WR:jam 10/19/04 319064

Atty. Ref. No. 6613-66749-01

| RECORDATION FORM COVER SHEET - PATENTS ONLY                                                                                                                                                                                                                                                                                                                         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VIA FACSIMILE (703) 306-5995                                                                                                                                                                                                                                                                                                                                        |
| DIRECTOR OF PATENTS AND TRADEMARKS                                                                                                                                                                                                                                                                                                                                  |
| P.O. BOX 1450                                                                                                                                                                                                                                                                                                                                                       |
| ALEXANDRIA, VA 22313-1450                                                                                                                                                                                                                                                                                                                                           |
| 1. Total number of pages including cover sheet, attachments and document: Ten (10)                                                                                                                                                                                                                                                                                  |
| 2. Name of Conveying Party(ies) and Execution Date(s) of Document(s)                                                                                                                                                                                                                                                                                                |
| Name(s): Sanjay Kumar Kakkar, Anthony James Kennedy, Sophie Marie Combe-Marzelle, David                                                                                                                                                                                                                                                                             |
| Jonathan Madge and Mark Dolman                                                                                                                                                                                                                                                                                                                                      |
| Execution Date(s): November 19, 2003                                                                                                                                                                                                                                                                                                                                |
|                                                                                                                                                                                                                                                                                                                                                                     |
| 3. Name and address of receiving party   Check here If additional name(s) & address(es) are attached                                                                                                                                                                                                                                                                |
| Name Trigen Limited                                                                                                                                                                                                                                                                                                                                                 |
| Address 20 St James's Street                                                                                                                                                                                                                                                                                                                                        |
| City London State/Country United Kingdom Zip SW1A 1ES                                                                                                                                                                                                                                                                                                               |
| 4. Nature of Conveyance                                                                                                                                                                                                                                                                                                                                             |
| Assignment Merger Security Agreement Name Change Other:                                                                                                                                                                                                                                                                                                             |
|                                                                                                                                                                                                                                                                                                                                                                     |
| 5. Total number of applications and patents involved: One (1)                                                                                                                                                                                                                                                                                                       |
| 6. Total Fee Enclosed (37 C.F.R. § 3.41): \$40.00                                                                                                                                                                                                                                                                                                                   |
| 7. Check here if any deficiency/overpayment is authorized to be charged to deposit account 02-4550                                                                                                                                                                                                                                                                  |
| 8. Enter either the Execution date (of the Declaration and Power of Attorney), Application Number, or the Patent Number. Do not enter more than one number for the same patent.  A This document is being filed with a new application. Execution date is:  B Patent Application No.(s) or Patent No.(s): 10/658,971  Check here if additional numbers are attached |
| 9. Correspondent's name, address, and telephone number                                                                                                                                                                                                                                                                                                              |
| Wayne W. Rupert                                                                                                                                                                                                                                                                                                                                                     |
| Klarquist Sparkman, LLP                                                                                                                                                                                                                                                                                                                                             |
| One World Trade Center, Suite 1600                                                                                                                                                                                                                                                                                                                                  |
| 121 S.W. Salmon Street                                                                                                                                                                                                                                                                                                                                              |
| Portland, Oregon 97204-2988                                                                                                                                                                                                                                                                                                                                         |
| Telephone: 503-595-5300                                                                                                                                                                                                                                                                                                                                             |
| Facsimile: 503-228-9446                                                                                                                                                                                                                                                                                                                                             |
| 10. Please return the attached postcard to confirm that these items have been received.                                                                                                                                                                                                                                                                             |
| 11. Statement and signature To the best of my knowledge and belief, the foregoing information is true and                                                                                                                                                                                                                                                           |
| correct and any attached copy is a true copy of the original document.                                                                                                                                                                                                                                                                                              |
| Wayne W. Rupert Pungel Masser October 19, 2004                                                                                                                                                                                                                                                                                                                      |
| Name of Person Signing Signature Date                                                                                                                                                                                                                                                                                                                               |
| 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2                                                                                                                                                                                                                                                                                                                             |

ce: Accounting (only if via fax)
Docketing

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### THIS ASSIGNMENT IS MADE the Photograph day of North 2003

