

10/15/2004
 700123981

Docket No.: 6071-002

FORM PTO-1585 (Modified)
 (Rev. 6-93)
 QMS No. 0531-0011 (Rev. 4-94)
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REGISTRATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
 Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): <u>Guoping Zhao</u> <u>Rui Heng Xu</u> <u>Xinwei Wu</u> <u>Changchun Tu</u> <u>Huai-Dong Song</u></p> <p>Additional names(s) of conveying party(ies) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>Chinese Human Genome Center at Shanghai</u></p> <p>Internal Address: _____</p> <p>_____</p> <p>Street Address: <u>250 Bibo</u></p> <p><u>Zhangjiang Hi-Tech Park</u></p> <p>City: <u>Shanghai CHINA</u> State: _____ ZIP: _____</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Other _____</p> <p>Execution Date: <u>October 13, 2004</u></p>	<p>Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

<p>A. Patent Application No.(s)</p> <p><u>10/838,401</u></p>	<p>B. Patent No.(s)</p>
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Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Andrew O. Scheinman</u></p> <p>Internal Address: <u>ROSENBAUM & ASSOCIATES, P.C.</u></p> <p>_____</p> <p>Street Address: <u>650 Dundee Road</u></p> <p><u>Suite #380</u></p> <p>City: <u>Northbrook</u> State: <u>IL</u> ZIP: <u>60062</u></p>	<p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41):.....\$ <u>40.00</u></p> <p><input type="checkbox"/> Enclosed - Any excess or insufficiency should be credited or debited to deposit account</p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number:</p> <p><u>18-2000</u></p>
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DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Andrew O. Scheinman Andrew Scheinman 10-19-04

Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: 11

**RECORDATION FORM COVER SHEET
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CONTINUATION SHEET

In re: Application Serial No. 10/888,401

Names of Conveying Parties:

Yixue Li
Jinlin Hou
Jun Xu
Jun Min

There are no additional names of conveying parties.

ASSIGNMENT AGREEMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, we, **GUOPING ZHAO, RUI HENG XU, XINWEI WU, CHANGCHUN TU, HUAL-DONG SONG, YIXUE LI, JINLIN HOU, JUN XU, and JUN MIN** have sold, assigned and transferred and do hereby sell, assign and transfer unto **CHINESE HUMAN GENOME CENTER AT SHANGHAI**, an entity organized under the laws of the country of China, and its successors, assigns and legal representatives, the entire right, title and interest for the United States of America in and to certain inventions relating to improvements in the **CHARACTERIZATION OF THE EARLIEST STAGES OF THE SEVERE ACUTE RESPIRATORY SYNDROME (SARS) VIRUS AND USES THEREOF** described, illustrated and claimed in an application for Letters Patent of the United States of America executed by us herewith and which claims the benefit of priority to Chinese Patent Application Serial Nos. CN03141517.2 filed July 10, 2003; CN03129330.1 filed July 10, 2003; CN200410016063.2 filed January 29, 2004; CN200410016062.8 filed January 29, 2004; CN200410043596.X filed May 8, 2004; and CN200410043597.4 filed January 29, 2004 together with the entire right, title and interest in and to said application, and in and to Letters Patent which may be issued upon said application, and upon any division, extension, continuation or reissue thereof.

We also hereby sell, assign and transfer unto the said **CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI**, the entire right, title and interest in and to said invention and in and to applications for Letters Patent therefore in all countries foreign to the United States of America, including all rights under any and all international conventions and treaties in respect of said inventions and said applications for Letters Patent in foreign countries, and we further authorize the said **CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI** to apply for Letters Patent in foreign countries directly in its own name, and to claim the priority of the filing date of the said application for Letters Patent of the United States of America under the provisions of any and all international conventions and treaties.

We hereby authorize and request the Commissioner of Patents of the United States of America to issue Letters Patent upon the aforesaid application, division, extension, continuation or reissue, to **CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI** for the sole use and behoof of said **CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI**, its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted, the same as they would have been held and enjoyed by us had this assignment not been made, and we hereby authorize and request the equivalent authorities in foreign countries to issue the patents of their respective countries to the said **CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI**

We agree that, when requested, we will, without charge to said **CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI**, but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in all countries and for vesting title thereto in said **CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI**, its successors, assigns and legal representatives or nominees.

