

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

corrective assignment to add second assignee name

CONVEYING PARTY DATA

Name	Execution Date
Hiroaki SHIBASAKI	04/02/2002
Fumihiko NAGASAKI	04/02/2002
Satoru YAMAZAKI	04/02/2002
Yutaka ISHII	04/02/2002
Mitsuru TAKASE	04/02/2002
Kimihiko OOHATA	04/02/2002

RECEIVING PARTY DATA

Name:	NIPPON SODA CO., LTD
Street Address:	2-1 OHEMACHI 2-CHOME, CHIYODA-KU
City:	TOKYO
State/Country:	JAPAN
Postal Code:	100-0004

Name:	IBARAKI KASEI CO., LTD
Street Address:	1309-2, ISOHARA, ISOHARA-CHO, KITAIBARAKI-SHI
City:	IBARAKI
State/Country:	JAPAN
Postal Code:	319-1541

PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	6797828

CORRESPONDENCE DATA

Fax Number: (727)538-3820

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 727-538-3800

Email: DLaPointe@MasonLaw.biz

PATENT

REEL: 015334 FRAME: 0854

CH \$40.00 6797828

Correspondent Name: Dennis G. LaPointe
Address Line 1: 17757 US 19 N, Suite 500
Address Line 4: Clearwater, FLORIDA 33764

NAME OF SUBMITTER:	Dennis G. LaPointe
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Total Attachments: 9

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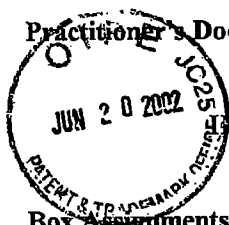
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06-28-2002

Practitioner's Docket No. 1576.99



PATENT



102138502

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

6.20.02

Box Assignments

Commissioner of Patents and Trademarks
Washington, D.C. 20231

ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. Section 3.31)

Attached please find an assignment (document) for recordal.

IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)
FOR ASSIGNMENT (DOCUMENT) RECORDAL
(37 C.F.R. Section 3.21 and 37 C.F.R. Section 3.31(a)(4))

1. This assignment is for the following patent application and/or issued patent:

a. National application: SN: 10/088,425, filed on March 15, 2002.

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10*

(When using Express Mail, the Express Mail label number is **mandatory**;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☐ deposited with the United States Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10*

☐ with sufficient postage as first class mail.☒ as "Express Mail Post Office to Address"Mailing Label No.: **EV 032344603 US**

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office (703)Date: June 20, 2002

Signature

Lia H. Costello, Legal Assistant

*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).

"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Assignment (Document) Cover Sheet—page 1 of 3)

06/27/2002 8TON11 00000228 131992 10088425

01 FC:581

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PATENT

REEL: 015334 FRAME: 0856

**TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS
AND TOTAL FEE**

2. A. The total number of applications and/or patents identified in this cover sheet is: 1.

B. The total fee is (37 C.F.R. Section 1.21(h)):

$$1 \times \$40.00 = \$40.00$$

C. Please charge Account 13-1992 the sum of \$40.00. A duplicate of this cover sheet is attached.

Please charge Account 13-1992 for any fee deficiency or credit to account any overpayment.

**NAME OF PARTIES CONVEYING INTEREST
(37 C.F.R. Section 3.31(a)(1))**

3. The parties conveying interest are: Hiroaki SHIBASAKI
Fumihiko NAGASAKI
Mitsuru TAKASE
Satoru YAMAZAKI
Yutaka ISHII
Kimihiko OOHATA

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST
(37 C.F.R. Section 3.31 (a)(2))**

4. The rights are being conveyed to: Nippon Soda Co., Ltd.
2-1, Ohtemachi 2-chome, Chiyoda-ku
Tokyo, 100-0004 JAPAN

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 C.F.R. Section 3.31(a)(3))**

5. The accompanying document intends to accomplish an assignment.

**NAME AND ADDRESS OF PARTY TO WHOM
CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. Section 3.31 (a)(5))**

6. Please address correspondence to:

Name: Dennis G. LaPointe
Address: Mason & Associates, P.A.
17757 US Hwy 19 N., Suite 500
Clearwater, FL 33764
Telephone No.: 727-538-3800

