

05-12-2004

Form PTO-1595
(Rev. 10/02)
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COVER SHEET
ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert H. L. Chiang
Eugene Duane Daddis, Jr.
Kwok Kwong Fung

S.10.04

2. Name and address of receiving party(ies)

Name: Carrier Corporation

Internal Address: _____

Street Address: One Carrier Place

City: Farmington State: CT Zip: 06034-4015

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: 01/29/2004;
02/02/2004; 02/18/2004

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/743,394

B. Patent No.(s) _____

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William W. Habelt

Internal Address: P.O. Box 4800

Street Address: Carrier Parkway

City: Syracuse State: NY Zip: 13221

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

03-0835 Carrier Corporation

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9. Signature.

William W. Habelt

Name of Person Signing

Signature

May 7, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

05/12/2004 LMUELLER 00000005 030835 10743394

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PATENT
REEL: 015308 FRAME: 0062

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, We, being the inventors of a certain invention as fully set forth and described in a patent application entitled **EVAPORATOR FOR REFRIGERATED MERCHANDISER** (Carrier Docket No. 10133)

executed by: Robert H.L. Chiang
residing at: No. 67, Lane 2222, Jian He Road, Shanghai, CHINA 200336

on this ~~Jan~~ 29th day of JANUARY, 2004,

and by: Eugene Duane Daddis, Jr.
residing at: 4586 Pauli Drive, Manlius, New York 13104

on this 2nd day of February, 2004,

and by: Kwok Kwong Fung
residing at: 52050 Fall Creek Drive, Granger, Indiana 46530

on this _____ day of _____, 2004, being the owners of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware corporation, with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, and all divisions, reissues, continuations, and extensions thereof. We hereby authorize and request the Commissioner of Patents to issue the said Letters Patent to said CARRIER CORPORATION, as Assignee.

AND we hereby covenant and agree that we shall at any time, upon request, without further compensation, testify in any interference proceedings, execute all divisional, continuing or reissue applications, make all rightful oaths, and generally execute and deliver any and all papers or instruments that may be necessary or desirable to secure to said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, we have hereunto set our hand and seal.

Robert Hung Leng Chiang (L.S.)

Robert H.L. Chiang

Date: JAN. 29, 2004

Eugene Duane Daddis, Jr. (L.S.)

Eugene Duane Daddis, Jr.

Date: Feb 2, 2004

_____ (L.S.)

Kwok Kwong Fung

Date: _____

STATE OF NEW YORK)

COUNTY OF ONONDAGA) SS.:

On this 29th day of January, 2004, before me the subscriber, personally came **ROBERT HONG LEUNG CHIANG**, to me known, and known to me to be the person described in and who executed the foregoing instrument, and duly acknowledged to me that he they executed the same as his free act and deed.

Karen F. Gilman
Notary Public

(seal)

KAREN F. GILMAN
Notary Public in the State of New York
Qualified in Onondaga County No. 6799308
My Commission Expires February 28, 2006

STATE OF New York)

COUNTY OF Oswego) SS.:

On this 2nd day of February, 2004, before me the subscriber, personally came **EUGENE DUANE DADDIS**, to me known, and known to me to be the person described in and who executed the foregoing instrument, and duly acknowledged to me that he they executed the same as his free act and deed.

Tammy S. Senecal
Notary Public

(seal)

TAMMY S. SENECA
Notary Public, State of New York
Qual. in Oswego Co. No. 01SE6077414
Commission Expires July 8, 20 06

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, We, being the inventors of a certain invention as fully set forth and described in a patent application entitled **EVAPORATOR FOR REFRIGERATED MERCHANDISER** (Carrier Docket No. 10133)

executed by: Robert H.L. Chiang
residing at: No. 67, Lane 2222, Jian He Road, Shanghai, CHINA 200336

on this _____ day of _____, 2004,

and by: Eugene Duane Daddis, Jr.
residing at: 4586 Pauli Drive, Manlius, New York 13104

on this _____ day of _____, 2004,

and by: Kwok Kwong Fung
residing at: 52050 Fall Creek Drive, Granger, Indiana 46530

on this 18 day of February 2004, being the owners of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware corporation, with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, and all divisions, reissues, continuations, and extensions thereof. We hereby authorize and request the Commissioner of Patents to issue the said Letters Patent to said CARRIER CORPORATION, as Assignee.

AND we hereby covenant and agree that we shall at any time, upon request, without further compensation, testify in any interference proceedings, execute all divisional, continuing or reissue applications, make all rightful oaths, and generally execute and deliver any and all papers or instruments that may be necessary or desirable to secure to said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, we have hereunto set our hand and seal.

_____ (L.S.)

Robert H.L. Chiang

Date: _____

_____ (L.S.)

Eugene Duane Daddis, Jr.

Date: _____

Kwok Kwong Fung (L.S.)

Kwok Kwong Fung

Date: Feb. 18, 2004

STATE OF Michigan)
) SS.:
COUNTY OF Berrien)

On this 18th day of Feb., 2004, before me the subscriber,
personally came **KWOK KWONG FUNG**, to me known, and known to me to be the person
described in and who executed the foregoing instrument, and duly acknowledged to me that he
they executed the same as his free act and deed.

Judith A. Vinnedge
Notary Public

(seal)

JUDITH A. VINNEDGE
NOTARY PUBLIC, BERRIEN COUNTY, MI
MY COMMISSION EXPIRES MARCH 10, 2005

The patent application referred to in the foregoing instrument was filed in the United States Patent and
Trademark Office on December 22, 2003, and was assigned Serial Number 10/743,394.

William W. Habelt

Attorney: William W. Habelt

May 7, 2004

Reg. No.: 29,162