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10/827103

041904

1. Name of Conveying Party(ies):

David P. Dube

Richard K. Hayford

2. Name and Address of Receiving Party(ies):

United Technologies Corporation

1 Financial Plaza

Hartford, CT 06101

Additional names of conveying parties attached? ☐ Yes ☒ No**3. Nature of Conveyance:**☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date(s): April 8, 2004

Additional names of conveying parties attached? ☐ Yes ☒ No**4. Application Number(s) or Patent Number(s):** 101827103

If this document is being filed together with a new application, the execution date(s) of the application is (are): April 8, 2004

A. Patent Application No(s):

B. Patent No(s):

5. Name & Address of Party to Whom Correspondence**Concerning Document Should be Mailed:**

Colin L. Cini

Pratt & Whitney

400 Main Street, Legal Department-Patent Section

Mail Stop 132-13

East Hartford, CT 06108

6. Total Number of Applications & Patents Involved: 1**7. Total Fee (37 CFR 3.41):** \$40.00☐ Enclosed☒ Authorized to be Charged to Deposit Account☐ Paid - See Original Transmittal**8. Deposit Account Number:** 21-0279

Order Number: EH-10939

Attach a duplicate copy of this page if paying by deposit account.

DO NOT USE THIS SPACE

9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

COLIN L. CINI

4/19/04

Name of person signing paper

Signature

Date

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ASSIGNMENT

WHEREAS, David P. Dube and Richard K. Hayford residing in Maine respectively have invented certain improvements in

ANIT-ROTATION LOCK

identified as Attorney Docket No.: EH-10939, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION (hereinafter, assignee), of Hartford, Connecticut, a corporation of the State of Delaware is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has (have) hereunto set their hand(s) and seal(s) on the date after their signature(s).

(L.S.) David P. Dube
David P. Dube

4/8/04
Date

(L.S.) Richard K. Hayford
Richard K. Hayford

4/8/04
Date

(L.S.) _____

Date

(L.S.) _____

Date

State of Maine

County of York }ss:

On this date April 8, 2004, before me personally appeared, **David P. Dube**, to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

Diane M. Normand
Notary Public

SEAL

My Commission Expires: _____
Commissioner of the Superior Court

**DIANE M. NORMAND
MY COMMISSION EXPIRES
SEPTEMBER 17, 2006**

State of Maine

County of York }ss:

On this date April 8, 2004, before me personally appeared, **Richard K. Hayford**, to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

Diane M. Normand
Notary Public

SEAL

My Commission Expires: _____
Commissioner of the Superior Court

**DIANE M. NORMAND
MY COMMISSION EXPIRES
SEPTEMBER 17, 2006**