

Atty Docket No.: 260896US-2SD

FORM PTO-1595  
06-04

## RECORDATION FORM COVER SHEET

## PATENTS ONLY

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## 1. Name of conveying party(ies):

ASEA BROWN BOVERI AG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Other

Execution Date: December 11, 2001

## 2. Name and address of receiving party(ies):

Name: ABB SCHWEIZ HOLDING AG

Address: Brown Boveri Strasse 6,  
5400 Baden  
SwitzerlandAdditional name(s) and address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

☐ This document is being filed together with a new application

A. Patent Application No.(s)

B. Patent No.(s)

6,191,640

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220

## 6. Total applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number: 15-0030

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## 9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Marvin J. Spivak

Name of Person Signing

Signature

Date

10/28/04

Registration Number: 24,913

Total number of pages including this cover sheet: 4

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PATENT  
REEL: 015302 FRAME: 0118

OP \$40.00 6191640

Company Number	Legal Status	Registration Date	Cancellation	Transfer from: to:	Page 1
21-400.3.018.550-5	Joint Stock Company	June 19, 1997			

## All entries

Ref.	Can.	Company	Ref.	Registered Place of Business
1		Asea-Brown-Boveri AG	1	Baden
1	9	(Asea-Brown-Boveri SA) (Asea-Brown-Boveri Ltd)		
9		ABB (Schweiz) AG		
9	17	(ABB (Suisse) SA, ABB (Switzerland) Ltd)		
17		ABB Schweiz Holding AG		
17		(ABB Suisse Holding SA) (ABB Switzerland Holding Ltd)		

Ref.	Common Stock (CHF.)	Shares Issued	Denomination	Ref.	Company Address
1	180'000'000.-	180'000'000.-	4000'000 registered shares at CHF 400.-	1	Haselstrasse 46 5400 Baden
2	200'000'000.-	200'000'000.-	2'000'000 registered shares at CHF 100.-	17	Brown Boveri Strasse 6 5400 Baden
Ref.	Participation Certificates Capital (CHF)	Shares Issued (CHF)	Participation Certificates		

Entry	Can.	Purpose, Description	Ref.	Date of Statutes
1	9	Acquisition and management of participations as well as financial transactions of any kind, in particular in the field of electrotechnical products and electronics; furthermore the furnishing of services in particular for companies in which the company has a direct or indirect participation as well as for other companies within the ABB Group in particular in the field of the management of the different business segments, finances and controlling, law, taxes and personnel matters as well as further services in connection with the management of the mentioned companies. The company may acquire, mortgage and sell real estate.		
9		Acquisition and management of shareholdings of companies primarily domiciled in Switzerland as well as domestic and foreign financing of whatever kind, in particular in the field of electrotechnical products and electronics; furthermore the furnishing of services in particular for companies in which the company has a direct or indirect participation as well as for other companies within the ABB Group in particular in the field of the management of the different business segments, finances and controlling, law, taxes and personnel matters, further services in connection with the management of the mentioned companies. The company may acquire, mortgage and sell real estate.		

Entry	Can.	Remarks	Ref.	Date of Statutes
1		All company communications to registered stockholders are made in writing.	1	June 4, 1997
1		The transferability of the registered shares is limited in accordance with the provisions of the company statutes.	2	July 9, 1997
4		Merger: On the occasion of the merger, art. 748 OR, the Company acquires the Kriegel & Schaffner Holding AG, in Muttens. Assets amounting to CHF 111'824'681.27 and liabilities amounting to CHF 1'887'000.- in accordance with the merger agreement dated June 15, 1998 and the merger balance dated January 1, 1998 are transferred to the Company. As the shareholders of both companies are identical the shares of the company taken over will be deleted and the share capital of the overtaking Company remains unchanged.	2	September 23, 1997
			9	September 10, 1999
			17	December 11, 2001
14		Merger: On the occasion of the merger, art. 748 OR, the Company acquires the CE Combustion Engineering AG, in Baden. Assets amounting to CHF 113'096.54 and liabilities amounting (dept capital) to CHF 3'212.50 in accordance with the merger agreement dated January 1, 2001 and the merger balance dated January 31, 2001 are transferred to the Company. As the shareholders of both companies are identical the shares of the company taken over will be deleted and the share capital of the overtaking Company remains unchanged.		
16		Merger: On the occasion of the merger, art. 748 OR, the Company acquires the ABB Structured Finance AG, in Baden. Assets amounting to CHF 10'900'359.09 and liabilities amounting to CHF 8'560'365.97 in accordance with the merger agreement dated May 31, 2001, and the merger balance dated January 1, 2001 are transferred to the Company. As the shareholders of both companies are identical the shares of the company taken over will be deleted and the share capital of the overtaking Company remains unchanged.		

continued on page 2

CH-4003.018.550-5

ABB Switzerland Holding Ltd

Baden

Entry	Can.	Investments, Transfer of Material Assets, Privileges and Shares or Securities	Ref.	Publication
1		Investment in Kind: Upon its foundation the company acquires partial assets amounting to CHF. 741'205'271.31 and partial liabilities amounting to CHF 205'012'484.30 of ABB Immobilien AG, namely its previous business, including all participations and services according to the balance sheet dated January 1, 1997, whereby 1'800'000 fully paid in registered shares at a par value of SFr. 100.-- will be issued, CHF 76'927'426.07 will be allotted to the statutory reserves and CHF 279'263'839.57 to the independent reserves and CHF 1'521.37 will be credited as receivables.	1	SHAB
2		Investment in Kind: Upon the increase of capital on September 23, 1997, the company acquires 1'999'936 registered shares at CHF 10.-- of ABB Immobilien AG, in Baden, in accordance with the contract dated September 23, 1997, amounting to CHF 64'500'000.-- whereby 200'000 fully paid in registered shares at a par value of CHF 100.-- are issued.		

Shares at a par value of \$1000													
Entry	Can.	Branch Office	Entry	Can.	Branch Office	Entry	Can.	Branch Office	Entry	Can.	Branch Office		
ID	Ref.	TB No.	TB Date	SHAB	SHAB Date	Page	ID	Ref.	TB No.	TB Date	SHAB	SHAB Date	Page
FR	1	3833	19.06.1997	119	25.06.1997	4415	SM	11	9929	23.12.1999	255	10.12.1999	8885
FR	2	6360	26.09.1997	189	02.10.1997	7223	SM	12	2841	07.04.2000	74	13.04.2000	2504
SM	3	3345	26.04.1998	84	04.05.1998	2999	SM	13	698	26.01.2001	22	01.02.2001	791
FR	4	4844	23.06.1998	122	29.06.1998	4440	FR	14	1115	09.02.2001	32	15.02.2001	1165
SM	5	7423	01.10.1998	194	07.10.1998	6887	SM	15	2478	09.04.2001	73	17.04.2001	2811
SM	6	968	02.02.1999	26	08.02.1999	881	FR	16	3760	06.06.2001	111	12.06.2001	4396
BS	7	2422	31.03.1999	67	08.04.1999	2272	FR	17	9385	19.12.2001	250	27.12.2001	10206
SM	8	3230	04.05.1999	89	10.05.1999	3089							
MD	9	7243	29.09.1999	193	05.10.1999	6808							
SM	10	6409	11.11.1999	224	17.11.1999	7807							

Legend:	P = President of the Board of Directors	VR = Board of Directors	GF = Manager	EU = Single Signature
	VP = Vice President of the Board of Directors	Liq = Liquidator	b.a = limited to	KU = Joint Signature
	Del = Delegate of the Board of Directors	GD = General Manager	HS = Main Office	EP = Authorised Sole Signatory
	M = Member of the Board of Directors	D = Director	ZN = Branch Office	KP = Collective Procuration
	S = Secretary	VD = Submanager	ZB = Signatory Power	Stv = Deputy