#### **BETWEEN**

- (i) Sanjay Kumar Kakkar
  - (ii) Anthony James Kennedy
  - (iii) Sophie Marie Combe-Marzelle
  - (iv) Suresh Babubhal Chahwala
  - (v) Oliver Vimpany Arnold Boucher

all the aforegoing ("the Trigen Inventors") being of Trigen Limited, Clareville House 26/27 Oxendon Street, London, SW1Y 4EL, United Kingdom

- (vi) David Jonathan Madge, of NCE-Discovery Limited, Cruciform Building, Gower Street, London, WC1E 6AU, United Kingdom
- (vii) Mark Dolman, also of NCE-Discovery Limited, Cruciform Building, Gower Street, London, WC1E 6AU, United Kingdom

the latter two inventors being referred to below as "the NCE Inventors" and all of the aforegoing jointly being referred to hereafter as "the Assignors";

- NCE-Discovery Limited, an English company, whose registered office is at 6 Saint Andrew Street, London, EC4A 3LX, United Kingdom ("NCE");
- Trigen Limited, an English company whose registered office is at 20 St James's Street, London, SW1A 1ES, United Kingdom ("Trigen").

#### Int<u>roduction</u>

- A. This assignment relates to nine patent applications ("the Applications") listed in a schedule to the assignment ("the Schedule"). The Schedule gives particulars of each application. The Assignors are inventors of one or more of the inventions ("the inventions") of each of the Applications as indicated in the schedule. The US patent applications listed in the schedule are hereinafter referred to as "the US Patent Applications".
- 8. When the Trigen Inventors made their contributions to the Inventions, Trigen was the employer of the Trigen Inventors and, pursuant to Section 39 of the UK Patents Act 1977, was entitled to the Inventions and the Applications.
- C. When the NCE Inventors made their contributions to the Inventions, NCE was the employer of the NCE Inventors and, pursuant to Section 39 of the UK Patents Act 1977 and as between the NCE Inventors and NCE, NCE was entitled to the Inventions and the Applications.

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- Trigen and NCE entered into agreements on 3 December 2001 and 2 May 2002, under which the NCE Inventors made their contributions to the Inventions. Under the agreements, all intellectual property rights generated through provision of services by NCE under the agreements are owned by Trigen. The NCE Inventors' contributions to the Inventions and the Applications arises from work done under the agreements of 3 December 2001 and 2 May 2002. NCE, the NCE Inventors and Trigen therefore consider that, as between, on the one hand, the NCE Inventors and NCE and, on the other hand, Trigen, the Inventions, the Applications and all related intellectual property rights are the property of Trigen.
- E. The parties to this agreement now wish to record their agreement to the inventorship and ownership position stated in Clauses A to D, to assign the US Patent Applications to Trigen, and as a precaution against any rights remaining with the Inventors or NCE contrary to their intentions, wish to effect assignment to Trigen of any and all right, title and interest of the Inventors and NCE, for the considerations recited below.

#### Operative Clauses

- The Assignors, Trigen and NCE hereby confirm their belief that the inventors named in the Schedule are the sole devisors of the respective Inventions against which they are listed in the Schedule.
- 2. The Trigen Inventors and Trigen agree that, as between the Trigen Inventors and Trigen, the Inventions, the Applications and all related intellectual property rights (in particular the right to apply for and be granted patents for the Inventions in or for any part of the world, and the right to priority) are the property of Trigen pursuant to the Trigen Inventors' employment by Trigen, the circumstances of that employment and the operation of Section 39 of the UK Patents Act 1977 (a copy of which has been read by the Inventors and on behalf of Trigen).
- 3. The NCE Inventors and NCE agree that, as between the NCE Inventors and NCE, the Inventions, the Applications and all related intellectual property rights (in particular the right to apply for and be granted patents for the Inventions in or for any part of the world, and the right to priority) are the property of NCE pursuant to the NCE Inventors' employment by NCE, the circumstances of that employment and the operation of Section 39 of the UK Patents Act 1977 (a copy of which has been read by the Inventors and on behalf of NCE).
- 4. NCE and Trigen agree that, as between them and pursuant to their Agreements dated 3 December 2001 and 2 May 2002, the Inventions, the Applications and all related intellectual property rights (in particular the right to apply for and be granted patents for the Inventions in or for any part of the world, and the right to priority) are the property of Trigen.

