

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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PATENTS ONLY



102747509

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To the Honorable Commissioner of Patents and Trademarks: Please Record the attached Original Document

1. Name of conveying party(ies):

Adnan M.M. MJALLI - 8/29/2003

Robert C. ANDREWS - 8/29/2003

Xiao-Chuan GUO - 9/8/2003

Daniel Peter CHRISTEN - 8/29/2003

Devi Reddy GOHIMMUKKULA - 9/2/2003

Guoxiang HUANG - 8/29/2003

Robert ROTHLEIN - 8/29/2003

Sameer TYAGI - 8/29/2003

Tripura YARAMASU - 8/28/2003

Christopher BEHME - 8/29/2003

Additional name of conveying party(ies) attached? ☐ Yes ☐ No

OFFICE OF PUBLIC RECORDS

2004 MAY 12 AM 9:11

FINANCE SECTION

5/12/04

2. Name and address of receiving party(ies)

Name: TransTech Pharma, Inc.

Internal Address:

Street Address: 4170 Mendenhall Oaks Parkway, Suite 110

City: High Point

State: NC

Zip: 27265

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: See Above

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

60/402,272

10/637,900

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Samuel B. Rollins

Internal Address:

Street Address: Kilpatrick Stockton LLP

1001 West Fourth Street

City: Winston-Salem

State: NC

Zip: 27101

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Samuel B. Rollins

Name of Person Signing

Signature

Date

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PATENT
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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents &

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Adnan M.M. MJALLI - 8/29/2003
Robert C. ANDREWS - 8/29/2003
Xiao-Chuan GUO - 9/8/2003
Daniel Peter CHRISTEN - 8/29/2003
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Sameer TYAGI - 8/29/2003
Tripura YARAMASU - 8/28/2003
Christopher BEHME - 8/29/2003
Additional name of conveying party(ies) attached? ☐ Yes ☐ No

10-6-03

2. Name and address of receiving party(ies)

Name: TransTech Pharma, Inc.

Internal Address:

Street Address: 4170 Mendenhall Oaks Parkway, Suite 110

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State: NC

Zip: 27265

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A. Patent Application No.(s)

60/402,272

10/637,900

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

2003 OCT -6 AM 7:59
OPR/FINANCE

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Name: Samuel B. Rollins

Internal Address:

Street Address: Kilpatrick Stockton LLP

1001 West Fourth Street

City: Winston-Salem

State: NC

Zip: 27101

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Samuel B. Rollins

Name of Person Signing

Signature

October 2003

Date

PATENTFor: ☒ U.S. Patent and/or ☒ Foreign
Rights**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:

Adnan M. M. Mjalli (type or print name of assignor)	2902 Ellington Ct. Jamestown, NC 27282 Address	USA Nationality
Robert C. Andrews (type or print name of assignor)	3312 Morris Farm Road Jamestown, NC 27282 Address	USA Nationality
Xiao-Chuan Guo (type or print name of assignor)	3988 Clubhouse Ct., Apt. 2A High Point, NC 27265 Address	CN Nationality
Daniel Peter Christen (type or print name of assignor)	5090 Samet Drive (Apt. 2G) High Point, NC 27265 Address	CH Nationality
Devi Reddy Gohimmukkula (type or print name of assignor)	4061 Cobbler Ct Jamestown, NC 27282 Address	IN Nationality
Guoxiang Huang (type or print name of assignor)	5628-E West Market St Greensboro, NC 27409 Address	CN Nationality
Robert Rothlein (type or print name of assignor)	6988 Saratoga Ct Summerfield, NC 27358	USA Nationality
Sameer Tyagi (type or print name of assignor)	5000-3H Samet Drive High Point, NC 27265	IN Nationality
Tripura Yaramasu (type or print name of assignor)	4409 Feldspar Ct., Apt #2D Greensboro, NC 27409	IN Nationality
Christopher Behme (type or print name of assignor)	3392 Cherrybrook Drive Jamestown, NC 27282	USA Nationality

ASSIGNEE:

TransTech Pharma, Inc.

a Delaware corporation

4170 Mendenhall Oaks Parkway, Suite 110

High Point, NC 27265

(type or print name of assignee)

Address

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following:)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest

for the United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

- ☒ and in all foreign countries, including all rights to claim priority

in and to any all improvements which are disclosed in the invention entitled:

ARYL AND HETEROARYL COMPOUNDS AND METHODS TO

MODULATE COAGULATION

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☒ U.S. patent application 10/637,900 filed on 8/8/2003
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney, as named in the Declaration and Power of Attorney I executed for this invention on the execution date stated above, to insert below the filing date and application number when it becomes known.
(c) ☒ U.S. provisional application serial no. 60/402,272 filed on 8/9/02
(d) ☒ International application no. PCT/ US03/25045
(e) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(f) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will

promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

(CONTINUED ON NEXT PAGE)

Signed this 29th day of August, 2003

Adnan M. M. Mjalli
Adnan M. M. Mjalli

NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

NOTE: Executing this page is not required for assignment and is only prima facie evidence of execution. 35 USC 261.

