rosskopf
Till SchloesserAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Infineon Technologies AG

Internal Address: _____

Street Address: St. Martin Str. 53

City: Munich State: Germany

Zip: 81669

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: BK-06/02/2004; AF-06/09/2004;

VR-05/31/2004; TS-05/19/2004; JL-06/03/2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/748,332

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ira S. Matsil

Slater & Matsil, L.L.P.

Internal Address: _____

Street Address: 17950 Preston Rd.

Suite 1000

City: Dallas State: Texas Zip: 75252-5793

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41). \$ 40.00

- ☐ Enclosed
- ☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1065

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ira S. Matsil, Reg. No. 35,272

Name of Person Signing

Signature

10-11-2004

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
P.O. Box 1450, Alexandria, VA 22313-1450

CH \$40.00 501065 10748332

700121932

PATENT
REEL: 015239 FRAME: 0394

INFINEON REF NO. 12931
O.C. REF NO. INF-125

ASSIGNMENT

For good and valuable consideration, I, Bernhard KOWALSKI, residing at Bruennsteinstr. 4, 81541, Munich, Germany, and Andreas FELBER, residing at Hainbuchenstr. 25, 80935 Munchen, Germany, and Valentin ROSSKOPF, residing at Ortsstr. 30, 86554 Poettmes, Germany, and Till SCHLOESSER, residing at Zum Kiefernhang 3c, 01109 Dresden, Germany and Juergen LINDOLF, residing at Terlaner Str. 2, 86316 Friedberg, Germany hereinafter individually or collectively referred to as "Assignor";

Hereby sell, assign and transfer to Infineon Technologies AG, a corporation organized and existing under the laws of Germany, having its principal place of business at St. Martin Str. 53, 81669, Munich, Germany, hereinafter "Assignee", its successors, and all foreign countries, in and to any and all improvements which are disclosed in the application assigns and legal representatives, the entire right, title and interest in and for the United States for United States Letters Patent, which has been executed by the undersigned and

filed on December 31, 2003 US. Serial No. 10/748,332,

**entitled: DEVICE ARCHITECTURE AND PROCESS
FOR IMPROVED VERTICAL MEMORY ARRAYS**

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said improvements an the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, it successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

SIGNATURE:

Bernhard KOWALSKI: (1st INVENTOR)

DATE:

2/6/04

SIGNATURE:

Andreas FELBER: (2nd INVENTOR)

DATE:

INFINEON REF NO. 12931
O.C. REF NO. INF-125

ASSIGNMENT

For good and valuable consideration, I, Bernhard KOWALSKI, residing at Bruennsteinstr. 4, 81541, Munich, Germany, and Andreas FELBER, residing at Hainbuchenstr. 25, 80935 Munchen, Germany, and Valentin ROSSKOPF, residing at Ortsstr. 30, 86554 Poettmes, Germany, and Till SCHLOESSER, residing at Zum Kiefernhang 3c, 01109 Dresden, Germany and Juergen LINDOLF, residing at Terlaner Str. 2, 86316 Friedberg, Germany hereinafter individually or collectively referred to as "Assignor";

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filed on December 31, 2003 US. Serial No. 10/748,332,

**entitled: DEVICE ARCHITECTURE AND PROCESS
FOR IMPROVED VERTICAL MEMORY ARRAYS**

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

SIGNATURE: Bernhard KOWALSKI: (1st INVENTOR)

DATE: _____

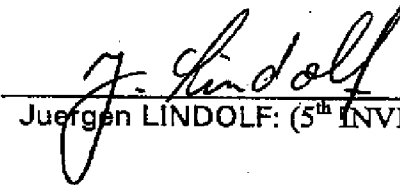
SIGNATURE: Andreas Felber
Andreas FELBER: (2nd INVENTOR)

DATE: 9.6.2004

INFINEON REF NO. 12931
O.C. REF NO. INF-125

SIGNATURE:  DATE: 31.05.04
Valentin ROSSKOPF: (3rd INVENTOR)

SIGNATURE: _____ DATE: _____
Till SCHLOESSER: (4th INVENTOR)

SIGNATURE:  DATE: 03/06/2004
Juergen LINDOLF: (5th INVENTOR)

INFINEON REF NO. 12931
O.C. REF NO. INF-125

SIGNATURE:

DATE:

Valentin ROSSKOPF: (3rd INVENTOR)

SIGNATURE:

Till Schloesser

DATE:

May 19th 2004

Till SCHLOESSER: (4th INVENTOR)

SIGNATURE:

DATE:

Juergen LINDOLF: (5th INVENTOR)