

05-04-2004



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**RM COVER SHEET  
S ONLY**

 U.S. DEPARTMENT OF COMMERCE  
 U.S. Patent and Trademark Office  
 Docket No. 745691-32

To the HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Piers Benedict CLARK

49.04

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date(s):

1<sup>st</sup> Inventor: March 16, 2004

## 2. Name and address of receiving party(ies)

 Name: (1.) Sonico Limited of Purac House (2.) WS  
 Atkins Consultants Limited of Woodcote Grove

 Street Address: (1.) Birmingham Road (2.) Ashley  
 Road

City: (1.) Kidderminster, Worcs (2.) Epsom, Surrey

State: Zip:

Country: (1.) United Kingdom, (2.) United Kingdom

Postal Code: (1.) DY10 2SH (2.) KT18 5BW

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s); 10/471,774

If this document is being filed together with a new application, the filing date of the application is: \_\_\_\_\_

 A. Patent Application No.(s)  
 10/471,774

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Attorney Name: Luan C. Do

Firm Name: Nixon Peabody LLP

Internal Address: Suite 900

Street Address: 401 9<sup>th</sup> Street, N.W.

City: Washington State: D.C. Zip: 20004-2128

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

19-2380 (745691-32)

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Luan C. Do, No. 38,434

Name of Person Signing

Signature

April 9, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services, Director of the US Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450

**ASSIGNMENT**

Serial No. 10/471,774

Filed September 16, 2003

WHEREAS, Piers Benedict Clark (hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in Apparatus for Treating Fluids with Ultrasounds for which an application for Letters Patent of the United States of America has been executed by the undersigned on \_\_\_\_\_, and;

WHEREAS, Sonico Limited of Kidderminster, Worcs, DY10 2SH, United Kingdom its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories and for all foreign countries, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or any continuation, division or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims under or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.


The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof

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to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of NIXON PEABODY LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

*In witness thereof*, this Assignment has been executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 10/3/04 Signature   
Name Piers Benedict Clark

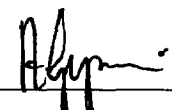

Date \_\_\_\_\_ Signature \_\_\_\_\_  
Name \_\_\_\_\_

Date \_\_\_\_\_ Signature \_\_\_\_\_  
Name \_\_\_\_\_

Date \_\_\_\_\_ Signature \_\_\_\_\_  
Name \_\_\_\_\_

Date \_\_\_\_\_ Signature \_\_\_\_\_  
Name \_\_\_\_\_

This assignment should preferably be acknowledged before a United States Consul or Notary Public. If not, then the execution by the Inventor(s) should be witnessed by at least two other persons who should sign here.

Witness <u>Andrea Gysin</u>	Signature <u></u>
Witness <u>Teresa Cuthbert</u>	Signature <u></u>
Witness _____	Signature _____

ASSIGNMENT ~~DATE~~

THIS ASSIGNMENT is made the 1 MAY day of 2003

## BETWEEN

WS Atkins Consultants Limited of Woodcote Grove, Ashley Road, Epsom, Surrey, KT18 5BW, United Kingdom (hereinafter called "the Assignor");

## AND

Sonico Limited of Purac House, Birmingham Road, Kidderminster, Worcs, DY10 2SH, United Kingdom (hereinafter called "the Assignee").

## WHEREAS:-

1. (a) The Assignor claims to be the owner of the subject matter contained in PCT Patent Application No. PCT/GB02/01283 (the Patent Application).
- (b) the Assignor has agreed with the Assignee to transfer their whole right, title and interest in and to the Patent Application to the Assignee.


## NOW THEREFORE IT IS AGREED AND DECLARED as follows:-

2. In consideration of the sum of £10.00 (ten pounds) paid by the Assignee to the Assignor (receipt of which the Assignor hereby acknowledges) the Assignor hereby assign unto the Assignee their whole right, title and interest in and to the Patent Application and in or to all related patent applications emanating therefrom together with the right to bring proceedings under for any previous infringement or for any previous act TO HOLD the same unto the Assignee absolutely.
3. The Assignor hereby covenants and agrees with the Assignee as follows:-
  - (a) that they have not assigned or agreed to assign to any person firm or company or otherwise encumbered the Patent Application hereby assigned;
  - (b) that they will, as and when requested by the Assignee so to do, execute, sign and do all such instruments, applications, documents, acts, and things as may be required by the Assignee to enable the Assignee or its nominee to enjoy the full benefit of the Patent Application.
4. In this Assignment words importing the masculine gender shall include the feminine and words importing the singular shall include the plural.
5. This Assignment shall be governed by and construed in accordance with the laws of England and both parties submit to the exclusive jurisdiction of the English Courts.

A  
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IN WITNESS the hands of the duly authorised representatives of the parties hereto the day and year first above written

SIGNED by a Director )  
for and on behalf of )  
WS Atkins Consultants Limited )


  
IVOR D. CARTER



in the presence of: )  
)  
)  
)

(Notary Public)

SIGNED by a Director )  
for and on behalf of )  
Sonico Limited )

  
PETER CLARK



in the presence of: )  
)  
)  
)

(Notary Public)

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CJS