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- 5. All the parties to this Assignment therefore agree that, pursuant to the facts stated in paragraphs 2 to 4, Trigen has been entitled to the entire right, title and interest in and to all intellectual property rights relating to the Inventions since before the Inventions were made. The parties agree that, when it was made and at all subsequent times, the entire subject matter of the Applications has been owned by Trigen and/or subject to an obligation of assignment to Trigen.
- 6. In consideration of the sum of £1.00 now paid by Trigen to each Assignor (receipt of which each of the Assignors hereby acknowledges) the Assignors with Full Title Guarantee hereby assign absolutely to Trigen any and all right, title and interest they have in and to the Inventions and the Applications and the full and exclusive benefit thereof including without limitation their entire right to apply for, be granted and hold patents for the Inventions in or for any part of the world (including without limitation their title and all other rights in the US and Canada, and all future national/regional phase applications and patents derived from such applications as well as any and all divisional and continuation applications) and the right to priority.
- 7. In consideration of the sum of £1.00 now paid by Trigen to NCE (receipt of which NCE hereby acknowledges) NCE with Full Title Guarantee hereby assigns absolutely to Trigen any and all right, title and interest it may have in and to the Invention and the Applications, contrary to its belief and wishes, and the full and exclusive benefit thereof including without limitation its entire right to apply for, be granted and hold patents for the Inventions in or for any part of the world (including without limitation its title (if any) and all other rights in the US and Canada, any and all national/regional phase applications and patents derived from such applications as well as any and all divisional and continuation applications) and the right to priority.
- 8. NCE and the Assignors hereby covenant with Trigen that NCE and the Assignors will at the expense of Trigen promptly execute sign and do all such instruments applications documents acts and things as may reasonably be required by Trigen for Trigen or the nominee of Trigen to enjoy the full benefit of the Assignors' contributions to the Applications and the Inventions and of the property and rights hereby assigned (including without limitation executing any and all forms required in connection with the filing and prosecution of patent applications and otherwise assisting with the prosecution to grant of the Applications and any future applications claiming priority therefrom).
- 9. Sanjay Kumar Kakkar hereby irrevocably appoints Trigen as his Attorney in his name and on his behalf to execute and do any instrument or thing as may be required by Trigen to enable Trigen to enjoy the full benefit of the rights assigned by this Assignment and in favour of any third party a certificate signed by any Officer of Trigen that any instrument or act falls within such authority shall be conclusive evidence that such is the case.

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- Tony James Kennedy hereby irrevocably appoints Trigen as his Attorney in his name and on his behalf to execute and do any instrument or thing as may be required by Trigen to enable Trigen to enjoy the full benefit of the rights assigned by this Assignment and in favour of any third party a certificate signed by any Officer of Trigen that any instrument or act falls within such authority shall be conclusive evidence that such is the case.
- 11. Sophie Marie Combe-Marzelle hereby irrevocably appoints Trigen as her Attorney in her name and on her behalf to execute and do any instrument or thing as may be required by Trigen to enable Trigen to enjoy the full benefit of the rights assigned by this Assignment and in favour of any third party a certificate signed by any Officer of Trigen that any instrument or act falls within such authority shall be conclusive evidence that such is the case.
- 12. Suresh Babubhai Chahwala hereby irrevocably appoints Trigen as his Attorney in his name and on his behalf to execute and do any instrument or thing as may be required by Trigen to enable Trigen to enjoy the full benefit of the rights assigned by this Assignment and in favour of any third party a certificate signed by any Officer of Trigen that any instrument or act falls within such authority shall be conclusive evidence that such is the case.
- Oliver Vimpany Arnold Boucher hereby irrevocably appoints Trigen as his Attorney in his name and on his behalf to execute and do any instrument or thing as may be required by Trigen to enable Trigen to enjoy the full benefit of the rights assigned by this Assignment and in favour of any third party a certificate signed by any Officer of Trigen that any instrument or act falls within such authority shall be conclusive evidence that such is the case.
- 14. David Jonathan Madge hereby irrevocably appoints Trigen as his Attorney in his name and on his behalf to execute and do any instrument or thing as may be required by Trigen to enable Trigen to enjoy the full benefit of the rights assigned by this Assignment and in favour of any third party a certificate signed by any Officer of Trigen that any instrument or act falls within such authority shall be conclusive evidence that such is the case.
- 15. Mark Dolman hereby irrevocably appoints Trigen as his Attorney in his name and on his behalf to execute and do any instrument or thing as may be required by Trigen to enable Trigen to enjoy the full benefit of the rights assigned by this Assignment and in favour of any third party a certificate signed by any Officer of Trigen that any instrument or act falls within such authority shall be conclusive evidence that such is the case.
- 16. This Agreement shall be governed by and interpreted in accordance with the laws of England and the parties hereby submit to the exclusive jurisdiction of the English courts.

