

Form PTO-1595 (Rev. 09/04)
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PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Yeping Cai; William Faris; Jeff Riley; Bob Riley; David Tolle; Jon Wagner; Jason Zhao

Execution Date(s) November 1, 2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: SUD-CHEMIE INC.

Internal Address: A DELAWARE CORPORATION

Street Address: 1600 West Hill Street

City: Louisville

State: Kentucky

Country: USA Zip: 40210

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

10/963,667

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: SUD-CHEMIE INC.

Internal Address: IP/Legal Department

Street Address: 1600 West Hill Street

City: Louisville

State: Kentucky Zip: 40210

Phone Number: 502-634-7200

Fax Number: 502-634-7724

Email Address: jsimunic@sud-chemieinc.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

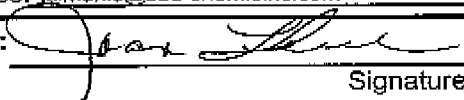
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Expiration Date 04/06

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:



Signature

10/29/04

Date

Joan L. Simunic Reg. No. 43,125 - Patent Counsel to SCI

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 13

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

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PATENT
REEL: 015327 FRAME: 0441

ASSIGNMENT OF INVENTION
AND OF LETTERS PATENT

Whereas, we, the **Inventors**, Yeping Cai, whose post office address is 12910 Willow Forest Drive, Louisville, KY 40245 and William M. Faris, whose post office address is 9710 Grandin Woods Road, Louisville, KY 40299 and Jeffrey E. Riley, whose post office address is 8115 Baptist Church Rd SE, Elizabeth, IN 47117 and Robert E. Riley, whose post office address is 7245 E Hwy 11 SE, Elizabeth, IN 47117 and David Tolle, whose post office address is 255 Blarney Lane, Louisville, Ky. 40229 and Jon P. Wagner, whose post office address is 9906 Wyncliff Court, Louisville, KY 40241 and Shizhong Zhao, whose post office address is 3411 Ascot Circle, Louisville, KY 40241, and, have invented certain improvements in a **PROCESS FOR THE PREPARATION OF PROMOTED CALCIUM-ALUMINATE SUPPORTED CATALYSTS ("Invention")**, and have executed a utility application for United States Letters Patent of the same title filed herewith "**Application**"; and

Whereas, **Assignee, Sud-Chemie Inc.**, of Louisville, Kentucky, a(n) Delaware corporation whose post office address is 1600 West Hill Street, Louisville, Kentucky 40210 (including its successors and assigns), desires to acquire our entire right, title and interest in said **Application and Invention**, and any United States and foreign patents to be obtained therefor;

Now therefore, for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign and transfer unto said **Assignee**, the entire right, title and interest in said **Application** and the **Invention** disclosed therein for the United States of America and all countries foreign thereto, including rights of priority under the International Convention of Paris (1883) as amended and the entire right, title, and interest in and to any and all patent

applications, patents, continuations, continuations-in-part, divisionals, and reissues based thereon which may be filed or granted therefor in the United States or any foreign country. We also agree that **Assignee**, may apply for foreign Letters Patent on the **Invention**, and we agree to cooperate with **Assignee**, and to execute without additional consideration any additional documents as deemed necessary by **Assignee**, to apply for or maintain patents or other legal protection for the **Invention** in any country of the world.

We hereby authorize and request the U.S. Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the invention set forth in this **Application** to said **Assignee**.

Yeping Cai
Yeping Cai

Executed this 13 day of October, 2004 at Louisville Ky.

STATE OF KENTUCKY
COUNTY OF JEFFERSON

) SS:
)

Before me personally appeared Yeping Cai, and acknowledged the foregoing instrument to be his free act and deed this 13 day of October, 2004.

My Commission expires:

July 12, 2006

Elizabeth Johnson
Notary Public

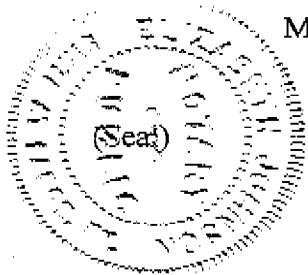
William M. Faris
William M. Faris

Executed this 13 day of October, 2004 at Louisville Ky.

STATE OF KENTUCKY
COUNTY OF JEFFERSON

) SS:
)

Before me personally appeared William M. Faris, and acknowledged the foregoing instrument to be his free act and deed this 13 day of October, 2004.



My Commission expires:

July 12, 2006
Elizabeth Johnson
 Notary Public

Jeffery E. Riley
 Jeffery E. Riley
Executed this 13 day of October, 2004 at Louisville Ky.
 STATE OF KENTUCKY
 COUNTY OF JEFFERSON

) SS:
)

 Before me personally appeared Jeffery E. Riley, and acknowledged the foregoing instrument to be his free act and deed this 13 day of October, 2004.

My Commission expires:

July 12, 2006
Elizabeth Johnson
 Notary Public

Robert E. Riley
 Robert E. Riley
Executed this 13 day of October, 2004 at Louisville Ky.
 STATE OF KENTUCKY
 COUNTY OF JEFFERSON

) SS:
)

 Before me personally appeared Robert E. Riley, and acknowledged the foregoing instrument to be his free act and deed this 13 day of October, 2004.

My Commission expires:

July 12, 2006
Elizabeth Johnson
 Notary Public

David P. Tolle
 David P. Tolle
Executed this 13 day of October, 2004 at Louisville Ky.
 STATE OF KENTUCKY
 COUNTY OF JEFFERSON

) SS:
)

Before me personally appeared David P. Tolle, and acknowledged the foregoing instrument to be his free act and deed this 13 day of October, 2004.

My Commission expires: July 12, 2006

Elizabeth Johnson
Notary Public

Jon P. Wagner
Jon P. Wagner

Executed this 13 day of October, 2004 at Louisville Ky.

STATE OF KENTUCKY
COUNTY OF JEFFERSON

) SS:
)

Before me personally appeared Jon P. Wagner, and acknowledged the foregoing instrument to be his free act and deed this 13 day of October, 2004.

My Commission expires: July 12, 2006

Elizabeth Johnson
Notary Public

Shizhong Zhao
Shizhong Zhao

Executed this 13 day of October, 2004 at Louisville Ky.

STATE OF KENTUCKY
COUNTY OF JEFFERSON

) SS:
)

Before me personally appeared Shizhong Zhao, and acknowledged the foregoing instrument to be his free act and deed this 13 day of October, 2004.

My Commission expires: July 12, 2006

Elizabeth Johnson
Notary Public