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Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
David M. Gill S. 4-04  
Oliver S. King  
Frederick G. Johnson

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: 4/30/04

2. Name and address of receiving party(ies)  
Name: Little Optics Legal Dept  
Internal Address: attn: Fred Johnson

Street Address: 9020 Junction Dr  
Annapolis  
City: Junction State: MD Zip: 20701

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s): 10837685  
If this document is being filed together with a new application, the execution date of the application is: 4/30/04

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Little Optics  
Internal Address: Legal Dept

Street Address: 9020 Junction Dr  
Annapolis  
City: Junction State: MD Zip: 20701

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40

Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frederick G. Johnson  
Name of Person Signing

*Frederick G. Johnson*  
Signature

4/29/2004  
Date

Total number of pages including cover sheet, attachments, and documents: 1

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

05/06/2004 MBIZUNES 00000052 10837685

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PATENT  
REEL: 015304 FRAME: 0898

15835 U.S. PTO  
10/837685

050404

ASSIGNMENT

In consideration of the premises and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, the undersigned,

- (1) David M. Gill
- (2) Oliver S. King
- (3) Frederick G. Johnson

who has made a certain new and useful invention, hereby sell, assign and transfer unto

LITTLE OPTICS, INC.

9020 JUNCTION DRIVE

ANNAPOLIS JUNCTION, MD 20701

its successors and assigns (hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100 in the invention entitled

INTEGRATED OPTICAL CIRCUIT WITH DENSE PLANARIZED CLADDING LAYER


- (a) for which an application for United States Letters Patent was filed on \_\_\_\_\_, and identified by United States Serial No. \_\_\_\_\_; or
- (b) for which an application for United States Letters Patent was executed on \_\_\_\_\_,

and the undersigned hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all United States Letters Patent which may be granted therefor and/or that claim priority thereto and any and all extensions, divisions, reissues, substitutes, renewals, continuations, or continuations-in-part thereof and/or that claim priority thereto, and the right to all benefits under the International Convention for the Protection of Industrial Property to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree that the attorneys of record in said application shall hereafter act on behalf of said ASSIGNEE;


AND the undersigned hereby agree to transfer a like interest, and to render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, upon request of the said ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, in and to any improvements, and applications for patent based thereon, growing out of or related to the said invention; and to execute any papers by the said ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

SIGNED on the dates indicated aside our signatures:  
INVENTORS

DATE SIGNED

1)   
Name: David M. Gill

4/30/04

2)   
Name: Oliver S. King

4/30/04

3)   
Name: Frederick G. Johnson

4/29/2004