Docket No.: 1442.033A FORM PTO-1595 (Modified) 05-14-2004 U.S. DEPARTMENT OF COMMERCE **IEET** (Rev. 03-01) Patent and Trademark Office OMB No. 0651-0027 (exp.5/31/2002) P08A/REV03 Tab settings → → 102745724. Just record the attached original documents or copy thereof. To the Director of the United States i 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): **Anthony Brennan** W. John Gardenier Name: SARATOGA SPA & BATH, INC. Address: 33 WADE ROAD ☐ Yes ☒ No Additional names(s) of conveying party(ies) Nature of conveyance: Assignment Merger ☐ Security Agreement ☐ Change of Name City: LATHAM State/Prov.: N.Y. ZIP: 12110 **☒** Other ASSIGNMENT CONFIRMATION Country: UNITED STATES Execution Date: AB: 4/2/04 WJG: 4/2/04 ☐ Yes ⊠ No Additional name(s) & address(es) Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: Patent Application No. Filing date B. Patent No.(s) October 10, 2002 10/268,371 ☐ Yes 🗵 No Additional numbers 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: VICTOR A. CARDONA 7. Total fee (37 CFR 3.41):....\$ 40.00 Registration No. Enclosed - Any excess or insufficiency should be credited or debited to deposit account Address: Heslin Rothenberg Farley & Mesiti P.C. Authorized to be charged to deposit account 5 Columbia Circle 8. Deposit account number: 8 08-1935 State/Prov.: NY City: Albany (Attach duplicate copy of this page if paying by dessit account) Country: United States ZIP: 12203 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy a true copy of the original document. 6 第2004 VICTOR A. CARDONA Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450 Ë જ્

> PATENT REEL: 015314 FRAME: 0560

## ASSIGNMENT CONFIRMATION

WHEREAS, WE,

Anthony Brennan, a citizen of the United States, residing at 7 Mann Boulevard, Clifton Park, New York 12065, United States; and

W. John Gardenier, a citizen of the United States, residing at 1 Ashwood Court, Albany, New York 12208, United States,

respectively, have invented certain new and useful improvements in **HEAD REST ASSEMBLY HAVING AN ILLUMINATED INSERT FOR A SPA** for which we have filed an application for Letters Patent on **October 10, 2002**, for U.S. Patent Application No. 10/268.371, which is a Continuation-In-Part of U.S. Patent Application Number 09/834,260, filed April 12, 2001, now U.S. Patent No. 6,467,103; and

WHEREAS, SARATOGA SPA & BATH, INC., having offices at 33 Wade Road, Latham, New York 12110, has obtained the entire right, title and interest in, to and under the said improvements and the said application in an Assignment dated, December 11, 2002 and that said assignment incorrectly listed Saratoga Spa & Bath Co., Inc., as the assignee therein.

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, We the said Anthony Brennan and W. John Gardenier, have sold, assigned, transferred and set over, and hereby confirm we have sold, assigned, transferred, and set over, in an Assignment dated December 11, 2002, and filed with the U.S. Patent and Trademark Office on December 17, 2002, unto the said SARATOGA SPA & BATH, INC., its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and We authorized and requested in the said Assignment that the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said SARATOGA SPA & BATH, INC., its successors, legal representatives and assigns, in accordance with the terms of this instrument. This Assignment Confirmation is being executed to confirm our assignment and our intention to assign to SARATOGA SPA & BATH, INC. all right, title, and interest in the said improvements, instead of the incorrectly designated Saratoga Spa & Bath Co., Inc. as outlined in the said Assignment dated December 11, 2002, and filed with the U.S. Patent and Trademark Office on December 17, 2002.

WHEREAS, in consideration of the good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over, unto to said SARATOGA SPA & BATH, INC. its successors, legal representatives, and assigns, any right, title, or interest which may remain with us, to and under the said improvements, and the said application and any divisions, renewals, or continuations thereof, and any Letters Patent which may be granted thereon, and any reissues or extensions thereof, and any applications for Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and any Patent which may be granted for said improvements in any country or countries foreign to the United States and any extensions, renewals, or reissues thereof; We hereby authorize and request the Commissioner of Patents of the United States and the Official of any country or countries foreign to the United States, whose duty it is to issue patents on

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PATENT REEL: 015314 FRAME: 0561 applications as aforesaid, to issue all Letters Patent for said improvements to the said SARATOGA SPA & BATH, INC., its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY further covenant and agree that we will communicate to the said SARATOGA SPA & BATH, INC., its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said SARATOGA SPA & BATH, INC., its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set day of April, 2004.	Anthony Brennan
STATE OF NEW YORK ) ) ss.:	
COUNTY OF )	
On this day of hori, 2004, before me personally came Anthony Brennan, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.	
OOF TO BURSHIETON  Notary Physic, Same of New York  Gestined in Scheneciady County  No. 4946175  Commission Expires Jan. 27, 2007	Notary Public Larry
IN TESTIMONY WHEREOF, I hereunto set day of Opril, 2004.	my hand and seat this
	W. John Gardenier
STATE OF NEW YORK ) ss.:	
COUNTY OF	
On this day of, 2004, before me personally came W. John Gardenier, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.	
DONNA C. HARRINGTON  Notary Public, State of New York  Qualified in Schenectedy County  No. 4946176  Commission Expires Jan. 27, 20 (2)	Notary Public

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RECORDED: 05/11/2004

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