

05-12-2004

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FORM PTO 1595
1-31-92

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Comm.

102742993

attached original documents or copy thereof.

1. Name of conveying party(ies):

Hongyu Wang
James Anthony Ruud
Larry Steven Rosenzweig

4.16.03

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address:

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other

Street Address: One Research Circle

City: Niskayuna State: NY ZIP: 12309

To remove Brown University Research Foundation as a receiving party, reel/frame 011983/0144.

Execution Date:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
09/682,034

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company

Internal Address: GRC Patent Docket Rm. 4A59

Bldg. K-1 -Ross

P.O. Box 8

Street Address: One Research Circle

City: Schenectady State: NY ZIP: 12301

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41.....\$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

07-0868

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Toan P. Vo

Name of Person Signing

Frank Vo

Signature

May 6, 2004

Total number of pages including cover sheet, attachments and document

14

OMB No. 0651-0011 (exp 4/94)

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 Washington, D.C. 20231

8/93

5/11/2004 ECOOPER 00000214 070068 09682934

FC:8021

40.00 BA

PATENT
 REEL: 015323 FRAME: 0763

10-01-2003



RE

Docket No. RD-27,275

FORM PTO 1595
1-31-92

102563275

COVER SHEET
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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hongyu (nmn) Wang
James Anthony Ruud
Larry Steven Rosenzweig

4.16.03

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: _____

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 7/5/01; 7/2/01; and
6/28/01.

Additional name(s) & address(es) attached?

☐ Yes ☒ No

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09/682,034

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company
 Internal Address: CRD Patent Docket Rm. 4A59
 Bldg. K-1, Salamone
 P.O. Box 8
 Street Address: One Research Circle
 City: Schenectady State: NY ZIP: 12301

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Toan P. Vo

Name of Person Signing

Signature

July 11, 2001

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Patent and Trademark Office

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1. Name of conveying party(ies):

Hongyu (nmn) Wang
James Anthony Ruud
Larry Steven Rosenzweig

4.16.03

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Corrective Document to remove Brown University Research
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Toan P. Vo
 Name of Person Signing

Toan P. Vo
 Signature

April 10, 2003

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Commissioner of Patents and Trademarks
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07-20-2001

Docket No. RD-27,275

FORM PTO 1595
1-31-92

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101783437

To the Honorable Con.

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1. Name of conveying party(ies):

Hongyu (nmn) Wang
James Anthony Ruud
Larry Steven Rosenzweig

7-16-01

JUL 16 2001

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address:

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

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Toan P. Vo

Name of Person Signing

Toan P. Vo

Signature

July 11, 2001

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07/19/2001 AMWED1 00000146 070868 09682034
01 FC:581 40.00 CHCommissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

ASSIGNMENT

Docket Number
RD-27,275

In consideration of One Dollar and Other good and valuable consideration, of which I acknowledge receipt, I (we)

Hongyu (nmn) Wang

of Niskayuna, New York

James Anthony Ruud

of Delmar, New York

Larry Steven Rosenzweig

of Clifton Park, New York

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sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

COMPOSITIONS AND METHODS FOR PRODUCING COATINGS WITH IMPROVED SURFACE
SMOOTHNESS AND ARTICLES HAVING SUCH COATINGS

invented by me (us), as described in the application for United States patent

☒ executed concurrently herewith, or

☐ U.S. Serial No.: _____, filed _____

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

July 5, 2001
Date

Hongyu (nmn) Wang
Hongyu (nmn) Wang

State of New York) SS:

County of Schenectady)

This 5th day of July, 2001, before me personally came the

above-named Hongyu (nmn) Wang to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal
JEAN G. MYERS
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01MY606850
My Commission Expires Nov. 11, 2006

Jean G. Myers
(Notary Public)

ASSIGNMENTDocket Number
RD-27,2757/2/01

Date

James Anthony Ruud
James Anthony RuudState of New York) SS:County of Schenectady)

This 2nd day of July, 2001, before me personally came
the above-named James Anthony Ruud to me personally known as the
individual who executed the foregoing assignment, who acknowledged to me that he/she executed the
same of his/her own free will for the purposes therein set forth.

Seal

VIVIAN D. BRANDON
Notary Public - State of New York
Qualified in Albany County No. 4985535
My Commission Expires August 19, 2001

[Signature]
(Notary Public)6/28/01

Date

Larry Steven Rosenzweig
Larry Steven RosenzweigState of New York) SS:County of Schenectady)

This 28th day of June, 2001, before me personally came
the above-named Larry Steven Rosenzweig to me personally known as the
individual who executed the foregoing assignment, who acknowledged to me that he/she executed the
same of his/her own free will for the purposes therein set forth.

Seal

JEAN G. MYERS
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01MY806850
My Commission Expires Nov. 11, 2002

[Signature]
(Notary Public)

Date

State of _____) SS:

County of _____)

This _____ day of _____, 20____, before me personally came
the above-named _____ to me personally known as the
individual who executed the foregoing assignment, who acknowledged to me that he/she executed the
same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)