

05-04-2004

Docket: YOR920040066US1 (8728-680)

Form PTO-1595
1-31-92U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

SHEET

102736937

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Xiaowei SHEN, Jaehyuk HUH,
Balaram SINHARROYAdditional name(s) of conveying party(ies)
attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒
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- Change of Name
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- ☐
- Other _____

Execution Date: April 15, 2004 (XS, BS);
April 16, 2004 (JH)

2. Name and address of receiving party(ies):

Name: International Business Machines
Corporation
Armonk, New York 10504

Additional name(s) & address(es) attached:

- ☐
- Yes
- ☒
- No

10833197

2387 U.S. PTO
10/833197

042704

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date
of the application is: April 15, 2004 (XS, BS); April 16, 2004 (JH)

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document
should be mailed:Name: Frank Chau, Esq.Street Address: F. CHAU & ASSOCIATES, LLC1900 Hempstead Turnpike, Suite 501City: East Meadow State: NY Zip: 115546. Total number of applications and
patents involved: 17. Total fee (37 C.F.R. §3.41): \$40.00☐ Enclosed☒ Authorized to be charged to deposit
account

8. Deposit account number:

50-0510/IBM (Yorktown Heights)(Attach duplicate copy of this page if
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To the best of my knowledge and belief, the foregoing information is true and
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Koon Hon Wong

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Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

PATENT

REEL: 015289 FRAME: 0415

Assignment

Whereas, we

Inventor
and City

(1) **XIAOWEI SHEN**
County of **DUTCHESS**

of **HOPEWELL JUNCTION**
and State of **NEW YORK**

(2) **JAEHYUK HUH**
County of **TRAVIS**

of **AUSTIN**
and State of **TEXAS**

(3) **BALARAM SINHARROY**
County of **DUTCHESS**

of **POUGHKEEPSIE**
and State of **NEW YORK**

have invented certain improvements in

TITLE

LOCATION-AWARE CACHE-TO-CACHE TRANSFERS

and executed, respectively, a United States patent application therefor on

DATES THAT	(1)	2004 and	(2)	2004 and	(3)	2004
INVENTORS	(4)	2004 and	(5)	2004 and	(6)	2004.
SIGNED THE DECLARATION						

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed
CITY (1) at Hopewell Junction, NY Xiaowei Shen SIGNATURE
DATE on April 17 2004, (XIAOWEI SHEN) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

Signed and sealed
CITY (2) at _____ JaeHyuk Huh SIGNATURE
DATE on April 16 2004, (JAEHYUK HUH) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

Signed and sealed
CITY (3) at _____ Balaram Sinharoy SIGNATURE
DATE on _____ 2004, (BALARAM SINHARROY) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

Assignment

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and City

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Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed
CITY (1) at Hopewell Junction, NY Xiaowei Shen SIGNATURE
DATE on April 15 2004, (XIAOWEI SHEN) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

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CITY (2) at _____ SIGNATURE
DATE on _____ 2004, (JAEHYUK HUH) INVENTOR
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CITY (3) at Poughkeepsie, NY Balaram Sinharoy SIGNATURE
DATE on April 15 2004, (BALARAM SINHAROY) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME