

FORM PTO-1595
(Rev. 6-93)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

PATENTS ONLY

OMB No. 0651-0011 (exp. 4/94)

LA0115 NP

To the Honorable Director of the US Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Dean A. Wacker
- 2) Guohua Zhao
- 3) Chet Kwon
- 4) Jeffrey G. Varnes
- 5) Philip D. Stein

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

Street Address: Lawrenceville-Princeton RoadCity: Princeton State: NJ ZIP: 08543-4000Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: Nos. 1-4: Sept. 17, 2004;No. 5: Sept. 28, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/958,325

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen B. DavisInternal Address: Bristol-Myers Squibb CompanyPatent DepartmentStreet Address: P.O. Box 4000City: Princeton State: NJ ZIP: 08543-40006. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sammy G. Duncan Jr. Ph.D.Name of Person Signing
Reg. No. 46,675

Signature

October 28, 2004

Date

☐ Certificate of mailing on reverse sideTotal number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:
 Mail Stop Assignment Recordation Services, Director of the US Patent and Trademark Office
 PO Box 1450, Alexandria, VA 22313-1450

PATENT

REEL: 015303 FRAME: 0213

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ORIG.

ASSIGNMENT

We,

Dean A. Wacker	residing at	1509 Brookfield Road Yardley, Pennsylvania 19067 United States of America
Guohua Zhao	residing at	56 York Drive Princeton, New Jersey 08540 United States of America
Chet Kwon	residing at	20 Jonquil Drive Newtown, Pennsylvania 18940 United States of America
Jeffrey G. Varnes	residing at	37 E. Park Road Newtown, Pennsylvania 18940 United States of America
Philip D. Stein	residing at	3 Harbourton Ridge Drive Pennington, New Jersey 08534 United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

MODULATORS OF SEROTONIN RECEPTORS

invented by us and described in the non-provisional United States patent application executed on even date herewith including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb

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Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 17 day of September, 2004 by Dean A. Wacker
Dean A. Wacker

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the 17th day of September, 2004, before me came Dean A. Wacker, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



Julia Mary Kessler
Notary Public
Notary Public
State of New Jersey
Julia Mary Kessler
My Commission Expires
December 20, 2008

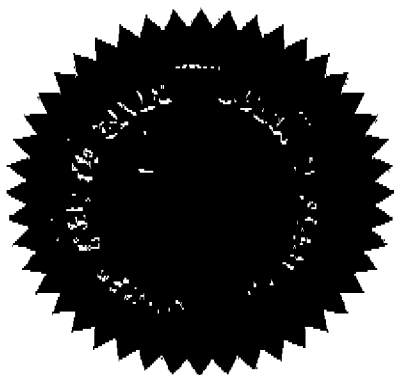
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Signed this 17th day of September, 2004 by

Guohua Zhao
Guohua Zhao

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the 17th day of September, 2004, before me came Guohua Zhao, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



Julia Mary Kessler
Notary Public

Notary Public
State of New Jersey
Julia Mary Kessler
My Commission Expires

December 20, 2008

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Case LA0115 NP

Signed this 17th day of September, 2004 by Chet Kwon
Chet Kwon

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the 17th day of September, 2004, before me came Chet Kwon,
to me known to be the person of that name mentioned in, and who executed the
foregoing Assignment and acknowledged that he/she executed it.



Julia Mary Kessler
Notary Public
Notary Public
State of New Jersey
Julia Mary Kessler
My Commission Expires
December 20, 2008

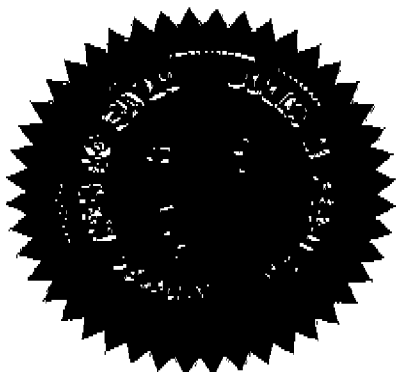
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Signed this 17 day of September, 2004 by

Jeffrey G. Varnes
Jeffrey G. Varnes


STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the 17th day of September, 2004, before me came Jeffrey G. Varnes, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



Julia Mary Kessler
Notary Public
Notary Public
State of New Jersey
Julia Mary Kessler
My Commission Expires
December 20, 2008

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Signed this 28th day of September, 2004 by 
Philip D. Stein

STATE OF New Jersey)
) ss.
COUNTY OF Middlesex)

On the 28 day of September, 2004, before me came Philip D. Stein,
to me known to be the person of that name mentioned in, and who executed the
foregoing Assignment and acknowledged that he/she executed it.


Notary Public

[SEAL]

EVELYN VAZQUEZ
Notary Public of New Jersey
No. 2108805
My Commission Expires Dec. 9, 2007
Registered in Middlesex County