

RECORDATION FOR  
*Correction* PATENT

05-05-2004

DEPARTMENT OF COMMERCE  
Patent and Trademark Office



/ thereof.

To the Honorable Commissioner of Patents and Trademarks: I

102737981

## 1. Name of conveying party(ies)

Kyriacos A. MITROPHANOUS  
Deva PATIL  
Alan J. KINGSMAN  
Susan M. KINGSMAN  
Fiona M. ELLARD

Name:

Oxford BioMedica (UK) Limited

Internal Address:

Street Address:

Medawar Centre, Robert Robinson  
Avenue  
The Oxford Science Park  
Oxford, OX4 4GA, United Kingdom

City, State Zip

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

- ☐ Assignment  
☐ Security Agreement  
☐ Merger  
☐ Change of Name  
☒ Other: To correct the Assignments previously recorded on  
November 22, 2000 at Reel 011338, Frame 0674

Execution Date(s): November 3 and 6, 2000

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 3 and 6 November 2000

A. Patent Application No.(s) filed

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed,

Name: Thomas J. Kowalski, Esq.

Internal Address: FROMMER LAWRENCE &amp; HAUG LLP

Street Address: 745 FIFTH AVENUE

City: NEW YORK State: N.Y. Zip: 10151

## 6. Total number of applications and patents involved

1

## 7. Total fee (37 CFR 3.41)

\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account 50-03208. Deposit account number: 50-0320

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas J. Kowalski, Esq.

April 30, 2004

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 1

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PATENT  
REEL: 015287 FRAME: 0049

528 Rec'd PCT/PTO 22 NOV 2000

RECORDATION FORM COVER SHEET

12-19-2000



101555354

Our Ref.: 550-184

Commissioner of Patents and Trade:  
Box Assignment, Washington, D.C.

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kyriacos A. Mitraphanous      Fiona M. Ellard  
Deva Patil  
Alan J. Kingsman  
Susan M. Kingsman

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

- ☒ Assignment      ☐ Merger  
☐ Security Assignment      ☐ Change of Name  
☐ Other

Execution Date: 3 and 6 November 2000

2. Name and address of receiving party(ies):

Name: Oxford BioMedica (UK) Limited  
Internal Address:  
Street Address: Medawar Centre, Robert Robinson Avenue  
The Oxford Science Park

City: Oxford  
State/Country: OX4 4GA,  
Zip: United Kingdom

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

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A. Patent Application No(s).

- (1) Unknown  
(2)  
(3)

B. Patent No(s).

- (1)  
(2)  
(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: B. J. Sadoff

Internal Address:

Street Address: Nixon & Vanderhye P.C.  
1100 North Glebe Road  
8<sup>th</sup> Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00  
☒ Enclosed  
☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

B. J. Sadoff

Name of Person Signing  
Reg. No. 36,663

Signature

November 22, 2000

Date

Total number of pages including original cover sheet, attachments, and document: [3]

528 Rec'd PCT/PTO 22 NOV 2000

#1D581-40

03-20-2001



101618052

Our Ref.: 550-1

Commissioner of Patents and Trademark  
Box Assignment, Washington, D.C.

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kyriacos A. Mitraphanous      Fiona M. Ellard  
Deva Patil  
Alan J. Kingsman  
Susan M. Kingsman

Additional name/s of conveying party/ies attached? ☐

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☐ Security Assignment      ☐ Change of Name  
☐ Other

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A. Patent Application No(s).

- (1) Unknown  
(2)  
(3)

B. Patent No(s).

- (1)  
(2)  
(3)

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B. J. Sadoff

Name of Person Signing  
Reg. No. 36,663

Signature

November 22, 2000

Date

Total number of pages including original cover sheet, attachments, and document: [3]

# ASSIGNMENT OF PATENT APPLICATION

	<b>Kyriacos A. Mitraphanous</b>	<b>Fiona M. Ellard</b>
	<b>Deva Patil</b>	
<b>(Inventors)</b>	<b>Alan J. Kingsman</b>	
	<b>Susan M. Kingsman</b>	
	In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to	
<b>(Assignee)</b>	Oxford BioMedica (UK) Limited	
<b>(Address)</b>	(of Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA, United Kingdom)	
	(hereinafter designated as the Assignee) the undersigned's entire right, title and interest for the United States, its territories, dependencies and possessions in the invention, and all applications for patent and any Letters Patent which may be granted therefore, known as	
<b>(Title)</b>	RETROVIRAL DELIVERY SYSTEM (Case No. 550-184)	
	for which the undersigned has (have) executed on even date herewith an application for patent in the United States of America or, if not on even date, then has executed on            or has already filed U.S. appln. Serial No.            on	
	The undersigned acknowledges an obligation of assignment of this invention to said assignee at the time the invention was made.	

The undersigned agree(s) to execute all papers and documents necessary in connection with the application or any interference which may be declared and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient and further to perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of NIXON & VANDERHYE P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention.

258 REC'D FACTORY 28 NOV 2000

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

Date	<u>3/11/00</u>	Signature of inventor	( <u>K. Mitrophanous</u> )
Date	<u>X 6/11/2000</u> X	Signature of inventor	( <u>X Kyriacos A. Mitrophanous</u> X )
Date	<u>3-11-00</u>	Signature of inventor	( <u>Deva Patil</u> )
Date	<u>3-11-00</u>	Signature of inventor	( <u>Alan J. Kingsman</u> )
Date	<u>3-11-00</u>	Signature of inventor	( <u>Susan M. Kingsman</u> )
			( <u>M. Ellard</u> )
			( <u>Fiona M. Ellard</u> )

Witnessed by:	<u>A. J. Ellard</u>	Date	<u>3-11-00</u>
Witnessed by:	<u>X Meenatchi</u> X	Date	<u>X 6/11/00</u> X