(Assignment (Document) Cover Sheet--page 2 of 3)



DATE ASSIGNMENT (DOCUMENT) EXECUTED
(37 C.F.R. Section 3.31(a)(7))

7. The attached assignment (document) was executed on April 2, 2002.

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

8. The attached document is in the English language.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

9. Submitted herewith is the original document.

STATEMENT (37 C.F.R. Section 3.31(a)(9)) AND
SIGNATURE (37 C.F.R. Section 3.31(a)(10))

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date:

6/20/02

Reg. No.: 40,693
Tel. No.: 727-538-3800
Customer No.: 24040


Signature of Practitioner

Dennis G. LaPointe
Mason & Associates, P.A.
17757 US Hwy 19 N., Suite 500
Clearwater, FL 33764

TOTAL NUMBER OF PAGES BEING SUBMITTED

11. The total number of pages being submitted, including documents are: 7.

Practitioner's Docket No. 1576.99

PATENT

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
 ☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

Hiroaki SHIBASAKI

(type or print name of inventors)

Fumihiko NAGASAKI

Mitsuru KAKASE

Satoru YAMAZAKI

Yutaka ISHII

Kimihiko OOHATA

Room No.302,143, Isohara 2-chome,
Isohara-cho,Kitaibaraki-shi,
Ibaraki 319-1541 Japan

Address 2032-2,Dainichi,Yotsuka
ido-shi,Chiba 284-0001 Japan
258-9,Aoki,Jyoetsu-shi,Niigata
943-0871 Japan

1072-1,Oaza Fujisawa,Nakago-mura,
Nakakubiki-gun,Niigata 949-2302 Japan

1160-2,Oaza Fujisawa,Nakago-mura,
Nakakubiki-gun,Niigata 949-2302 Japan

1160-2,Oaza Fujisawa,Nakago-mura,
Nakakubiki-gun,Niigata 949-2302 Japan

hereby sells, assigns and transfers to
ASSIGNEE:

An undivided 50% to each of the following:

NIPPON SODA CO., LTD.

2-1, Ohtemachi 2-chome
Chiyoda-ku
Tokyo 100-0004
JAPAN

IBARAKI KASEI CO., LTD.

1309-2,Isohara,Isohara-cho,Kitaibaraki-shi,
Ibaraki
319-1541
JAPAN

(Assignment of Invention--Multiple Inventors--page 1 of 3)

PATENT

REEL: 015334 FRAME: 0859

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest
☐ an undivided _____ percent (_____ %) interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority,
in and to any and all improvements which are disclosed in the invention entitled:

Name of inventor(s) _____

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. section 3.21)

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.
☐ Express mail label no.: _____
Mailed: _____
☐ To comply with 37 C.F.R. section 3.21 for recordal of this assignment, I, an ASSIGNOR
signing below, hereby authorize and request my attorney to insert below the filing date and
application number when they become known.
(d) ☒ U.S. application no. 10 / 088,423 _____
filed on 15 March 2002
(e) ☐ International application no. PCT/ _____ / _____
(f) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent
maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any
continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination
thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be
made or entered into which would conflict with this assignment.

(Assignment of Invention—Multiple Inventors—page 2 of 3)

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this 2 April 2002
Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Hiroaki SHIBASAKI
(type name of Inventor)

Fumihiko NAGASAKI

Mitsuru TAKASE

Satoru YAMAZAKI

Yutaka ISHII

Kimihiko OOHATA

Hiroaki Shibasaki

Signature of INVENTOR

Fumihiko Nagasaki

Mitsuru Takase

Satoru Yamazaki

Yutaka Ishii

Kimihiko Ohata

☐ Notarization or Legalization Page Added.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 U.S.C. section 261. Use next page if notarization is desired.

(Assignment of Invention—Multiple Inventors—page 3 of 3)

PATENT

RECORDED: 11/08/2004

REEL: 015334 FRAME: 0861