Details of Country

Guilford County

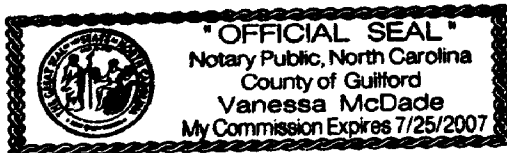
and place of signing of assignment

North Carolina USA

Before me this 29th day of August 2003, ADNAN M. M. MJALLI, personally appeared the following above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that

☒ he ☐ she
executed the same of ☒ his ☐ her

own free will for the purpose therein expressed.



Vanessa M. McDade
Notary Public or Consular Officer of the United
States of America

(CONTINUED ON NEXT PAGE)

Signed this 29th day of August, 2003



Robert C. Andrews

NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

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Details of Country

Guilford County

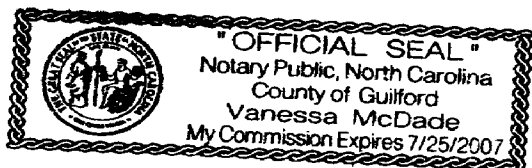
and place of signing of assignment


North Carolina USA

Before me this 29th day of August 2003, ROBERT C. ANDREWS, personally appeared the following above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that

☒ he ☐ she
executed the same of ☒ his ☐ her

own free will for the purpose therein expressed.




Notary Public or Consular Officer of the United
States of America

(CONTINUED ON NEXT PAGE)

Signed this 8 day of Sept., 2003

Xiao-Chuan Guo
Xiao-Chuan Guo

NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

NOTE: Executing this page is not required for assignment and is only prima facie evidence of execution. 35 USC 261.

Details of Country

Guilford County

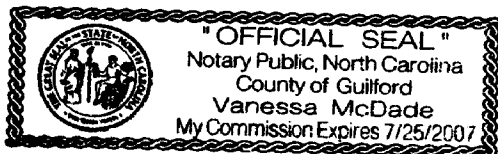
and place of signing of assignment

North Carolina USA

Before me this 8th day of September 2003, XIAO-CHUAN GUO, personally appeared the following above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that

☐ he ☒ she
executed the same of ☐ his ☒ her

own free will for the purpose therein expressed.



Vanessa McDade
Notary Public or Consular Officer of the United
States of America

(CONTINUED ON NEXT PAGE)

Signed this 29th day of August, 2003

Dan Christen
Daniel Peter Christen

NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

NOTE: Executing this page is not required for assignment and is only prima facie evidence of execution. 35 USC 261.

Details of Country

Guilford County

and place of signing of assignment

North Carolina USA

Before me this 29th day of August 2003, Daniel Peter Christen, personally appeared the following above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that

☒ he ☐ she
executed the same of ☒ his ☐ her

own free will for the purpose therein expressed.



Vanessa McDade
Notary Public or Consular Officer of the United
States of America

(CONTINUED ON NEXT PAGE)

Signed this 2nd day of Sept, 2003


Devi Reddy Gohimmukkula

NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

NOTE: Executing this page is not required for assignment and is only prima facie evidence of execution. 35 USC 261.

Details of Country

Guilford County

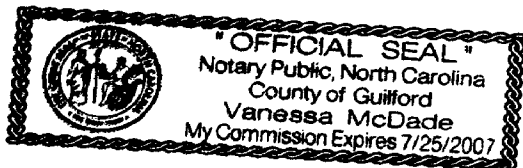
and place of signing of assignment

North Carolina USA

Before me this 2nd day of September 2003, DEVI REDDY GOHIMMUKKULA, personally appeared the following above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that

☒ he ☐ she
executed the same of ☒ his ☐ her

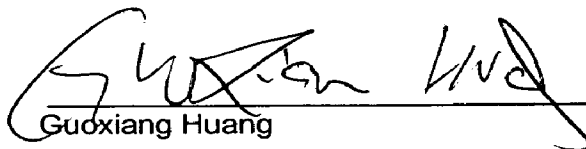
own free will for the purpose therein expressed.




