

FORM PTO-1595	<b>RECORDATION FORM COVER SHEET</b>	U.S. DEPARTMENT OF COMMERCE
1-31-92	<b>PATENTS ONLY</b>	Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p style="margin-left: 40px;"><b>Cleaire Advanced Emission Controls (dba as Cummins West, Inc.)</b></p> <p>Additional name(s) of conveying party(ies) attached?</p> <p style="text-align: center;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p style="margin-left: 40px;"><b>Name: Cleaire Advanced Emission Controls, LLC</b></p> <p style="margin-left: 40px;"><b>Street Address: 14775 Wicks Blvd.</b></p> <p style="margin-left: 40px;"><b>City/State/Zip: San Leandro, CA 94577-6779</b></p> <p>Additional name(s) &amp; address(es) attached?</p> <p style="text-align: center;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance:</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Assignment  <input type="checkbox"/> Security Agreement  <input type="checkbox"/> Other </div> <div style="width: 45%;"> <input type="checkbox"/> Merger  <input checked="" type="checkbox"/> Change of Name </div> </div> <p>Execution Date: <u>September 27, 2004</u></p>	<p>4. Application number(s) or patent number(s):</p> <p>If this document is being filed together with a new application, the execution date of the application is:</p> <div style="display: flex;"> <div style="width: 45%;"> <p>A. Patent Application Nos: 60/608,193; 60/369,912; 60/448,836; 60/369,911; 60/369,955; 60/369,888; 60/405,437; 10/361,485; 10/782,742; 10/406,619; 10/407,139; 10/407,140; 10/648,137.</p> </div> <div style="width: 45%;"> <p>B. Patent No.(s):</p> </div> </div> <p style="text-align: center;">Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p style="margin-left: 40px;"><b>Name: Sam L. Nguyen</b></p> <p style="margin-left: 40px;"><b>Internal Address:</b></p> <p style="margin-left: 40px;"><b>Heller Ehrman White &amp; McAuliffe LLP</b></p> <p style="margin-left: 40px;"><b>275 Middlefield Road</b></p> <p style="margin-left: 40px;"><b>Menlo Park, CA 94025-3506</b></p>	<p>6. Total number of applications and patents involved: [13]</p> <p>7. Total fee (37 CFR 3.41)..... <u>\$520.00</u></p> <p style="margin-left: 40px;"><input checked="" type="checkbox"/> Enclosed</p> <p style="margin-left: 40px;"><input type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>08-1641</u> (Attorney Docket No.: 38578-0001)</p>

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Sam L. Nguyen, Reg. No.: 52,496

\_\_\_\_\_  
Name of Person Signing

\_\_\_\_\_  
 Signature

October 13, 2004

\_\_\_\_\_  
Date

Total number of pages including cover sheet, attachments, and document: [3]

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**PATENT ASSIGNMENT**

WHEREAS, Cleaire Advanced Emission Controls (dba of Cummins West, Inc. a California corporation, located at 14775 Wicks Blvd., San Leandro, CA 94577-6779, United States) is the assignee of record of the patents and patent applications listed in Schedule 1, attached hereto; and

WHEREAS, Cleaire Advanced Emission Controls, LLC, a Delaware Limited Liability Company, located at 14775 Wicks Blvd., San Leandro, CA 94577-6779, United States, is desirous of acquiring the patents and patent applications listed in Schedule 1 as successor in interest to the business pertaining to the patents and patent applications;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Cleaire Advanced Emission Controls does hereby assign unto Cleaire Advanced Emission Controls, LLC, the entire right, title and interest in and to (i) the United States and foreign patents and patent applications set forth on Schedule 1, and (ii) all reissues, divisions, continuations, renewals, reexaminations, extensions and continuations-in-part thereof.

The assignment is effective as of March 27, 2004.

Date:

9/27/2004

By:



Name:

Kevin Shanahan

Title:

President  
Cummins West, Inc.

Attachment: Schedule 1

SV 2063887 v1  
9/23/04 11:46 AM (38578.0001)

9/17/04

## Schedule 1

## Cleaire Patent Matters

HEWM No. and Country	App. No.	Filing Date	Title
38578-0010 US	10/361,485	2/5/2003	APPARATUS AND METHOD FOR SIMULTANEOUS MONITORING, LOGGING, AND CONTROLLING OF AN INDUSTRIAL PROCESS
38578-0017 US L	UNKNOWN <i>60/608,193</i>	9/8/2004	APPARATUS TO PREVENT THE PLUGGING OF A DIESEL ENGINE EXHAUST CATALYST FACE
38578-0015 US	10/782,742	2/18/2004	AUTOMATED REGENERATION APPARATUS AND METHOD FOR A DIESEL PARTICULATE FILTER
38578-0015 US L	60/448,836	2/18/2003	AUTOMATED REGENERATION APPARATUS AND METHOD FOR A DIESEL PARTICULATE FILTER
38578-0004 US	10/406,619	4/2/2003	CLAMPED PIPE JOINT, METHOD, AND PIPE USEFUL THEREFOR
38578-0004 US L	60/369,912	4/3/2002	PIPE CLAMP ASSEMBLY
38578-0005 US	10/407,139	4/3/2003	APPARATUS AND METHOD FOR MOUNTING A DEVICE TO A PIPE
38578-0005 US L	60/369,911	4/3/2002	APPARATUS FOR MOUNTING INJECTOR OR SENSOR ONTO A DUCT
38578-0007 US	10/407,140	4/3/2003	FLUID-COOLED MOUNT FOR AN INJECTOR
38578-0007 US L	60/369,955	4/3/2002	APPARATUS FOR SUPPORTING AND COOLING AN INJECTOR
38578-0008 US L	60/369,888	4/3/2002	INTEGRATED INJECTOR AND MIXER MODULE
38578-0013 US	10/648,137	8/25/2003	APPARATUS FOR CLEANING A DIESEL PARTICULATE FILTER WITH MULTIPLE FILTRATION STAGES
38578-0013 US L	60/405,437	8/23/2002	APPARATUS FOR CLEANING A DIESEL PARTICULATE FILTER