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17:10 This Agreement may be executed in any number of counterparts each of which when executed and delivered shall be an original and all the counterparts together shall constitute one and the same instrument.

IN WITNESS of which this Assignment has been executed as a Deed and delivered the date and year first above written

Signed as a Deed by Sanjay Kumar Kakkar in the presence of:

Signature Charles

Name CORINNE PRUSIK

Address 3 Aluse Collages, Plough Rd. Eth, West Enell, Surey KT199AN
Signed as a Deed by Anthony James Kennedy Signature afternation

in the presence of:

Non GRAMAM

Address WOODSINE, ODDINGTON, OXON, OX5 2RA

Signed as a Deed by Sophle Marie Combe-Marzelle

in the presence of:

Signature

Eltone Villau

Name

ATHARINE HARRIS

Address

Plat 2, 60 Manville Rost, London, SMF 87L

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Signed as a Deed by Suresh Babubhai Signature\_

Chahwala

in the presence of:

Address 270 EALING ROLD, WEMBLEY.

MIDOLEGEX, HAO 4LL

Signed as a Deed by Oliver Vimpany Arnold

Boucher

in the presence of:

Signature

plue-lane

Name

Address

MILTON PARK, HIGHGATE, NO SQA.

Signed as a Deed by David Jonathan Madge

in the presence of:

Name

DMAT MAJ

Address

BY FLARENCE STREET, CAMMING TOWN, LONDON

ELL 4JS

Signed as a Deed by Mark Dolman

in the presence of:

Name

BISHOPS RD CAMFIDGE, CB2 2Na

**PATENT** 

REEL: 015263 FRAME: 0087

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assignments\P041070US1 01-10-03

| Executed as a Deed by<br>NCE-Discovery Limited acting by               | )<br>) |                      |
|------------------------------------------------------------------------|--------|----------------------|
| David Jonathan Madge<br>Director                                       | )      | Signature D5 Maly    |
| and<br>Name <u> のん。Sez にらゅう</u><br>Director/ <u>Company Secretar</u> y | )      | signature David Sul  |
| Executed as a Deed by Trigen                                           | )      | _                    |
| Limited acting by                                                      | )      |                      |
| Sanjay Kumar Kakkar                                                    | )      | Signature 7-4        |
| Director                                                               | )      |                      |
| and                                                                    |        | 111/1 . 17           |
| Barry Knight                                                           | )      | Signature 4 6 du Qui |
| Director                                                               | )      |                      |

## The Schedule

All the applications in the Schedule were filed on 9 September 2003.

For the avoidance of doubt, the listed European and PCT applications entitled "Multivalent Metal Salts of Boronic Acids" and "Boronic Acid Salts Useful in Parepteral Formulations" contain additional subject matter to that of the corresponding US applications and accordingly have additional inventors.