Notary Public or Consular Officer of the United States of America

(CONTINUED ON NEXT PAGE)

Signed this 29 day of AUGUST, 2003


Guoxiang Huang

NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

NOTE: Executing this page is not required for assignment and is only prima facie evidence of execution. 35 USC 261.

Details of Country

and place of signing of assignment

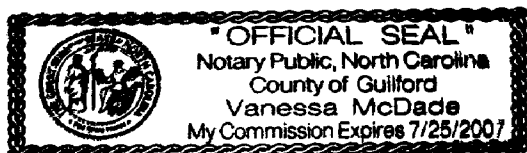
Guilford County

North Carolina USA

Before me this 29th day of August 2003, GUOXIANG HUANG, personally appeared the following above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that

☒ he ☐ she
executed the same of ☒ his ☐ her


own free will for the purpose therein expressed.




Notary Public or Consular Officer of the United
States of America

(CONTINUED ON NEXT PAGE)

Signed this 29th day of August, 2003


Robert Rothlein

NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

NOTE: Executing this page is not required for assignment and is only prima facie evidence of execution. 35 USC 261.

Details of Country

Guilford County

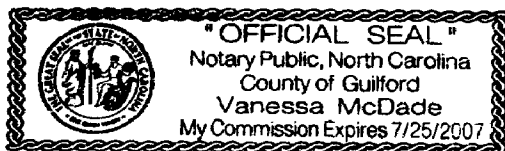
and place of signing of assignment


North Carolina USA

Before me this 29th day of August 2003, ROBERT ROTHLEIN, personally appeared the following above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that

☒ he ☐ she
executed the same of ☒ his ☐ her

own free will for the purpose therein expressed.




Notary Public or Consular Officer of the United
States of America

(CONTINUED ON NEXT PAGE)

Signed this 29th day of August, 2003

Sameer Tyagi
Sameer Tyagi

NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

NOTE: Executing this page is not required for assignment and is only prima facie evidence of execution. 35 USC 261.

Details of Country

and place of signing of assignment

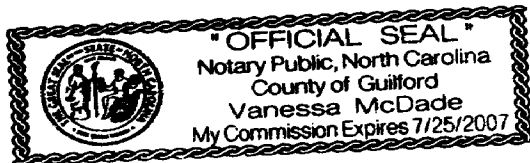
Guilford County

North Carolina USA

Before me this 29th day of August 2003, SAMEER TYAGI, personally appeared the following above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that

☒ he ☐ she
executed the same of ☒ his ☐ her

own free will for the purpose therein expressed.



Vanessa McDade
Notary Public or Consular Officer of the United
States of America

Signed this 28th day of August, 2003

Tripura Yaramasu 8/28/03
Tripura Yaramasu

NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

NOTE: Executing this page is not required for assignment and is only prima facie evidence of execution. 35 USC 261.

Details of Country

Guilford County,

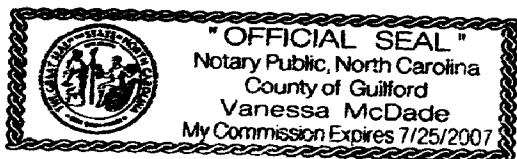
and place of signing of assignment

North Carolina USA

Before me this 28th day of August 2003, TRIPURA YARAMASU, personally appeared the following above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that

☐ he ☒ she
executed the same of ☐ his ☒ her

own free will for the purpose therein expressed.



Vanessa McDade
Notary Public or Consular Officer of the United
States of America

Signed this 29th day of August, 2003

Chris Behme
Christopher Behme

NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

NOTE: Executing this page is not required for assignment and is only prima facie evidence of execution. 35 USC 261.

Details of Country

Guilford County

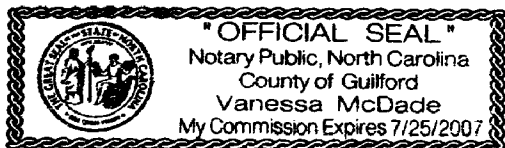
and place of signing of assignment

North Carolina USA

Before me this 29th day of August 2003, CHRISTOPHER BEHME, personally appeared the following above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that

☒ he ☐ she
executed the same of ☒ his ☐ her

own free will for the purpose therein expressed.



Vanessa McDade
Notary Public or Consular Officer of the United
States of America

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