We covenant with said **SHANGHAI HUAYI BIO-LAB CO., LTD.**, its successors, assigns and legal representatives, that the interest and property hereby conveyed is free from all prior assignment, grant, mortgage, license or other encumbrance.

Dated: Oct. 13, 2004

Guoping Zhao
Guoping Zhao

Dated: Sept. 30, 2004

Rui Hong Xu
Rui Hong Xu

Dated: _____

Xinwei Wu

We hereby authorize and request the Commissioner of Patents of the United States of America to issue Letters Patent upon the aforesaid application, division, extension, continuation or reissue, to **CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI** for the sole use and behoof of said **CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI**, its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted, the same as they would have been held and enjoyed by us had this assignment not been made, and we hereby authorize and request the equivalent authorities in foreign countries to issue the patents of their respective countries to the said **CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI**

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Dated: Oct. 13. 2004

Guoping Zhao
Guoping Zhao

Dated: _____

Rui Heng Xu

Dated: 2004-09-28

Xinwei Wu
Xinwei Wu

Dated: 9/30/2004 Changchun Tu
Changchun Tu

Dated: _____
Huai-Dong Song

Dated: _____
Yixue Li

Dated: _____
Jinlin Hou

Dated: _____
Jun Xu

Dated: _____
Jun Min

WE HEREBY ACCEPT THE ABOVE ASSIGNMENT

CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI

Guoping Zhao
Printed Name: Guoping Zhao
Title: Executive Director, CHGC at Shanghai



Dated: _____

Changchun Tu

Dated: Oct 8, 2004Huaidong Song

Huai-Dong Song

Dated: _____

Yixue Li

Dated: _____

Jinlin Hou

Dated: _____

Jun Xu

Dated: _____

Jun Min

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Guoping Zhao

Printed Name: Guoping Zhao

Title: Executive Director, CHGC at Shanghai



Dated: _____

Changchun Tu

Dated: _____

Huai-Dong Song

Dated: 9/29/2004

Yixue Li

Yixue Li

Dated: _____

Jinlin Hou

Dated: _____

Jun Xu

Dated: _____

Jun Min

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CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI

Guoping Zhao
Printed Name: Guoping Zhao

Title: Executive Director, CHGC at Shanghai



Dated: _____
Changchun Tu

Dated: _____
Husi-Dong Song

Dated: _____
Yixue Li

Dated: 28/09/2004 Jinlin Hou
Jinlin Hou

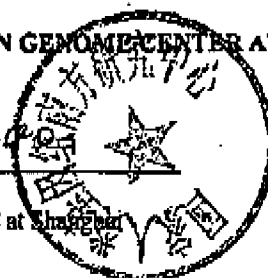
Dated: _____
Jun Xu

Dated: _____
Jun Min

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Guoping Zhao
Printed Name: Guoping Zhao
Title: Executive Director, CHGC at Shanghai



Dated: _____
Changchun Tu

Dated: _____
Huai-Dong Song

Dated: _____
Yixue Li

Dated: _____
Jinlin Hou


Dated: 2/19/2004
Jun Xu

Dated: _____
Jun Min

WE HEREBY ACCEPT THE ABOVE ASSIGNMENT

CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI

Guo's Zhao
Printed Name: Guoping Zhao
Title: Executive Director, CHGC at Shanghai




Dated: _____
Changchun Tu

Dated: _____
Huai-Dong Song

Dated: _____
Yixue Li

Dated: _____
Jinlin Hou

Dated: _____
Jun Xu

Dated: 2004-9-29

Jun Min

WE HEREBY ACCEPT THE ABOVE ASSIGNMENT

CHINESE NATIONAL HUMAN GENOME CENTER AT SHANGHAI


Printed Name: Guoping Zhao
Title: Executive Director, CHGC at Shanghai



Assignment Agreement 6071-002