| HGF Ref    | Title                                          | Territory | Inventors                                                                                                                                                                                                                                                                                                           | Applicants     | Application No  |
|------------|------------------------------------------------|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|-----------------|
| P041070EP1 | Boronic Acid Salts                             | EPO       | David Jonathan Madge; Mark Dolman; Sophie Marie<br>Combe-Marzelle; John Joseph Deadman; Anthony James<br>Kennedy; Sanjay Kumar Kakkar<br>(Not named on filing)                                                                                                                                                      | Trigen Limited | 03255629.2      |
| P041070WO  | Boronic Acid Salts                             | PCT       | David Jonathan Madge, Mark Dolman, Sophie Marie<br>Combe-Marzelle, John Joseph Deadman, Anthony James<br>Kennedy, Sanjay Kumar Kakkar                                                                                                                                                                               | Trigen Limited | PCT/GB03/003897 |
| P041070US1 | Boronic Acid Salts                             | sn        | David Jonathan Madge; Mark Dolman; John Joseph<br>Deadman; Anthony James Kennedy; Sophie Marie Combe-<br>Marzelle; Sanjay Kumar Kakkar                                                                                                                                                                              | The Inventors  | 10/659,178      |
| P041074EP1 | Multivalent Metal<br>Salts of Boronic<br>Acids | ĒЬО       | David Jonathan Madge; Mark Dolman; Sophie Marie<br>Combe-Marzelle; John Joseph Deadman; Anthony James<br>Kennedy; Sanjay Kumar Kakkar, Suresh Babubhai<br>Chahwala; Oliver Vimpany Amold Boucher, Armin Walter,<br>Alfred Olbrich; Dieter Krimmer, Andrea Maria Theresia<br>Weiland-Waibel<br>(Not named on filing) | Trigen Limited | 03255604.5      |
| P041074WO  | Multivalent Metal<br>Salts of Boronic<br>Acids | PCT       | David Jonathan Madge; Mark Dolman; Sophie Marie Combe-Marzelle; John Joseph Deadman; Anthony James Kennedy; Sanjay Kumar Kakkar; Suresh Babubhai Chahwala; Oliver Vimpany Arnold Boucher, Armin Walter, Alfred Olbrich; Dieter Krimmer; Andrea Maria Theresia Weiland-Waibel                                        | Trigen Limited | PCT/GB03/0038B7 |

PATENT

**REEL: 015263 FRAME: 0089** 

**RECORDED: 10/19/2004** 

| P041074US2 | Multivalent Metal  | ns  | John Joseph Deadman; David Jonathan Madge; Mark       | The Inventors  | 10/659,179      |
|------------|--------------------|-----|-------------------------------------------------------|----------------|-----------------|
|            | Salts of Boronic   |     | Dolman; Sanjay Kumar Kakkar, Anthony James Kennedy,   |                |                 |
|            | Acids              |     | Sophie Marie Combe-Marzelle; Suresh Babubhai          |                |                 |
|            |                    |     | Chahwala; Oliver Vimpany Arnold Boucher               |                |                 |
| P041087EP1 | Boronic Acid Salts | EPO | David Jonathan Madge; Mark Dolman; Sophle Marie       | Trigen Limited | 03255590.6      |
|            | Useful in          |     | Combe-Marzelle; John Joseph Deadman; Anthony James    |                |                 |
|            | Parenteral         |     | Kennedy; Sanjay Kumar Kakkar, Armin Walter, Alfred    |                |                 |
|            | Formulations       |     | Olbrich; Andrea Maria Theresia Weiland-Weibel; Dieter |                |                 |
|            |                    |     | Krimmer                                               |                |                 |
|            |                    |     | (Not named on filing)                                 |                |                 |
| P041087WO  | Boronic Acid Salts | PCT | David Jonathan Madge; Mark Dolman; Sophie Marie       | Trigen Limited | PCT/GB03/003883 |
|            | Useful in          |     | Combe-Marzelle; John Joseph Deadman; Anthony James    |                |                 |
|            | Parenteral         |     | Kennedy; Sanjay Kumar Kakkar, Amin Walter, Alfred     |                |                 |
|            | Formulations       |     | Olbrich; Andrea Maria Theresia Welland-Waibel; Dieter |                |                 |
|            |                    |     | Krimmer                                               |                |                 |
| P041087US1 | Boronic Acid Salls | sn  | David Jonathan Madge; Mark Dolman; Sophie Marie       | The inventors  | 10/658,971      |
|            | Useful in          |     | Combe-Marzelle; John Joseph Deadman; Anthony James    |                |                 |
|            | Parenteral         |     | Kennedy; Sanjay Kumar Kakkar                          |                |                 |
| i          | Formulations       |     |                                                       |                |                 |

This is the file reference of Trigen's patent attorneys, Harrison Goddard Foote