Entry	Rev.	Can.	Personnel Data	Function	Signatory Right
1		3	Gasser, Dr. Thomas P. from Lungern, in Zurich	President	KU Joint Signature
1		2m	Hess, Dr. Beat, from Engelberg, in Oberrohrdorf	Vice President	KU Joint Signature
1		15	Sonnenmoser, Alois, from Winterthur, in Niederrohrdorf	Delegate	KU Joint Signature
1		5	Carlsson, Sune Oskar, Swedish citizen, in Kloten (ZH)		KU Joint Signature
1		3m	Fassbind, Dr. Renato, from Arth, in Oberweningen	Member	KU Joint Signature
1		3	Pehr, Michael, German citizen, in Ketsch (D)	Member	KU Joint Signature
1		8	Bagdasarian, Dr. Felix, from Zurich, in Niederrohrdorf		KU Joint Signature
1		6	Dür, Josef Anton, from Gern, in Remetschwil		KU Joint Signature
1		8	Kohlhofer, Feli, from Weiningen ZH, in Hansen/Albis		KU Joint Signature
1		3	Küng, Paul, from Wildhaus, in Windisch		KU Joint Signature
1			Lück, Dr. Gert, from Obersiggenthal, in Obersiggenthal		KU Joint Signature
1		10	Steiner, Dr. Andreas, from Schüpfen, in Embrach		KP Joint Signature
1		11	Klein, Ernest, citizen from Luxembourg, in Obersiggenthal		
1			KPMG, Fides Peat, in Zurich	Auditors	
2		15	Hess, Dr. Beat, from Engelberg, in Oberrohrdorf	Member	KU Joint Signature
3		15	Fassbind, Dr. Renato, from Arth, in Oberweningen	President	KU Joint Signature
3		15	Dietz, Dr. Horst, German citizen, in Dösseldorf	Member	KU Joint Signature
3			Brand, Ernst, from Rüderswil, in Villmergen		KU Joint Signature
3		10	Walbel, Dr. Tony, from Diepoldsau, in Zuffikon		KU Joint Signature
3		15m	Kubat, Dr. Jörg, from Basel, in Endingen		KU Joint Signature
3		15m	Gugelz, Walter, from Erlenbach ZH, in Oberrohrdorf		KU Joint Signature
6			Isele, Werner, from Obersiggenthal, in Würenlos		KU Joint Signature
6		13	Leupp, Peter, from Winterthur, in Thalwil		KU Joint Signature
6		11	Lichtsteiner, René, from Oberkirch, in Wetzlingen		KP Joint Signature
7		17	Clerc, Natalia, from Brionz and Zurich, in Zurich		KP Joint Signature
7			Kaiser, Dr. Helmut, citizen from Germany, in Untersiggenthal		KP Joint Signature
7		11	Belle, Giacomo, from Zuchwil, in Zurich		KU Joint Signature

OCT. 28. 2004 12:08PM

OBLON, SPIVAK

KU Joint Signature NO. 343 P. 6

3		Amet, Daniel, from Zürich and Gelnau, in Kloten	KU Joint Signature
8	15	Biedermann, Christoph, from Dättlingen, in Zürich	KU Joint Signature
10		Küng, Moritz, from Wagenhausen, in Brugg AG	KU Joint Signature
11		Weibel, Beat, from Jonschwil and Zürich, in Geroldswil	KU Joint Signature
12		Merz, Renato, from Brugg, in Ueken	KU Joint Signature
13	15m	Schaumann, Rolf, German citizen, in Hütikon	President KU Joint Signature
	15	Schaumann, Rolf, German citizen, in Hütikon	Member KU Joint Signature
	15	Gugolz, Walter, from Erlenbach ZH, in Oberrohrdorf	Member KU Joint Signature
	15	Kubat, Dr. Jörg, from Basel, in Endingen	

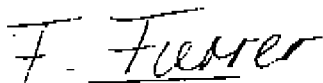
Aarau, 28.01.2002

11:45

This extract from the cantonal Commercial Trade Register is only valid when certified by a notary public, as per the affixed notary seal. It contains all currently valid entries for this company as well as all previously valid entries which have been cancelled since June 19, 1997.  
On special request, an extract can be prepared which contains only the currently valid entries.

I, the undersigned, hereby confirm that this English translation corresponds to the best of my knowledge and ability to the original German text taken from the Main Register of the Commercial Trade Register of Canton Argovia.

Baden, January 30, 2002



Felicitas Furrer

Legalization

The foregoing signature was written in her own hand by Mrs Felicitas Furrer, born 1943, of Pfeffikon LU, in Baden.

Baden, January 31, 2002

The Notary Public: