



05-03-2004

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RECORDATION
PATENTS ONLYU.S. Department of Commerce
Patent and Trademark Office

B/O FORM PTO-1595 (1/31/92)

4/28/04

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of Conveying Party:

Schott Corporation

☐ Additional names of conveying parties attached.

3. Nature of Conveyance

- ☐ Assignment ☐ Merger
☒ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: January 9, 2003

2. Name and Address of Receiving Party(ies):

Name: Diversified Business Credit, Inc.

Street Address: 651 Nicollet Mall, Suite 450

City, State, Zip: Minneapolis, Minnesota 55402

☐ Additional name(s) and address(es) attached.

4. (A) Patent Application Number(s):

If this document is being filed together with a new application, the execution date of the application is:

4. (B) Patent Number(s):

4,814,735
4,901,048
4,813,126
4,833,437

☐ Additional Numbers Attached.

5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:

Name: Gary M. Nath
Address: NATH & ASSOCIATES
1030 15th Street, N.W. - 6th Floor
Washington, D.C. 20005

6. Total Number of Applications and Patents Involved:

4

7. Total Fee:

(37 CFR 3.41)

\$ 160.00

X Enclosed.

☐ Authorized to be charged to deposit account.

8. Deposit Account Number:

14-0112

ATTACH DUPLICATE COPY OF THIS PAGE IF PAYING BY DEPOSIT ACCOUNT

DO NOT USE THIS SPACE

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Name of Person Signing

Gary M. Nath, Reg. No. 26,965
Marvin C. Berkowitz, Reg. No. 47,421
Customer No. 20,529

April 28 2004
Date

Attorney Docket No.: 90234

Total number of pages comprising cover sheet: 1

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EXHIBIT A
ADDITIONAL SHEET TO FINANCING STATEMENT

Debtor:
Schott Corporation
1000 Packers Lake Road
Wayzata, Minnesota 55391

Secured Party:
Diversified Business Credit, Inc.
3970 Multifoods Tower
33 South Sixth Street
Minneapolis, Minnesota 55402

94 APR 28 AM 8:00

SEC. OF STATE
MINNESOTA

This Financing Statement covers the following types or items of property:

INVENTORY: All inventory of every type and description, now owned or hereafter acquired by Debtor, including inventory consisting of whole goods, spare parts or components, supplies or materials and inventory acquired, held or furnished for sale, for lease or under service contracts or for manufacture or processing, or any other purpose, and wherever located.

DOCUMENTS OF TITLE: All warehouse receipts, bills of lading and other documents of title of every type and description now owned or hereafter acquired by Debtor.

RECEIVABLES: Each and every right of Debtor to the payment of money, whether such right to payment now exists or hereafter arises, whether such right to payment arises out of a sale, lease or other disposition of goods or other property, out of a rendering of services, out of a loan, out of the overpayment of taxes or other liabilities, or any other transaction or event, whether such right to payment is created, generated or earned by Debtor or by some other person whose interest is subsequently transferred to Debtor, whether such right to payment is or is not already earned by performance, and however such right to payment may be evidenced, together with all other rights and interests (including all liens, security interests and guarantees) which Debtor may at any time have by law or agreement against any account debtor or other person obligated to make any such payment or against any property of such account debtor or other person; all contract rights, chattel papers, bonds, notes and other debt instruments, and all loans and obligations receivable, tax refunds and other rights to payment in the nature of general intangibles.

EQUIPMENT AND FIXTURES: All equipment and fixtures of every type and description now owned or hereafter acquired by Debtor (including (without limitation) all present and future machinery, vehicles, furniture, fixtures, manufacturing equipment, shop equipment, office and recordkeeping equipment, parts, tools, supplies and all other goods (except inventory) used or bought for use by Debtor for any business or enterprise and including all goods that are or may be attached or affixed to or otherwise become fixtures upon any real property.

GENERAL INTANGIBLES: All general intangibles of every type and description now owned or hereafter acquired by Debtor, including (without limitation) all present and future domestic and foreign patents, patent applications, trademarks, trademark applications, copyrights, trade names, trade secrets, shop drawings, engineering drawings, blueprints, specifications, parts lists, manuals, operating instructions, customer or supplier lists and contracts, licenses, permits, franchises, the right to use Debtor's corporate name and the goodwill of Debtor's business.

Proceeds and products of the collateral are also covered.

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Ans

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EXHIBIT B OF FINANCING STATEMENT

Equipment on the Floor at Berg

Machine type and basic description	Purchase Price	Vendor
Vertical Machine Center (CNC) Excel PMAC 6118 with 4th axis	\$76,800	Concept Machine Tool
Bed Mill - 1 CNC machine Chrysler Falcon 1830MB	\$29,620	Concept Machine Tool
Korea BM - 1 Manual machine with DRO Chevrolet FIA-SVNC1	\$10,280	Concept Machine Tool
Empire Lathe Wile 144GE	\$8,646	Concept Machine Tool
CNC Lathe with programmable part machine Femco HL-15	\$84,185	Concept Machine Tool
Surface Grinder with DRO on Z axis Chevrolet FSC-418M1	\$8,225	Concept Machine Tool
CAD Software Virtual (Sibit 3D) with two post processors	\$19,883	Minnesota CAD/CAM
Miscellaneous non-expandable tooling (bars, collet sets, etc.)	\$15,000	Wheeler Hammond Co.
Air Compressor 100cf Ingersoll-Rand Screw type	\$6,800	Transferred from Carby Plant
Total equipment in place	\$238,714	

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